

# HYDROXYL COMPOUNDS AND COMPOSITIONS FOR CHOLESTEROL MANAGEMENT AND RELATED USES

This application claims the benefit of U.S. Provisional Application No. 60/441,795, filed January 23, 2003, which is incorporated herein by reference in its entirety.

## 1. FIELD OF THE INVENTION

The invention relates to hydroxyl compounds and pharmaceutically acceptable salts, hydrates, solvates, and mixtures thereof; compositions comprising a hydroxyl compound or a pharmaceutically acceptable salt, hydrate, solvate, or mixtures thereof; and methods for treating or preventing a disease or disorder such as, but not limited to, aging, Alzheimer's Disease, cancer, cardiovascular disease, diabetic nephropathy, diabetic retinopathy, a disorder of glucose metabolism, dyslipidemia, dyslipoproteinemia, enhancing bile production, enhancing reverse lipid transport, hypertension, impotence, inflammation, insulin resistance, lipid elimination in bile, modulating C reactive protein, obesity, oxysterol elimination in bile, pancreatitis, Parkinson's disease, a peroxisome proliferator activated receptor-associated disorder, phospholipid elimination in bile, renal disease, septicemia, metabolic syndrome disorders (*e.g.*, Syndrome X), and a thrombotic disorder, which method comprise administering a hydroxyl compound or composition of the invention. The compounds of the invention can also treat or prevent inflammatory processes and diseases like gastrointestinal disease, irritable bowel syndrome (IBS), inflammatory bowel disease (*e.g.*, Crohn's Disease, ulcerative colitis), arthritis (*e.g.*, rheumatoid arthritis, osteoarthritis), autoimmune disease (*e.g.*, systemic lupus erythematosus), scleroderma, ankylosing spondylitis, gout and pseudogout, muscle pain: polymyositis/polymyalgia rheumatica/fibrositis; infection and arthritis, juvenile rheumatoid arthritis, tendonitis, bursitis and other soft tissue rheumatism.

## 2. BACKGROUND OF THE INVENTION

Obesity, hyperlipidemia, and diabetes have been shown to play a causal role in atherosclerotic cardiovascular diseases, which currently account for a considerable proportion of morbidity in Western society. Further, one human disease, termed “Syndrome X” or “Metabolic Syndrome”, is manifested by defective glucose metabolism (insulin resistance), elevated blood pressure (hypertension), and a blood lipid imbalance (dyslipidemia). See *e.g.* Reaven, 1993, *Annu. Rev. Med.* 44:121-131.

The evidence linking elevated serum cholesterol to coronary heart disease is overwhelming. Circulating cholesterol is carried by plasma lipoproteins, which are particles of complex lipid and protein composition that transport lipids in the blood. Low density lipoprotein (LDL) and high density lipoprotein (HDL) are the major cholesterol-carrier proteins. LDL is believed to be responsible for the delivery of cholesterol from the liver, where it is synthesized or obtained from dietary sources, to extrahepatic tissues in the body. The term “reverse cholesterol transport” describes the transport of cholesterol from extrahepatic tissues to the liver, where it is catabolized and eliminated. It is believed that plasma HDL particles play a major role in the reverse transport process, acting as scavengers of tissue cholesterol. HDL is also responsible for the removal of non-cholesterol lipid, oxidized cholesterol and other oxidized products from the bloodstream.

Atherosclerosis, for example, is a slowly progressive disease characterized by the accumulation of cholesterol within the arterial wall. Compelling evidence supports the belief that lipids deposited in atherosclerotic lesions are derived primarily from plasma apolipoprotein B (apo B)-containing lipoproteins, which include chylomicrons, CLDL, intermediate-density lipoproteins (IDL), and LDL. The apo B-containing lipoprotein, and in particular LDL, has popularly become known as the “bad” cholesterol. In contrast, HDL serum levels correlate inversely with coronary heart disease. Indeed, high serum levels of HDL are regarded as a negative risk factor. It is hypothesized that high levels of plasma HDL are not only protective against coronary artery disease, but may actually induce regression of atherosclerotic plaque (*e.g.*, see Badimon *et al.*, 1992, *Circulation* 86:(Suppl. III)86-94; Dansky and Fisher, 1999, *Circulation* 100:1762 3.). Thus, HDL has popularly become known as the “good” cholesterol.

## 2.1 Cholesterol Transport

The fat-transport system can be divided into two pathways: an exogenous one for cholesterol and triglycerides absorbed from the intestine and an endogenous one for cholesterol and triglycerides entering the bloodstream from the liver and other non-hepatic tissue.

In the exogenous pathway, dietary fats are packaged into lipoprotein particles called chylomicrons, which enter the bloodstream and deliver their triglycerides to adipose tissue for storage and to muscle for oxidation to supply energy. The remnant of the chylomicron, which contains cholesteryl esters, is removed from the circulation by a specific receptor found only on liver cells. This cholesterol then becomes available again for cellular metabolism or for recycling to extrahepatic tissues as plasma lipoproteins.

In the endogenous pathway, the liver secretes a large, very-low-density lipoprotein particle (VLDL) into the bloodstream. The core of VLDL consists mostly of triglycerides synthesized in the liver, with a smaller amount of cholesteryl esters either synthesized in the liver or recycled from chylomicrons. Two predominant proteins are displayed on the surface of VLDL, apolipoprotein B-100 (apo B-100) and apolipoprotein E (apo E), although other apolipoproteins are present, such as apolipoprotein CIII (apo CIII) and apolipoprotein CII (apo CII). When VLDL reaches the capillaries of adipose tissue or of muscle, its triglyceride is extracted. This results in the formation of a new kind of particle called intermediate-density lipoprotein (IDL) or VLDL remnant, decreased in size and enriched in cholesteryl esters relative to a VLDL, but retaining its two apoproteins.

In human beings, about half of the IDL particles are removed from the circulation quickly, generally within two to six hours of their formation. This is because IDL particles bind tightly to liver cells, which extract IDL cholesterol to make new VLDL and bile acids. The IDL not taken up by the liver is catabolized by the hepatic lipase, an enzyme bound to the proteoglycan on liver cells. Apo E dissociates from IDL as it is transformed to LDL. Apo B-100 is the sole protein of LDL.

Primarily, the liver takes up and degrades circulating cholesterol to bile acids, which are the end products of cholesterol metabolism. The uptake of cholesterol-containing particles is mediated by LDL receptors, which are present in high concentrations on hepatocytes. The LDL receptor binds both apo E and apo B-100 and is responsible for binding and removing both IDL and LDL from the circulation. In addition, remnant receptors are responsible for clearing chylomicrons and VLDL remnants (*i.e.*, IDL). However, the affinity of apo E for the LDL receptor is greater than that of apo B-100. As a result, the LDL particles have a much longer circulating life span than IDL particles; LDL circulates for an average of two and a half days before binding to the LDL receptors in the liver and other tissues. High serum levels of LDL, the “bad” cholesterol, are positively associated with coronary heart disease. For example, in atherosclerosis, cholesterol derived from circulating LDL accumulates in the walls of arteries. This accumulation forms bulky plaques that inhibit the flow of blood until a clot eventually forms, obstructing an artery and causing a heart attack or stroke.

Ultimately, the amount of intracellular cholesterol liberated from the LDL controls cellular cholesterol metabolism. The accumulation of cellular cholesterol derived from VLDL and LDL controls three processes. First, it reduces the ability of the cell to make its own cholesterol by turning off the synthesis of HMGCoA reductase, a key enzyme

in the cholesterol biosynthetic pathway. Second, the incoming LDL-derived cholesterol promotes storage of cholesterol by the action of cholesterol acyltransferase ("ACAT"), the cellular enzyme that converts cholesterol into cholesteryl esters that are deposited in storage droplets. Third, the accumulation of cholesterol within the cell drives a feedback mechanism that inhibits cellular synthesis of new LDL receptors. Cells, therefore, adjust their complement of LDL receptors so that enough cholesterol is brought in to meet their metabolic needs, without overloading (for a review, see Brown & Goldstein, in *The Pharmacological Basis Of Therapeutics*, 8th Ed., Goodman & Gilman, Pergamon Press, New York, 1990, Ch. 36, pp. 874-896).

High levels of apo B-containing lipoproteins can be trapped in the subendothelial space of an artery and undergo oxidation. The oxidized lipoprotein is recognized by scavenger receptors on macrophages. Binding of oxidized lipoprotein to the scavenger receptors can enrich the macrophages with cholesterol and cholesteryl esters independently of the LDL receptor. Macrophages can also produce cholesteryl esters by the action of ACAT. LDL can also be complexed to a high molecular weight glycoprotein called apolipoprotein(a), also known as apo(a), through a disulfide bridge. The LDL-apo(a) complex is known as Lipoprotein(a) or Lp(a). Elevated levels of Lp(a) are detrimental, having been associated with atherosclerosis, coronary heart disease, myocardial infarction, stroke, cerebral infarction, and restenosis following angioplasty.

## **2.2 Reverse Cholesterol Transport**

Peripheral (non-hepatic) cells predominantly obtain their cholesterol from a combination of local synthesis and uptake of preformed sterol from VLDL and LDL. Cells expressing scavenger receptors, such as macrophages and smooth muscle cells, can also obtain cholesterol from oxidized apo B-containing lipoproteins. In contrast, reverse cholesterol transport (RCT) is the pathway by which peripheral cell cholesterol can be returned to the liver for recycling to extrahepatic tissues, hepatic storage, or excretion into the intestine in bile. The RCT pathway represents the only means of eliminating cholesterol from most extrahepatic tissues and is crucial to the maintenance of the structure and function of most cells in the body.

The enzyme in blood involved in the RCT pathway, lecithin:cholesterol acyltransferase (LCAT), converts cell-derived cholesterol to cholesteryl esters, which are sequestered in HDL destined for removal. LCAT is produced mainly in the liver and circulates in plasma associated with the HDL fraction. Cholesterol ester transfer protein (CETP) and another lipid transfer protein, phospholipid transfer protein (PLTP), contribute

to further remodeling the circulating HDL population (see for example Bruce *et al.*, 1998, *Annu. Rev. Nutr.* 18:297-330). PLTP supplies lecithin to HDL, and CETP can move cholesteryl esters made by LCAT to other lipoproteins, particularly apoB-containing lipoproteins, such as VLDL. HDL triglycerides can be catabolized by the extracellular  
5 hepatic triglyceride lipase, and lipoprotein cholesterol is removed by the liver via several mechanisms.

Each HDL particle contains at least one molecule, and usually two to four molecules, of apolipoprotein A I (apo A I). Apo A I is synthesized by the liver and small intestine as preproapolipoprotein, which is secreted as a proprotein that is rapidly cleaved to  
10 generate a mature polypeptide having 243 amino acid residues. Apo A I consists mainly of a 22 amino acid repeating segment, spaced with helix-breaking proline residues. Apo A I forms three types of stable structures with lipids: small, lipid-poor complexes referred to as pre-beta-1 HDL; flattened discoidal particles, referred to as pre-beta-2 HDL, which contain only polar lipids (*e.g.*, phospholipid and cholesterol); and spherical particles containing both  
15 polar and nonpolar lipids, referred to as spherical or mature HDL (HDL3 and HDL2). Most HDL in the circulating population contains both apo A I and apo A II, a second major HDL protein. This apo A I- and apo A II-containing fraction is referred to herein as the AI/AII-HDL fraction of HDL. But the fraction of HDL containing only apo A I, referred to herein as the AI HDL fraction, appears to be more effective in RCT. Certain epidemiologic studies  
20 support the hypothesis that the AI-HDL fraction is antiatherogenic (Parra *et al.*, 1992, *Arterioscler. Thromb.* 12:701-707; Decossin *et al.*, 1997, *Eur. J. Clin. Invest.* 27:299-307).

Although the mechanism for cholesterol transfer from the cell surface is unknown, it is believed that the lipid-poor complex, pre-beta-1 HDL, is the preferred acceptor for cholesterol transferred from peripheral tissue involved in RCT. Cholesterol  
25 newly transferred to pre-beta-1 HDL from the cell surface rapidly appears in the discoidal pre-beta-2 HDL. PLTP may increase the rate of disc formation (Lagrost *et al.*, 1996, *J. Biol. Chem.* 271:19058-19065), but data indicating a role for PLTP in RCT is lacking. LCAT reacts preferentially with discoidal and spherical HDL, transferring the 2-acyl group of lecithin or phosphatidylethanolamine to the free hydroxyl residue of fatty alcohols,  
30 particularly cholesterol, to generate cholesteryl esters (retained in the HDL) and lysolecithin. The LCAT reaction requires an apolipoprotein such as apo A I or apo A-IV as an activator. ApoA-I is one of the natural cofactors for LCAT. The conversion of cholesterol to its HDL-sequestered ester prevents re-entry of cholesterol into the cell, resulting in the ultimate removal of cellular cholesterol. Cholesteryl esters in the mature

HDL particles of the AI-HDL fraction are removed by the liver and processed into bile more effectively than those derived from the AI/AII-HDL fraction. This may be due, in part, to the more effective binding of AI-HDL to the hepatocyte membrane. Several HDL receptors have been identified, the most well characterized of which is the scavenger receptor class B, type I (SR BI) (Acton *et al.*, 1996, *Science* 271:518-520). The SR-BI is expressed most abundantly in steroidogenic tissues (*e.g.*, the adrenals), and in the liver (Landshulz *et al.*, 1996, *J. Clin. Invest.* 98:984-995; Rigotti *et al.*, 1996, *J. Biol. Chem.* 271:33545-33549). Other proposed HDL receptors include HB1 and HB2 (Hidaka and Fidge, 1992, *Biochem J.* 15:161 7; Kurata *et al.*, 1998, *J. Atherosclerosis and Thrombosis* 4:112 7).

While there is a consensus that CETP is involved in the metabolism of VLDL- and LDL-derived lipids, its role in RCT remains controversial. However, changes in CETP activity or its acceptors, VLDL and LDL, play a role in “remodeling” the HDL population. For example, in the absence of CETP, the HDL becomes enlarged particles that are poorly removed from the circulation (for reviews on RCT and HDL, See Fielding & Fielding, 1995, *J. Lipid Res.* 36:211-228; Barrans *et al.*, 1996, *Biochem. Biophys. Acta.* 1300:73-85; Hirano *et al.*, 1997, *Arterioscler. Thromb. Vasc. Biol.* 17:1053-1059).

### **2.3 Reverse Transport of Other Lipids**

HDL is not only involved in the reverse transport of cholesterol, but also plays a role in the reverse transport of other lipids, *i.e.*, the transport of lipids from cells, organs, and tissues to the liver for catabolism and excretion. Such lipids include sphingomyelin, oxidized lipids, and lysophosphatidylcholine. For example, Robins and Fasulo (1997, *J. Clin. Invest.* 99:380 384) have shown that HDL stimulates the transport of plant sterol by the liver into bile secretions.

### **2.4 Peroxisome Proliferator Activated Receptor Pathway**

Peroxisome proliferators are a structurally diverse group of compounds that, when administered to rodents, elicit dramatic increases in the size and number of hepatic and renal peroxisomes, as well as concomitant increases in the capacity of peroxisomes to metabolize fatty acids via increased expression of the enzymes required for the  $\beta$ -oxidation cycle (Lazarow and Fujiki, 1985, *Ann. Rev. Cell Biol.* 1:489 530; Vamecq and Draye, 1989, *Essays Biochem.* 24:1115 225; and Nelali *et al.*, 1988, *Cancer Res.* 48:5316 5324). Chemicals included in this group are the fibrate class of hypolipidemic drugs, herbicides, and phthalate plasticizers (Reddy and Lalwani, 1983, *Crit. Rev. Toxicol.* 12:1 58).

Peroxisome proliferation can also be elicited by dietary or physiological factors, such as a high fat diet and cold acclimatization.

Insight into the mechanism whereby peroxisome proliferators exert their pleiotropic effects was provided by the identification of a member of the nuclear hormone receptor superfamily activated by these chemicals (Isseman and Green, 1990, *Nature* 347:645 650). This receptor, termed peroxisome proliferator activated receptor  $\alpha$  (PPAR $\alpha$ ), was subsequently shown to be activated by a variety of medium and long chain fatty acids. PPAR $\alpha$  activates transcription by binding to DNA sequence elements, termed peroxisome proliferator response elements (PPRE), in the form of a heterodimer with the retinoid X receptor (RXR). RXR is activated by 9-cis retinoic acid (see Kliewer *et al.*, 1992, *Nature* 358:771 774; Gearing *et al.*, 1993, *Proc. Natl. Acad. Sci. USA* 90:1440 1444, Keller *et al.*, 1993, *Proc. Natl. Acad. Sci. USA* 90:2160 2164; Heyman *et al.*, 1992, *Cell* 68:397 406, and Levin *et al.*, 1992, *Nature* 355:359 361). Since the discovery of PPAR $\alpha$ , additional isoforms of PPAR have been identified, *e.g.*, PPAR $\beta$ , PPAR $\gamma$  and PPAR $\delta$ , which have similar functions and are similarly regulated.

PPARs have been identified in the enhancers of a number of gene-encoding proteins that regulate lipid metabolism. These proteins include the three enzymes required for peroxisomal  $\beta$ -oxidation of fatty acids; apolipoprotein A-I; medium chain acyl-CoA dehydrogenase, a key enzyme in mitochondrial  $\beta$ -oxidation; and aP2, a lipid binding protein expressed exclusively in adipocytes (reviewed in Keller and Whali, 1993, *TEM*, 4:291 296; see also Staels and Auwerx, 1998, *Atherosclerosis* 137 Suppl:S19 23). The nature of the PPAR target genes coupled with the activation of PPARs by fatty acids and hypolipidemic drugs suggests a physiological role for the PPARs in lipid homeostasis.

Pioglitazone, an antidiabetic compound of the thiazolidinedione class, was reported to stimulate expression of a chimeric gene containing the enhancer/promoter of the lipid binding protein aP2 upstream of the chloroamphenicol acetyl transferase reporter gene (Harris and Kletzien, 1994, *Mol. Pharmacol.* 45:439 445). Deletion analysis led to the identification of an approximately 30 bp region accounting for pioglitazone responsiveness. In an independent study, this 30 bp fragment was shown to contain a PPRE (Tontonoz *et al.*, 1994, *Nucleic Acids Res.* 22:5628 5634). Taken together, these studies suggested the possibility that the thiazolidinediones modulate gene expression at the transcriptional level through interactions with a PPAR and reinforce the concept of the interrelatedness of glucose and lipid metabolism.

## 2.5 Current Cholesterol Management Therapies

In the past two decades or so, the segregation of cholesterolemic compounds into HDL and LDL regulators and recognition of the desirability of decreasing blood levels of the latter has led to the development of a number of drugs. However, many of these  
5 drugs have undesirable side effects and/or are contraindicated in certain patients, particularly when administered in combination with other drugs.

Bile-acid-binding resins are a class of drugs that interrupt the recycling of bile acids from the intestine to the liver. Examples of bile-acid-binding resins are cholestyramine (QUESTRAN LIGHT, Bristol-Myers Squibb), and colestipol hydrochloride  
10 (COLESTID, Pharmacia & Upjohn Company). When taken orally, these positively charged resins bind to negatively charged bile acids in the intestine. Because the resins cannot be absorbed from the intestine, they are excreted, carrying the bile acids with them. The use of such resins, however, at best only lowers serum cholesterol levels by about 20%. Moreover, their use is associated with gastrointestinal side-effects, including constipation  
15 and certain vitamin deficiencies. Moreover, since the resins bind to drugs, other oral medications must be taken at least one hour before or four to six hours subsequent to ingestion of the resin, complicating heart patients' drug regimens.

The statins are inhibitors of cholesterol synthesis. Sometimes, the statins are used in combination therapy with bile-acid-binding resins. Lovastatin (MEVACOR, Merck  
20 & Co., Inc.), a natural product derived from a strain of *Aspergillus*; pravastatin (PRAVACHOL, Bristol-Myers Squibb Co.); and atorvastatin (LIPITOR, Warner Lambert) block cholesterol synthesis by inhibiting HMGCoA reductase, the key enzyme involved in the cholesterol biosynthetic pathway. Lovastatin significantly reduces serum cholesterol and LDL-serum levels. However, serum HDL levels are only slightly increased following  
25 lovastatin administration. The mechanism of the LDL-lowering effect may involve both reduction of VLDL concentration and induction of cellular expression of LDL-receptor, leading to reduced production and/or increased catabolism of LDL. Side effects, including liver and kidney dysfunction are associated with the use of these drugs.

Nicotinic acid, also known as niacin, is a water-soluble vitamin B-complex  
30 used as a dietary supplement and antihyperlipidemic agent. Niacin diminishes the production of VLDL and is effective at lowering LDL. It is used in combination with bile-acid-binding resins. Niacin can increase HDL when administered at therapeutically effective doses; however, its usefulness is limited by serious side effects.



Fibrates are a class of lipid-lowering drugs used to treat various forms of hyperlipidemia, elevated serum triglycerides, which may also be associated with hypercholesterolemia. Fibrates appear to reduce the VLDL fraction and modestly increase HDL; however, the effects of these drugs on serum cholesterol is variable. In the United States, fibrates have been approved for use as antilipidemic drugs, but have not received approval as hypercholesterolemia agents. For example, clofibrate (ATROMID-S, Wyeth-Ayerst Laboratories) is an antilipidemic agent that acts to lower serum triglycerides by reducing the VLDL fraction. Although ATROMID-S may reduce serum cholesterol levels in certain patient subpopulations, the biochemical response to the drug is variable, and is not always possible to predict which patients will obtain favorable results. ATROMID-S has not been shown to be effective for prevention of coronary heart disease. The chemically and pharmacologically related drug, gemfibrozil (LOPID, Parke-Davis), is a lipid regulating agent which moderately decreases serum triglycerides and VLDL cholesterol. LOPID also increases HDL cholesterol, particularly the HDL2 and HDL3 subfractions, as well as both the AI/AII-HDL fractions. However, the lipid response to LOPID is heterogeneous, especially among different patient populations. Moreover, while prevention of coronary heart disease was observed in male patients between the ages of 40 and 55 without history or symptoms of existing coronary heart disease, it is not clear to what extent these findings can be extrapolated to other patient populations (*e.g.*, women, older and younger males). Indeed, no efficacy was observed in patients with established coronary heart disease. Serious side-effects are associated with the use of fibrates, including toxicity; malignancy, particularly malignancy of gastrointestinal cancer; gallbladder disease; and an increased incidence in non-coronary mortality. These drugs are not indicated for the treatment of patients with high LDL or low HDL as their only lipid abnormality.

Oral estrogen replacement therapy may be considered for moderate hypercholesterolemia in post-menopausal women. However, increases in HDL may be accompanied with an increase in triglycerides. Estrogen treatment is, of course, limited to a specific patient population, postmenopausal women, and is associated with serious side effects, including induction of malignant neoplasms; gall bladder disease; thromboembolic disease; hepatic adenoma; elevated blood pressure; glucose intolerance; and hypercalcemia.

Long chain carboxylic acids, particularly long chain  $\alpha,\omega$ -dicarboxylic acids with distinctive substitution patterns, and their simple derivatives and salts, have been disclosed for treating atherosclerosis, obesity, and diabetes (*See, e.g.*, Bisgaier *et al.*, 1998, *J. Lipid Res.* 39:17-30, and references cited therein; International Patent Publication WO

98/30530; U.S. Patent No. 4,689,344; International Patent Publication WO 99/00116; and U.S. Patent No. 5,756,344). However, some of these compounds, for example the  $\alpha,\omega$ -dicarboxylic acids substituted at their  $\alpha,\alpha'$ -carbons (U.S. Patent No. 3,773,946), while having serum triglyceride and serum cholesterol-lowering activities, have no value for treatment of obesity and hypercholesterolemia (U.S. Patent No. 4,689,344).

U.S. Patent No. 4,689,344 discloses  $\beta,\beta,\beta',\beta'$ -tetrasubstituted- $\alpha,\omega$ -alkanedioic acids that are optionally substituted at their  $\alpha,\alpha,\alpha',\alpha'$ -positions, and alleges that they are useful for treating obesity, hyperlipidemia, and diabetes. According to this reference, both triglycerides and cholesterol are lowered significantly by compounds such as 3,3,14,14-tetramethylhexadecane-1,16-dioic acid. U.S. Patent No. 4,689,344 further discloses that the  $\beta,\beta,\beta',\beta'$ -tetramethyl-alkanediols of U.S. Patent No. 3,930,024 also are not useful for treating hypercholesterolemia or obesity.

Other compounds are disclosed in U.S. Patent No. 4,711,896. In U.S. Patent No. 5,756,544,  $\alpha,\omega$ -dicarboxylic acid-terminated dialkane ethers are disclosed to have activity in lowering certain plasma lipids, including Lp(a), triglycerides, VLDL-cholesterol, and LDL-cholesterol, in animals, and elevating others, such as HDL-cholesterol. The compounds are also stated to increase insulin sensitivity. In U.S. Patent No. 4,613,593, phosphates of dolichol, a polyprenol isolated from swine liver, are stated to be useful in regenerating liver tissue, and in treating hyperuricuria, hyperlipemia, diabetes, and hepatic diseases in general.

U.S. Patent No. 4,287,200 discloses azolidinedione derivatives with anti-diabetic, hypolipidemic, and anti-hypertensive properties. However, the administration of these compounds to patients can produce side effects such as bone marrow depression, and both liver and cardiac cytotoxicity. Further, the compounds disclosed by U.S. Patent No. 4,287,200 stimulate weight gain in obese patients.

It is clear that none of the commercially available cholesterol management drugs has a general utility in regulating lipid, lipoprotein, insulin and glucose levels in the blood. Thus, compounds that have one or more of these utilities are clearly needed. Further, there is a clear need to develop safer drugs that are efficacious at lowering serum cholesterol, increasing HDL serum levels, preventing coronary heart disease, and/or treating existing disease such as atherosclerosis, obesity, diabetes, and other diseases that are affected by lipid metabolism and/or lipid levels. There is also a clear need to develop drugs that may be used with other lipid-altering treatment regimens in a synergistic manner.



comprises administering to such patient in need of such treatment or prevention a therapeutically effective amount of a compound of the invention and a pharmaceutically acceptable vehicle, excipient, or diluent.

5 The compounds of the invention favorably alter lipid metabolism in animal models of dyslipidemia at least in part by enhancing oxidation of fatty acids through the ACC/malonyl-CoA/CPT-I regulatory axis and therefore the invention also encompasses methods of treatment or prevention of metabolic syndrome disorders.

10 The invention further encompasses a method for reducing the fat content of meat in livestock comprising administering to livestock in need of such fat-content reduction a therapeutically effective amount of a compound of the invention or a pharmaceutical composition comprising a compound of the invention and a pharmaceutically acceptable vehicle, excipient, or diluent.

15 The invention encompasses a method for reducing the cholesterol content of a fowl egg comprising administering to a fowl species a therapeutically effective amount of a compound of the invention or a pharmaceutical composition comprising a compound of the invention and a pharmaceutically acceptable vehicle, excipient, or diluent.

The present invention may be understood more fully by reference to the detailed description and examples, which are intended to exemplify non-limiting embodiments of the invention.

#### 20 4. DEFINITIONS AND ABBREVIATIONS

Apo(a): apolipoprotein(a)

Apo A-I: apolipoprotein A-I

Apo B: apolipoprotein B

Apo E: apolipoprotein E

25 FH: Familial hypercholesterolemia

FCH: Familial combined hyperlipidemia

GDM: Gestational diabetes mellitus

HDL: High density lipoprotein

IDL: Intermediate density lipoprotein

30 IDDM: Insulin dependent diabetes mellitus

LDH: Lactate dehydrogenase

LDL: Low density lipoprotein

Lp(a): Lipoprotein (a)

MODY: Maturity onset diabetes of the young

NIDDM: Non-insulin dependent diabetes mellitus

PPAR: Peroxisome proliferator activated receptor

RXR: Retinoid X receptor

VLDL: Very low density lipoprotein

5                   As used herein, the phrase “compounds of the invention” means compounds disclosed herein. Particular compounds of the invention are compounds of formulas **I, II, III, IV, V, VI, VII, VIII, IX** and pharmaceutically acceptable salts, hydrates, enantiomers, diastereomer, racemates or mixtures of stereoisomers thereof. Thus, “compound of the invention” collectively means compound of formulas **I, II, III, IV, V, VI, VII, VIII, and IX** and pharmaceutically acceptable salts, hydrates, enantiomers, diastereomer, racemates or  
10                   mixtures of stereoisomers thereof. The compounds of the invention are identified herein by their chemical structure and/or chemical name. Where a compound is referred to by both a chemical structure and a chemical name, and the chemical structure and chemical name conflict, the chemical structure is to be accorded more weight.

15                   The compounds of the invention can contain one or more chiral centers and/or double bonds and, therefore, exist as stereoisomers, such as double-bond isomers (*i.e.*, geometric isomers), enantiomers, or diastereomers. According to the invention, the chemical structures depicted herein, and therefore the compounds of the invention, encompass all of the corresponding compounds’ enantiomers and stereoisomers, that is,  
20                   both the stereomerically pure form (*e.g.*, geometrically pure, enantiomerically pure, or diastereomerically pure) and enantiomeric and stereoisomeric mixtures.

                    As used herein, a composition that “substantially” comprises a compound means that the composition contains more than about 80% by weight, more preferably more than about 90% by weight, even more preferably more than about 95% by weight, and most  
25                   preferably more than about 97% by weight of the compound.

                    As used herein, a reaction that is “substantially complete” means that the reaction contains more than about 80% by weight of the desired product, more preferably more than about 90% by weight of the desired product, even more preferably more than about 95% by weight of the desired product, and most preferably more than about 97% by  
30                   weight of the desired product.

                    A compound of the invention is considered optically active or enantiomerically pure (*i.e.*, substantially the R-form or substantially the S-form) with respect to a chiral center when the compound is about 90% ee (enantiomeric excess) or greater, preferably, equal to or greater than 95% ee with respect to a particular chiral center.

A compound of the invention is considered to be in enantiomerically-enriched form when the compound has an enantiomeric excess of greater than about 1% ee, preferably greater than about 5% ee, more preferably, greater than about 10% ee with respect to a particular chiral center. A compound of the invention is considered diastereomerically pure with respect to multiple chiral centers when the compound is about 90% de (diastereomeric excess) or greater, preferably, equal to or greater than 95% de with respect to a particular chiral center. A compound of the invention is considered to be in diastereomerically-enriched form when the compound has an diastereomeric excess of greater than about 1% de, preferably greater than about 5% de, more preferably, greater than about 10% de with respect to a particular chiral center. As used herein, a racemic mixture means about 50% of one enantiomer and about 50% of is corresponding enantiomer relative to all chiral centers in the molecule. Thus, the invention encompasses all enantiomerically-pure, enantiomerically-enriched, diastereomerically pure, diastereomerically enriched, and racemic mixtures of compounds of Formulas I through IX.

Enantiomeric and diastereomeric mixtures can be resolved into their component enantiomers or stereoisomers by well known methods, such as chiral-phase gas chromatography, chiral-phase high performance liquid chromatography, crystallizing the compound as a chiral salt complex, or crystallizing the compound in a chiral solvent. Enantiomers and diastereomers can also be obtained from diastereomerically- or enantiomerically-pure intermediates, reagents, and catalysts by well known asymmetric synthetic methods.

The compounds of the invention are defined herein by their chemical structures and/or chemical names. Where a compound is referred to by both a chemical structure and a chemical name, and the chemical structure and chemical name conflict, the chemical structure is determinative of the compound's identity.

When administered to a patient, *e.g.*, to an animal for veterinary use or for improvement of livestock, or to a human for clinical use, the compounds of the invention are administered in isolated form or as the isolated form in a pharmaceutical composition. As used herein, "isolated" means that the compounds of the invention are separated from other components of either (a) a natural source, such as a plant or cell, preferably bacterial culture, or (b) a synthetic organic chemical reaction mixture. Preferably, via conventional techniques, the compounds of the invention are purified. As used herein, "purified" means that when isolated, the isolate contains at least 95%, preferably at least 98%, of a single hydroxy compound of the invention by weight of the isolate.

The phrase “pharmaceutically acceptable salt(s),” as used herein includes, but is not limited to, salts of acidic or basic groups that may be present in the compounds of the invention. Compounds that are basic in nature are capable of forming a wide variety of salts with various inorganic and organic acids. The acids that may be used to prepare  
5 pharmaceutically acceptable acid addition salts of such basic compounds are those that form non-toxic acid addition salts, *i.e.*, salts containing pharmacologically acceptable anions, including but not limited to sulfuric, citric, maleic, acetic, oxalic, hydrochloride, hydrobromide, hydroiodide, nitrate, sulfate, bisulfate, phosphate, acid phosphate, isonicotinate, acetate, lactate, salicylate, citrate, acid citrate, tartrate, oleate, tannate,  
10 pantothenate, bitartrate, ascorbate, succinate, maleate, gentisinate, fumarate, gluconate, glucuronate, saccharate, formate, benzoate, glutamate, methanesulfonate, ethanesulfonate, benzenesulfonate, p-toluenesulfonate and pamoate (*i.e.*, 1,1'-methylene-bis-(2-hydroxy-3-naphthoate)) salts. Compounds of the invention that include an amino moiety also can form pharmaceutically acceptable salts with various amino acids, in addition to the acids  
15 mentioned above. Compounds of the invention that are acidic in nature are capable of forming base salts with various pharmacologically acceptable cations. Examples of such salts include alkali metal or alkaline earth metal salts and, particularly, calcium, magnesium, sodium lithium, zinc, potassium, and iron salts.

As used herein, the term “hydrate” means a compound of the invention or a  
20 salt thereof, that further includes a stoichiometric or non-stoichiometric amount of water bound by non-covalent intermolecular forces. The term hydrate includes solvates, which are stoichiometric or non-stoichiometric amounts of a solvent bound by non-covalent intermolecular forces. Preferred solvents are volatile, non-toxic, and/or acceptable for administration to humans in trace amounts.

25 As used herein, the term “altering lipid metabolism” indicates an observable (measurable) change in at least one aspect of lipid metabolism, including but not limited to total blood lipid content, blood HDL cholesterol, blood LDL cholesterol, blood VLDL cholesterol, blood triglyceride, blood Lp(a), blood apo A-I, blood apo E and blood non-esterified fatty acids.

30 As used herein, the term “altering glucose metabolism” indicates an observable (measurable) change in at least one aspect of glucose metabolism, including but not limited to total blood glucose content, blood insulin, the blood insulin to blood glucose ratio, insulin sensitivity, and oxygen consumption.

As used herein, the term “alkyl group” means a saturated, monovalent unbranched or branched hydrocarbon chain. Examples of alkyl groups include, but are not limited to, (C<sub>1</sub>–C<sub>6</sub>)alkyl groups, such as methyl, ethyl, propyl, isopropyl, 2-methyl-1-propyl, 2 methyl 2-propyl, 2-methyl-1-butyl, 3-methyl-1-butyl, 2 methyl-3-butyl, 2,2 dimethyl 1-propyl, 2-methyl-1-pentyl, 3 methyl-1-pentyl, 4 methyl-1-pentyl, 2-methyl-2-pentyl, 3-methyl-2-pentyl, 4 methyl 2 pentyl, 2,2 dimethyl 1 butyl, 3,3-dimethyl-1-butyl, 2-ethyl-1-butyl, butyl, isobutyl, t-butyl, pentyl, isopentyl, neopentyl, and hexyl, and longer alkyl groups, such as heptyl, and octyl. An alkyl group can be unsubstituted or substituted with one or two suitable substituents.

As used herein, the term an “alkenyl group” means a monovalent unbranched or branched hydrocarbon chain having one or more double bonds therein. The double bond of an alkenyl group can be unconjugated or conjugated to another unsaturated group. Suitable alkenyl groups include, but are not limited to (C<sub>2</sub>–C<sub>6</sub>)alkenyl groups, such as vinyl, allyl, butenyl, pentenyl, hexenyl, butadienyl, pentadienyl, hexadienyl, 2-ethylhexenyl, 2-propyl-2-butenyl, 4-(2-methyl-3-butene)-pentenyl. An alkenyl group can be unsubstituted or substituted with one or two suitable substituents.

As used herein, the term an “alkynyl group” means monovalent unbranched or branched hydrocarbon chain having one or more triple bonds therein. The triple bond of an alkynyl group can be unconjugated or conjugated to another unsaturated group. Suitable alkynyl groups include, but are not limited to, (C<sub>2</sub>–C<sub>6</sub>)alkynyl groups, such as ethynyl, propynyl, butynyl, pentynyl, hexynyl, methylpropynyl, 4-methyl-1-butynyl, 4-propyl-2-pentynyl, and 4-butyl-2-hexynyl. An alkynyl group can be unsubstituted or substituted with one or two suitable substituents.

As used herein, the term an “aryl group” means a monocyclic or polycyclic-aromatic radical comprising carbon and hydrogen atoms. Examples of suitable aryl groups include, but are not limited to, phenyl, tolyl, anthacenyl, fluorenyl, indenyl, azulenyl, and naphthyl, as well as benzo-fused carbocyclic moieties such as 5,6,7,8-tetrahydronaphthyl. An aryl group can be unsubstituted or substituted with one or two suitable substituents. Preferably, the aryl group is a monocyclic ring, wherein the ring comprises 6 carbon atoms, referred to herein as “(C<sub>6</sub>)aryl”.

As used herein, the term an “heteroaryl group” means a monocyclic- or polycyclic aromatic ring comprising carbon atoms, hydrogen atoms, and one or more heteroatoms, preferably 1 to 3 heteroatoms, independently selected from nitrogen, oxygen, and sulfur. Illustrative examples of heteroaryl groups include, but are not limited to,



pyridinyl, pyridazinyl, pyrimidinyl, pyrazyl, triazinyl, pyrrolyl, pyrazolyl, imidazolyl, (1,2,3)- and (1,2,4)-triazolyl, pyrazinyl, pyrimidinyl, tetrazolyl, furyl, thiophenyl, isoxazolyl, thiazolyl, furyl, phenyl, isoxazolyl, and oxazolyl. A heteroaryl group can be unsubstituted or substituted with one or two suitable substituents. Preferably, a heteroaryl group is a monocyclic ring, wherein the ring comprises 2 to 5 carbon atoms and 1 to 3 heteroatoms, referred to herein as “(C<sub>2</sub>–C<sub>5</sub>)heteroaryl”.

As used herein, the term “cycloalkyl group” means a monocyclic or polycyclic saturated ring comprising carbon and hydrogen atoms and having no carbon-carbon multiple bonds. Examples of cycloalkyl groups include, but are not limited to, (C<sub>3</sub>–C<sub>7</sub>)cycloalkyl groups, such as cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, and cycloheptyl, and saturated cyclic and bicyclic terpenes. A cycloalkyl group can be unsubstituted or substituted by one or two suitable substituents. Preferably, the cycloalkyl group is a monocyclic ring or bicyclic ring.

As used herein, the term “heterocycloalkyl group” means a monocyclic or polycyclic ring comprising carbon and hydrogen atoms and at least one heteroatom, preferably, 1 to 3 heteroatoms selected from nitrogen, oxygen, and sulfur, and having no unsaturation. Examples of heterocycloalkyl groups include pyrrolidinyl, pyrrolidino, piperidinyl, piperidino, piperazinyl, piperazino, morpholinyl, morpholino, thiomorpholinyl, thiomorpholino, and pyranyl. A heterocycloalkyl group can be unsubstituted or substituted with one or two suitable substituents. Preferably, the heterocycloalkyl group is a monocyclic or bicyclic ring, more preferably, a monocyclic ring, wherein the ring comprises from 3 to 6 carbon atoms and from 1 to 3 heteroatoms, referred to herein as (C<sub>1</sub>–C<sub>6</sub>)heterocycloalkyl.

As used herein, the terms “heterocyclic radical” or “heterocyclic ring” mean a heterocycloalkyl group or a heteroaryl group.

As used herein, the term “alkoxy group” means an –O–alkyl group, wherein alkyl is as defined above. An alkoxy group can be unsubstituted or substituted with one or two suitable substituents. Preferably, the alkyl chain of an alkyloxy group is from 1 to 6 carbon atoms in length, referred to herein as “(C<sub>1</sub>–C<sub>6</sub>)alkoxy”.

As used herein, the term “aryloxy group” means an –O–aryl group, wherein aryl is as defined above. An aryloxy group can be unsubstituted or substituted with one or two suitable substituents. Preferably, the aryl ring of an aryloxy group is a monocyclic ring, wherein the ring comprises 6 carbon atoms, referred to herein as “(C<sub>6</sub>)aryloxy”.

As used herein, the term “benzyl” means –CH<sub>2</sub>–phenyl.

As used herein, the term “phenyl” means  $\text{C}_6\text{H}_5$ . A phenyl group can be unsubstituted or substituted with one or two suitable substituents, wherein the substituent replaces an H of the phenyl group. As used herein, “Ph,” represents a phenyl group or a substituted phenyl group.

5 As used herein, the term “hydrocarbyl” group means a monovalent group selected from  $(\text{C}_1\text{--C}_8)\text{alkyl}$ ,  $(\text{C}_2\text{--C}_8)\text{alkenyl}$ , and  $(\text{C}_2\text{--C}_8)\text{alkynyl}$ , optionally substituted with one or two suitable substituents. Preferably, the hydrocarbon chain of a hydrocarbyl group is from 1 to 6 carbon atoms in length, referred to herein as “ $(\text{C}_1\text{--C}_6)\text{hydrocarbyl}$ ”.

As used herein, a “carbonyl” group is a divalent group of the formula  $\text{C}(\text{O})$ .

10 As used herein, the term “alkoxycarbonyl” group means a monovalent group of the formula  $\text{C}(\text{O})\text{--alkoxy}$ . Preferably, the hydrocarbon chain of an alkoxycarbonyl group is from 1 to 8 carbon atoms in length, referred to herein as a “lower alkoxycarbonyl” group.

As used herein, a “carbamoyl” group means the radical  $\text{C}(\text{O})\text{N}(\text{R}')_2$ ,  
15 wherein  $\text{R}'$  is chosen from the group consisting of hydrogen, alkyl, and aryl.

As used herein, “halogen” means fluorine, chlorine, bromine, or iodine. Accordingly, the meaning of the terms “halo” and “Hal” encompass fluoro, chloro, bromo, and iodo.

As used herein, a “suitable substituent” means a group that does not nullify  
20 the synthetic or pharmaceutical utility of the compounds of the invention or the intermediates useful for preparing them. Examples of suitable substituents include, but are not limited to:  $(\text{C}_1\text{--C}_8)\text{alkyl}$ ;  $(\text{C}_1\text{--C}_8)\text{alkenyl}$ ;  $(\text{C}_1\text{--C}_8)\text{alkynyl}$ ;  $(\text{C}_6)\text{aryl}$ ;  $(\text{C}_2\text{--C}_5)\text{heteroaryl}$ ;  $(\text{C}_3\text{--C}_7)\text{cycloalkyl}$ ;  $(\text{C}_1\text{--C}_8)\text{alkoxy}$ ;  $(\text{C}_6)\text{aryloxy}$ ;  $\text{--CN}$ ;  $\text{--OH}$ ; oxo; halo,  $\text{--CO}_2\text{H}$ ;  $\text{--NH}_2$ ;  $\text{--NH}((\text{C}_1\text{--C}_8)\text{alkyl})$ ;  $\text{--N}((\text{C}_1\text{--C}_8)\text{alkyl})_2$ ;  $\text{--NH}((\text{C}_6)\text{aryl})$ ;  $\text{--N}((\text{C}_6)\text{aryl})_2$ ;  $\text{--CHO}$ ;  $\text{--CO}((\text{C}_1\text{--C}_8)\text{alkyl})$ ;  $\text{--CO}((\text{C}_6)\text{aryl})$ ;  $\text{--CO}_2((\text{C}_1\text{--C}_8)\text{alkyl})$ ; and  $\text{--CO}_2((\text{C}_6)\text{aryl})$ . One of skill in the art  
25 can readily choose a suitable substituent based on the stability and pharmacological and synthetic activity of the compound of the invention.

As used herein, a composition that is “substantially free” of a compound means that the composition contains less than about 20% by weight, more preferably less  
30 than about 10% by weight, even more preferably less than about 5% by weight, and most preferably less than about 3% by weight of the compound.

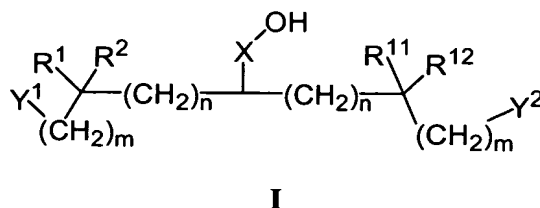
## 5. DETAILED DESCRIPTION OF THE INVENTION

The compounds of the invention are useful in medical applications for treating or preventing a variety of diseases and disorders such as, but not limited to, cardiovascular disease, stroke, and peripheral vascular disease; dyslipidemia; dyslipoproteinemia; a disorder of glucose metabolism; Alzheimer's Disease; Parkinson's Disease, diabetic nephropathy, diabetic retinopathy, insulin resistance, metabolic syndrome disorders (*e.g.*, Syndrome X); a peroxisome proliferator activated receptor-associated disorder; septicemia; a thrombotic disorder; obesity; pancreatitis; hypertension; renal disease; cancer; inflammation; inflammatory muscle diseases, such as polymyositis, 5 rheumatica, polymyositis, and fibrositis; impotence; gastrointestinal disease; irritable bowel syndrome; inflammatory bowel disease; inflammatory disorders, such as asthma, vasculitis, ulcerative colitis, Crohn's disease, Kawasaki disease, Wegener's granulomatosis, (RA), systemic lupus erythematosus (SLE), multiple sclerosis (MS), and autoimmune chronic hepatitis; arthritis, such as rheumatoid arthritis, juvenile rheumatoid arthritis, and 10 osteoarthritis; osteoporosis, soft tissue rheumatism, such as tendonitis; bursitis; autoimmune disease, such as systemic lupus and erythematosus; scleroderma; ankylosing spondylitis; gout; pseudogout; non-insulin dependent diabetes mellitus; polycystic ovarian disease; hyperlipidemias, such as familial hypercholesterolemia (FH), familial combined hyperlipidemia (FCH); lipoprotein lipase deficiencies, such as hypertriglyceridemia, 20 hypoalphalipoproteinemia, and hypercholesterolemia; lipoprotein abnormalities associated with diabetes; lipoprotein abnormalities associated with obesity; and lipoprotein abnormalities associated with Alzheimer's Disease. The compounds and compositions of the invention are useful for treatment or prevention of high levels of blood triglycerides, high levels of low density lipoprotein cholesterol, high levels of apolipoprotein B, high 25 levels of lipoprotein Lp(a) cholesterol, high levels of very low density lipoprotein cholesterol, high levels of fibrinogen, high levels of insulin, high levels of glucose, and low levels of high density lipoprotein cholesterol. The compounds and compositions of the invention also have utility for treatment of NIDDM without increasing weight gain. The compounds of the invention may also be used to reduce the fat content of meat in livestock 30 and reduce the cholesterol content of eggs.

The invention provides novel compounds particularly useful for treating or preventing a variety of diseases and conditions, which include, but are not limited to aging, Alzheimer's Disease, cancer, cardiovascular disease, diabetic nephropathy, diabetic retinopathy, a disorder of glucose metabolism, dyslipidemia, dyslipoproteinemia, enhancing

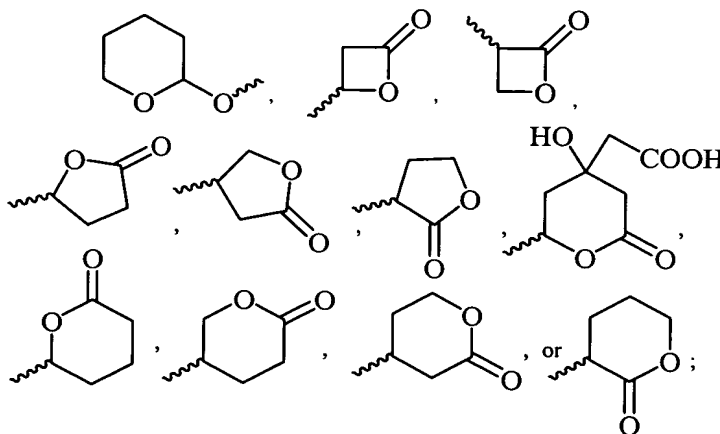
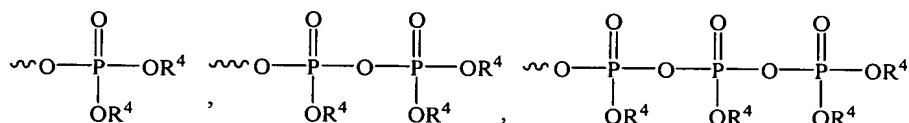
bile production, hypertension, impotence, inflammation, insulin resistance, lipid elimination in bile, modulating C reactive protein, obesity, oxysterol elimination in bile, pancreatitis, pancreatitis, Parkinson's disease, a peroxisome proliferator activated receptor-associated disorder, phospholipid elimination in bile, renal disease, septicemia, Syndrome X, and a  
5 thrombotic disorder.

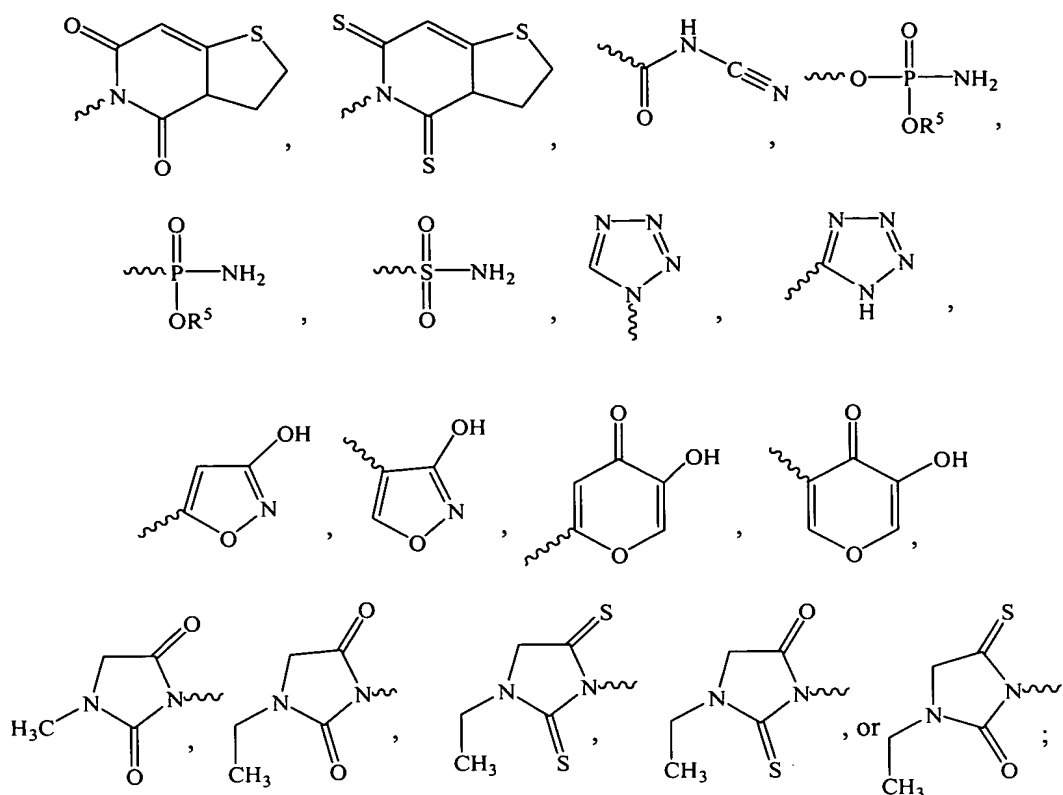
The invention encompasses compounds of formula I:



or a pharmaceutically acceptable salt, hydrate, solvate or mixture thereof, wherein:

- 10 (a) each occurrence of m is independently an integer ranging from 0 to 5;  
 (b) each occurrence of n is independently an integer ranging from 3 to 7;  
 (c) X is (CH<sub>2</sub>)<sub>z</sub> or Ph, wherein z is an integer from 0 to 4 and Ph is a 1,2-, 1,3-, or 1,4 substituted phenyl group;  
 (d) each occurrence of R<sup>1</sup>, R<sup>2</sup>, R<sup>11</sup>, and R<sup>12</sup> is independently H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-  
 15 C<sub>6</sub>)alkenyl, (C<sub>2</sub>-C<sub>6</sub>)alkynyl, phenyl, or benzyl, wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>11</sup>, and R<sup>12</sup> are not each simultaneously H; and  
 (e) each occurrence of Y<sup>1</sup> and Y<sup>2</sup> is independently (C<sub>1</sub>-C<sub>6</sub>)alkyl, OH, COOH, COOR<sup>3</sup>, SO<sub>3</sub>H,





wherein:

(i)  $Y^1$  and  $Y^2$  are not each simultaneously  $(C_1-C_6)$ alkyl;

(ii)  $R^3$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl and is unsubstituted or substituted with one or more halo, OH,  $(C_1-C_6)$ alkoxy, or phenyl groups,

(iii) each occurrence of  $R^4$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl and is unsubstituted or substituted with one or two halo, OH,  $C_1-C_6$  alkoxy, or phenyl groups; and

(iv) each occurrence of  $R^5$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl.

Preferably in formula I, each occurrence of  $Y^1$  and  $Y^2$  is independently OH,

$COOR^3$ , or  $COOH$ .

Other preferred compounds of formula I are those wherein m is 0.

Other preferred compounds of formula I are those wherein m is 1.

Other preferred compounds of formula I are those wherein n is 4.

Other preferred compounds of formula I are those wherein n is 5.

Other preferred compounds of formula I are those wherein z is 0.

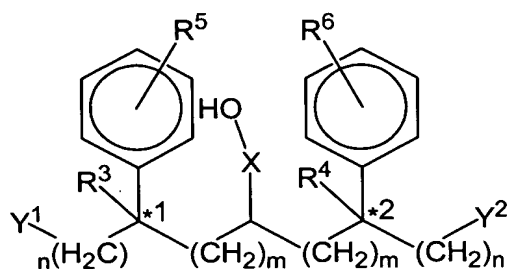
Other preferred compounds of formula I are those wherein z is 1.

Other preferred compounds of formula I are those wherein Y<sup>1</sup> is (C<sub>1</sub>–C<sub>6</sub>)alkyl and Y<sup>2</sup> is OH.

5 Other preferred compounds of formula I are those wherein Y<sup>1</sup> is methyl and Y<sup>2</sup> is OH.

In another embodiment, the invention encompasses compounds of formula

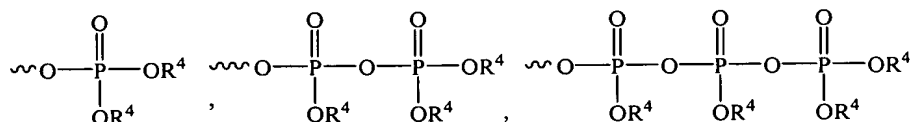
II:

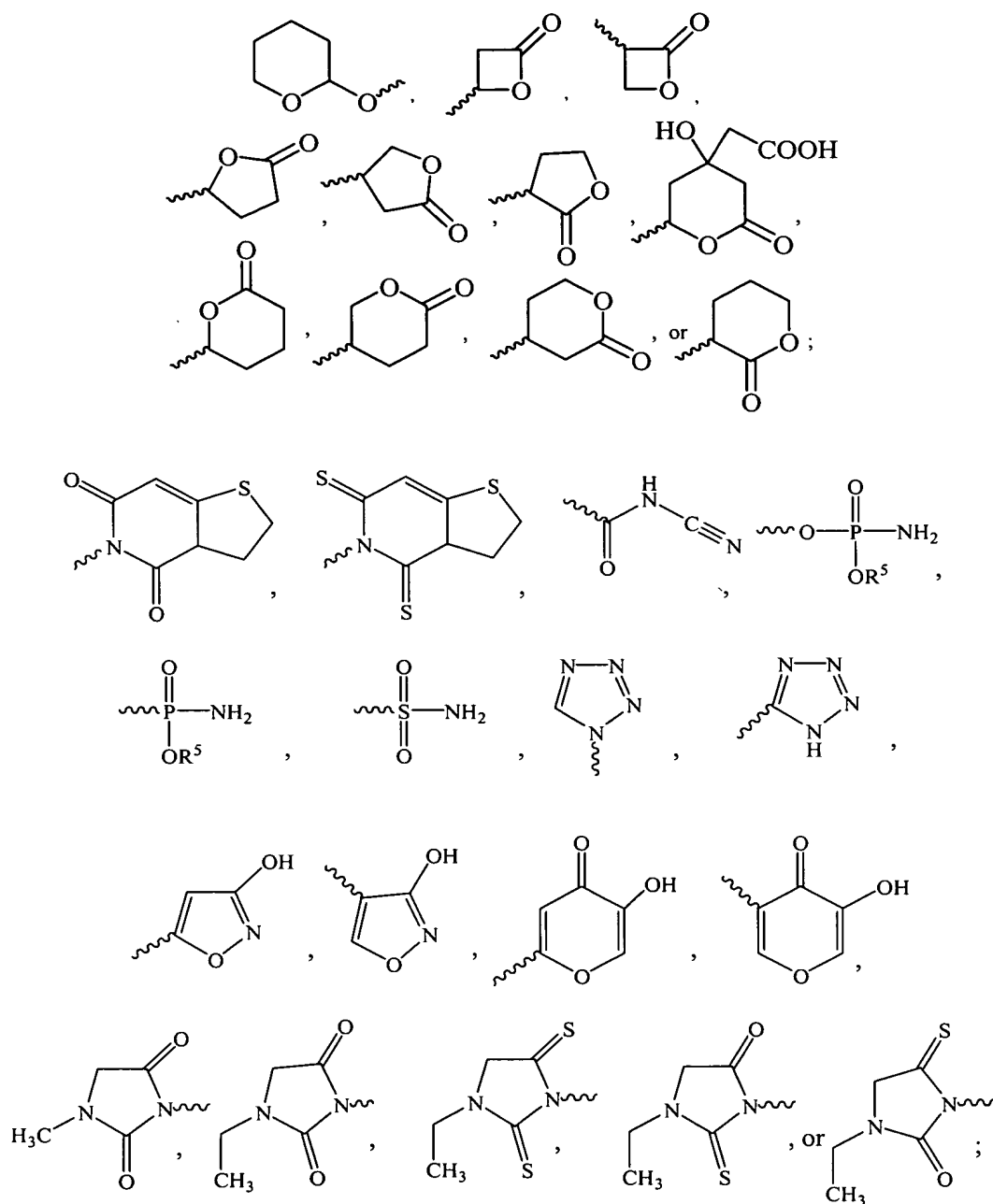


II

or a pharmaceutically acceptable salt, hydrate, solvate, or mixture thereof, wherein:

- (a) each occurrence of m is independently an integer ranging from 3 to 7;
- (b) each occurrence of n is independently an integer ranging from 0 to 5;
- (c) X is (CH<sub>2</sub>)<sub>z</sub> or Ph, wherein z is an integer from 0 to 4 and Ph is a 1,2-, 1,3-, or 1,4 substituted phenyl group;
- (d) each occurrence of Y<sup>1</sup> and Y<sup>2</sup> independently (C<sub>1</sub>–C<sub>6</sub>)alkyl, OH, COOH, COOR<sup>7</sup>, SO<sub>3</sub>H,





wherein:

5

(i)  $R^7$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl and is unsubstituted or substituted with one or more halo, OH,  $(C_1-C_6)$ alkoxy, or phenyl groups,

(ii) each occurrence of  $R^8$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl and is unsubstituted or substituted with one or two halo, OH,  $C_1-C_6$  alkoxy, or phenyl groups,

10

(iii) each occurrence of  $R^9$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl;

(e)  $R^3$  and  $R^4$  are  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl;

5 (f)  $R^5$  and  $R^6$  are H, halogen,  $(C_1-C_4)$ alkyl,  $(C_1-C_4)$ alkoxy,  $(C_6)$ aryloxy, CN, or  $NO_2$ ,  $N(R^5)_2$  where  $R^5$  is H,  $(C_1-C_4)$  alkyl, phenyl, or benzyl;

(g)  $C^{*1}$  and  $C^{*2}$  represent independent chiral-carbon centers wherein each center may independently be R or S.

Exemplary compounds of formula **II** are those wherein each occurrence of  $Y^1$  and  $Y^2$  is independently OH,  $COOR^7$ , or  $COOH$ .

10 Other compounds of formula **II** are those wherein m is 4.

Other compounds of formula **II** are those wherein m is 5.

Other compounds of formula **II** are those wherein X is  $(CH_2)_z$  and z is 0.

Other compounds of formula **II** are those wherein X is  $(CH_2)_z$  and z is 1.

15 Other compounds of formula **II** are those wherein  $Y^1$  and/or  $Y^2$  is  $C(O)OH$  or  $CH_2OH$ .

Other compounds of formula **II** are those wherein  $R^3$  and  $R^4$  are each independently  $(C_1-C_6)$  alkyl.

Other compounds of formula **II** are those wherein  $R^3$  and  $R^4$  are each methyl.

20 Other compounds of formula **II** are those wherein  $C^{*1}$  is of the stereochemical configuration R or substantially R.

Other compounds of formula **II** are those wherein  $C^{*1}$  is of the stereochemical configuration S or substantially S.

Other compounds of formula **II** are those wherein  $C^{*2}$  is of the stereochemical configuration R or substantially R.

25 Other compounds of formula **II** are those wherein  $C^{*2}$  is of the stereochemical configuration S or substantially S.

In a particular embodiment, compounds of formula **II** are those wherein  $C^{*1}$   $C^{*2}$  are of the stereochemical configuration  $(S^1, S^2)$  or substantially  $(S^1, S^2)$ .

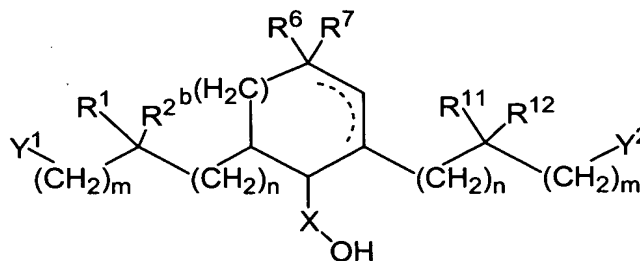
30 In another particular embodiment, compounds of formula **II** are those wherein  $C^{*1}$   $C^{*2}$  are of the stereochemical configuration  $(S^1, R^2)$  or substantially  $(S^1, R^2)$ .

In another particular embodiment, compounds of formula **II** are those wherein  $C^{*1}$   $C^{*2}$  are of the stereochemical configuration  $(R^1, R^2)$  or substantially  $(R^1, R^2)$ .



In another particular embodiment, compounds of formula **II** are those wherein C\*<sup>1</sup> C\*<sup>2</sup> are of the stereochemical configuration (R<sup>1</sup>, S<sup>2</sup>) or substantially (R<sup>1</sup>, S<sup>2</sup>).

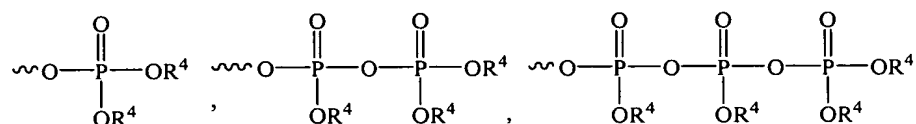
In another embodiment, the invention encompasses compounds of formula **III**:

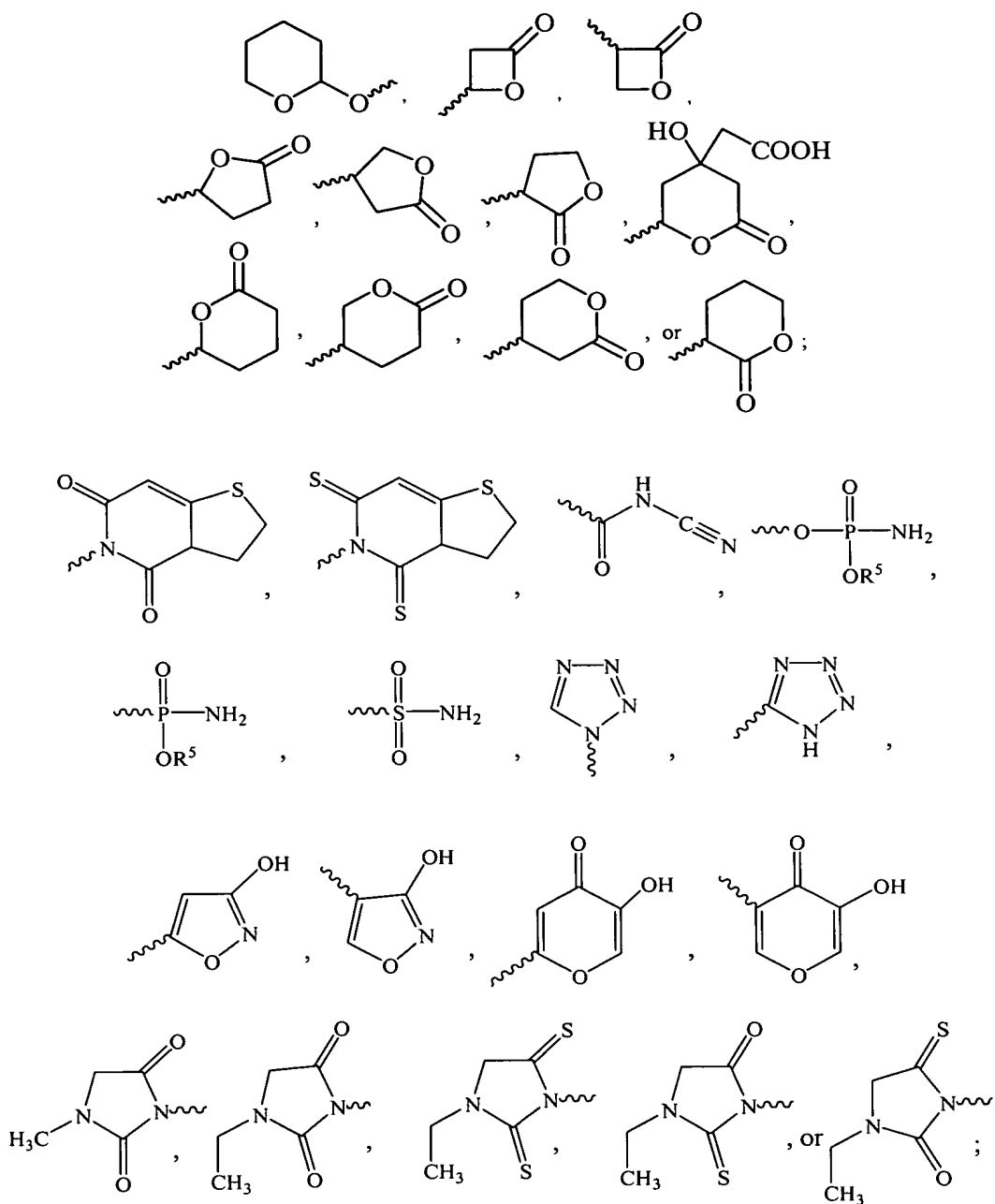


**III**

or a pharmaceutically acceptable salt, hydrate, solvate, or mixture thereof, wherein

- (a) each occurrence of R<sup>1</sup>, R<sup>2</sup>, R<sup>6</sup>, R<sup>7</sup>, R<sup>11</sup>, or R<sup>12</sup> is independently hydrogen, (C<sub>1</sub>–C<sub>6</sub>)alkyl, (C<sub>2</sub>–C<sub>6</sub>)alkenyl, (C<sub>2</sub>–C<sub>6</sub>)alkynyl, phenyl, or benzyl;
- 10 (b) each occurrence of n is independently an integer ranging from 1 to 7;
- (c) X is (CH<sub>2</sub>)<sub>z</sub> or Ph, wherein z is an integer from 0 to 4 and Ph is a 1,2-, 1,3-, or 1,4 substituted phenyl group;
- (d) each occurrence of m is independently an integer ranging from 0 to 4;
- (e) each occurrence of Y<sup>1</sup> and Y<sup>2</sup> is independently (C<sub>1</sub>–C<sub>6</sub>)alkyl, CH<sub>2</sub>OH, C(O)OH, OC(O)R<sup>3</sup>, C(O)OR<sup>3</sup>, SO<sub>3</sub>H,
- 15





wherein:

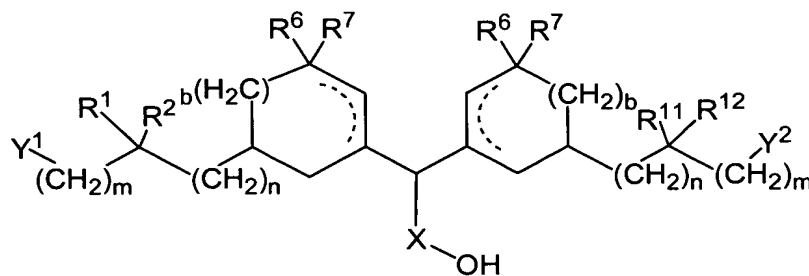
- 5 (i)  $R^3$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl and is unsubstituted or substituted with one or more halo, OH,  $(C_1-C_6)$ alkoxy, or phenyl groups,
- (ii) each occurrence of  $R^4$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl and is unsubstituted or substituted with one or two halo, OH,  $C_1-C_6$  alkoxy, or phenyl groups;
- 10

(iii) each occurrence of  $R^5$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl; and

- (f) b is 0 or 1 and optionally the ring contains the presence of one or more additional carbon-carbon bonds that when present complete one or more carbon-carbon double bonds such that when b is 0 the maximum number of carbon-carbon bonds is two or when b is 1 the maximum number of carbon-carbon bonds is three.

In another embodiment, the invention encompasses compounds of formula

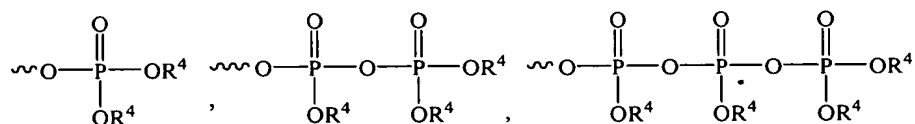
IV:



IV

or a pharmaceutically acceptable salt, hydrate, solvate, or mixture thereof, wherein

- (a) each occurrence of  $R^1$ ,  $R^2$ ,  $R^6$ ,  $R^7$ ,  $R^{11}$ , or  $R^{12}$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl;
- (b) each occurrence of n is independently an integer ranging from 1 to 7;
- (c) X is  $(CH_2)_z$  or Ph, wherein z is an integer from 0 to 4 and Ph is a 1,2-, 1,3-, or 1,4 substituted phenyl group;
- (d) each occurrence of m is independently an integer ranging from 0 to 4;
- (e) each occurrence of  $Y^1$  and  $Y^2$  is independently  $(C_1-C_6)$ alkyl,  $CH_2OH$ ,  $C(O)OH$ ,  $OC(O)R^3$ ,  $C(O)OR^3$ ,  $SO_3H$ ,



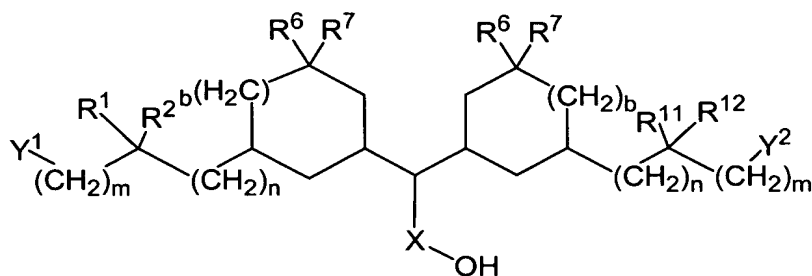


(iii) each occurrence of  $R^5$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl; and

- (f) each occurrence of b is independently 0 or 1 and optionally each of the rings independently contains the presence of one or more additional carbon-carbon bonds that when present complete one or more carbon-carbon double bonds such that when b is 0 the maximum number of carbon-carbon bonds is two or when b is 1 the maximum number of carbon-carbon bonds is three.

In another embodiment, the invention encompasses compounds of formula

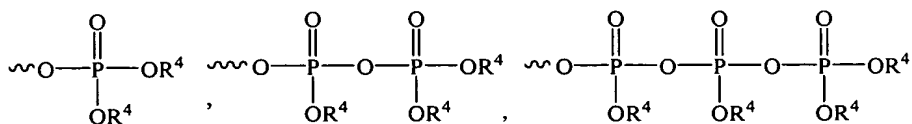
V:

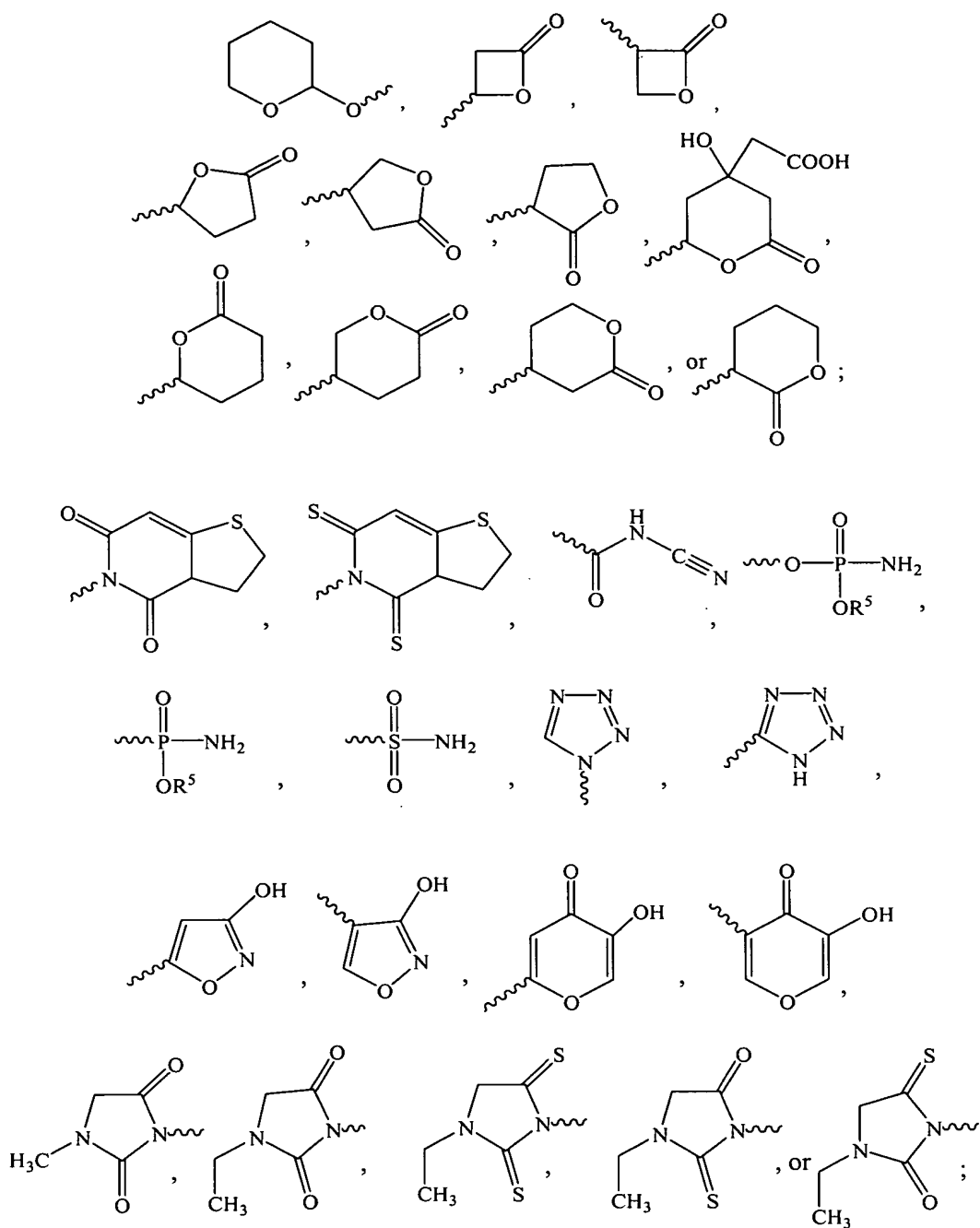


V

or a pharmaceutically acceptable salt, hydrate, solvate, or mixture thereof, wherein

- (a) each occurrence of  $R^1$ ,  $R^2$ ,  $R^6$ ,  $R^7$ ,  $R^{11}$ , or  $R^{12}$  is independently hydrogen,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benyl;
- (b) each occurrence of n is independently an integer ranging from 1 to 7;
- (c) X is  $(CH_2)_z$  or Ph, wherein z is an integer from 0 to 4 and Ph is a 1,2-, 1,3-, or 1,4 substituted phenyl group;
- (d) each occurrence of m is independently an integer ranging from 0 to 4;
- (e) each occurrence of  $Y^1$  and  $Y^2$  is independently  $(C_1-C_6)$ alkyl,  $CH_2OH$ ,  $C(O)OH$ ,  $OC(O)R^3$ ,  $C(O)OR^3$ ,  $SO_3H$ ,





wherein:

5

(i)  $R^3$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl and is unsubstituted or substituted with one or more halo, OH,  $(C_1-C_6)$ alkoxy, or phenyl groups,

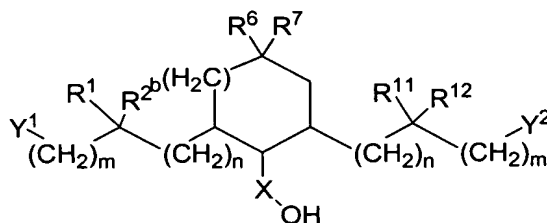
(ii) each occurrence of  $R^4$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl and is unsubstituted or substituted with one or two halo, OH,  $C_1-C_6$  alkoxy, or phenyl groups;

10

(iii) each occurrence of  $R^5$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl; and

(f) b is 0 or 1 and optionally the ring contains one or more carbon-carbon bonds that when present complete one or more carbon-carbon double bonds.

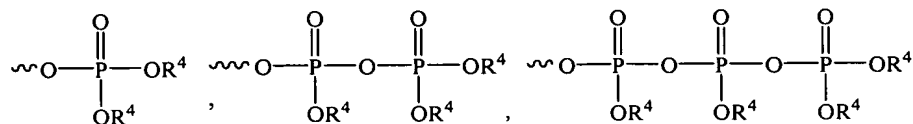
5 In another embodiment, the invention encompasses compounds of the formula VI:

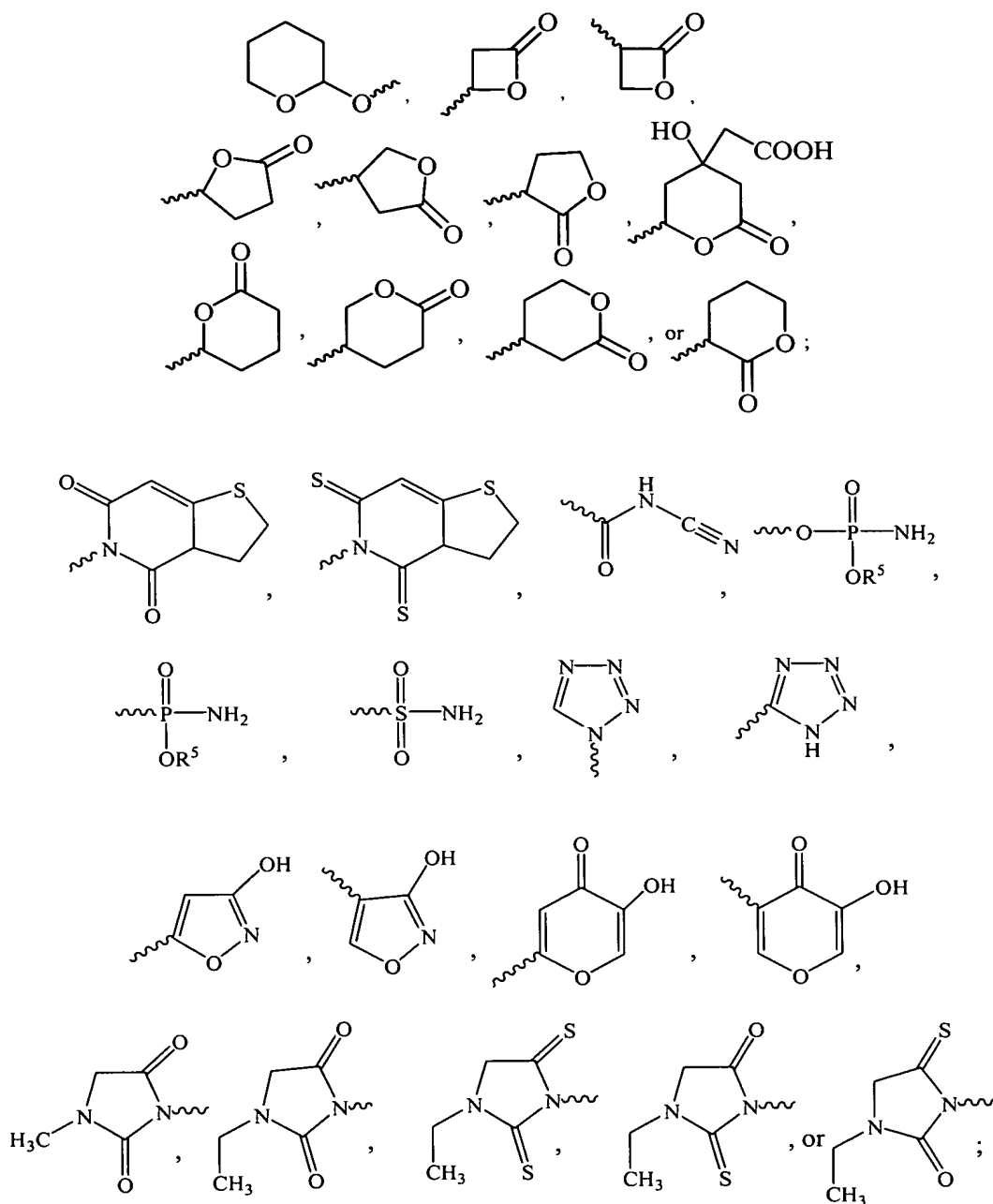


VI

or a pharmaceutically acceptable salt, hydrate, solvate, or mixture thereof, wherein:

- 10 (a) each occurrence of  $R^1$ ,  $R^2$ ,  $R^6$ ,  $R^7$ ,  $R^{11}$ , or  $R^{12}$  is independently hydrogen,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl;
- (b) each occurrence of n is independently an integer ranging from 1 to 7;
- 15 (c) X is  $(CH_2)_z$  or Ph, wherein z is an integer from 0 to 4 and Ph is a 1,2-, 1,3-, or 1,4 substituted phenyl group;
- (d) each occurrence of m is independently an integer ranging from 0 to 4;
- 20 (e) each occurrence of  $Y^1$  and  $Y^2$  is independently  $(C_1-C_6)$ alkyl,  $CH_2OH$ ,  $C(O)OH$ ,  $OC(O)R^3$ ,  $C(O)OR^3$ ,  $SO_3H$ ,





wherein:

5

(i)  $R^3$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl and is unsubstituted or substituted with one or more halo, OH,  $(C_1-C_6)$ alkoxy, or phenyl groups,

10

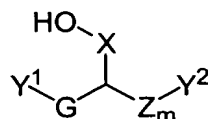
(ii) each occurrence of  $R^4$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl and is unsubstituted or substituted with one or two halo, OH,  $C_1-C_6$  alkoxy, or phenyl groups; and



(iii) each occurrence of  $R^5$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl; and

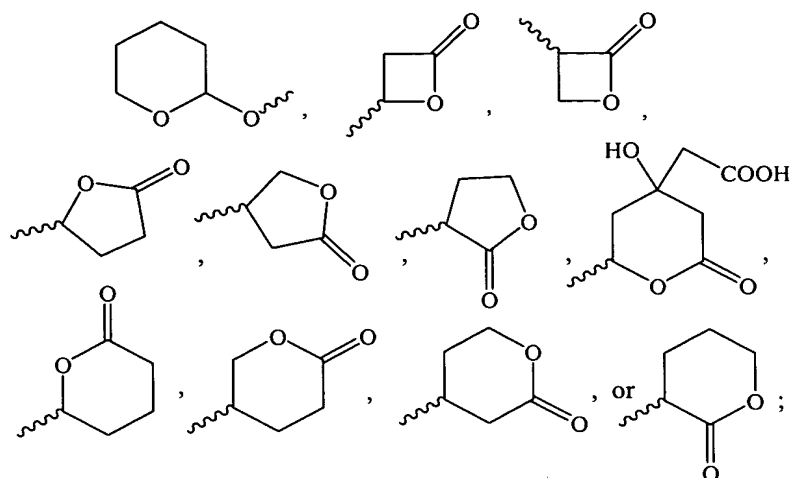
(f) b is 0 or 1 and optionally the ring contains one or more carbon-carbon bonds that when present complete one or more carbon-carbon double bonds.

5 In another embodiment, the invention encompasses compounds of the formula **VII**:

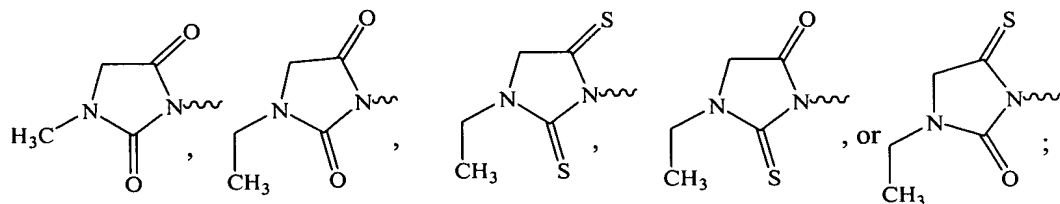
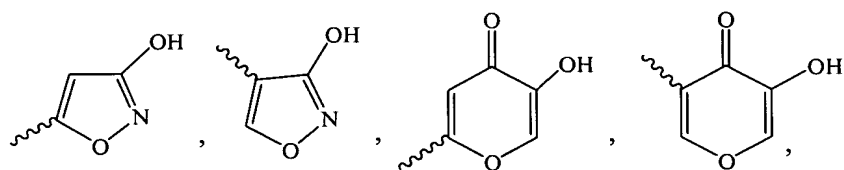
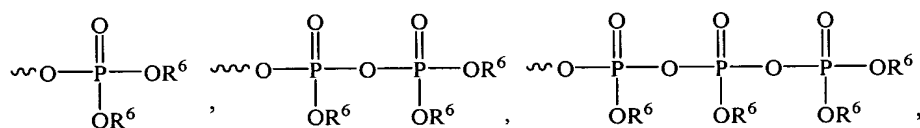
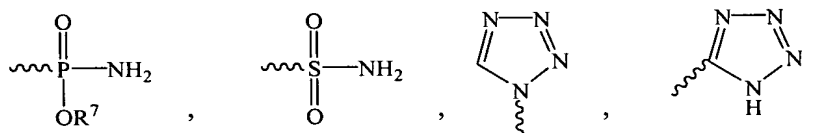
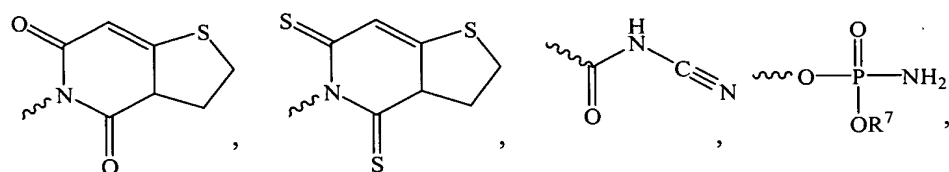


**VII**

- or a pharmaceutically acceptable salt, hydrate, solvate, or mixture thereof, wherein
- 10 (a) Z is  $\text{CH}_2$ ,  $\text{CH}=\text{CH}$ , or phenyl, where each occurrence of m is independently an integer ranging from 1 to 9, but when Z is phenyl then its associated m is 1;
- (b) G is  $(\text{CH}_2)_x$ , where x is 1, 2, 3, or 4,  $\text{CH}_2\text{CH}=\text{CHCH}_2$ ,  $\text{CH}=\text{CH}$ ,  $\text{CH}_2$ -phenyl- $\text{CH}_2$ , or phenyl;
- (c) each occurrence of  $\text{Y}^1$  and  $\text{Y}^2$  is independently L, V,  $\text{C}(\text{R}^1)(\text{R}^2)-(\text{CH}_2)_c-\text{C}(\text{R}^3)(\text{R}^4)-(\text{CH}_2)_n-\text{Y}$ , or  $\text{C}(\text{R}^1)(\text{R}^2)-(\text{CH}_2)_c-\text{V}$  where c is 1 or 2 and n is an integer ranging from 0 to 4; when G is  $(\text{CH}_2)_x$ , where x is 1, 2, 3, or 4,  $\text{W}^2$  is  $\text{CH}_3$ ;
- 15 (d) each occurrence of  $\text{R}^1$  or  $\text{R}^2$  is independently  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl or when one or both of  $\text{Y}^1$  and  $\text{Y}^2$  is  $\text{C}(\text{R}^1)(\text{R}^2)-(\text{CH}_2)_c-\text{C}(\text{R}^3)(\text{R}^4)-(\text{CH}_2)_n-\text{W}$ , then  $\text{R}^1$  and  $\text{R}^2$  can both be H to form a methylene group;
- 20 (e)  $\text{R}^3$  is H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl,  $(C_1-C_6)$ alkoxy, phenyl, benzyl, Cl, Br, CN,  $\text{NO}_2$ , or  $\text{CF}_3$ ;
- (f)  $\text{R}^4$  is OH,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl,  $(C_1-C_6)$ alkoxy, phenyl, benzyl, Cl, Br, CN,  $\text{NO}_2$ , or  $\text{CF}_3$ ;
- 25 (g) L is  $\text{C}(\text{R}^1)(\text{R}^2)-(\text{CH}_2)_n-\text{W}$ ;
- (h) V is:



(i) each occurrence of W is independently OH, COOH, CHO, COOR<sup>5</sup>, SO<sub>3</sub>H,



5

wherein:

(i) R<sup>5</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, (C<sub>2</sub>-C<sub>6</sub>)alkynyl, phenyl, or benzyl and is unsubstituted or substituted with one or more halo, OH, (C<sub>1</sub>-C<sub>6</sub>)alkoxy, or phenyl groups,

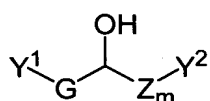
(ii) each occurrence of  $R^6$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl and is unsubstituted or substituted with one or two halo, OH,  $(C_1-C_6)$  alkoxy, or phenyl groups;

(iii) each occurrence of  $R^7$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl; and

5

(j) X is  $(CH_2)_z$  or PH, wherein z is an integer from 0 to 4.

In a particular embodiment, the invention encompasses compounds of the formula **VIII**:



10

**VIII**

or a pharmaceutically acceptable salt, hydrate, solvate, or mixture thereof, wherein

(a) Z is  $CH_2$ ,  $CH=CH$ , or phenyl, where each occurrence of m is independently an integer ranging from 1 to 9, but when Z is phenyl then its associated m is 1;

(b) G is  $(CH_2)_x$ , where x is 1, 2, 3, or 4,  $CH_2CH=CHCH_2$ ,  $CH=CH$ ,  $CH_2$ -phenyl- $CH_2$ , or phenyl;

15

(c) each occurrence of  $Y^1$  and  $Y^2$  is independently L, V,  $C(R^1)(R^2)-(CH_2)_c-C(R^3)(R^4)-(CH_2)_n-Y$ , or  $C(R^1)(R^2)-(CH_2)_c-V$  where c is 1 or 2 and n is an integer ranging from 0 to 4; when G is  $(CH_2)_x$ , where x is 1, 2, 3, or 4,  $W^2$  is  $CH_3$ ;

(d) each occurrence of  $R^1$  or  $R^2$  is independently  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl or when one or both of  $Y^1$  and  $Y^2$  is  $C(R^1)(R^2)-(CH_2)_c-C(R^3)(R^4)-(CH_2)_n-W$ , then  $R^1$  and  $R^2$  can both be H to form a methylene group;

20

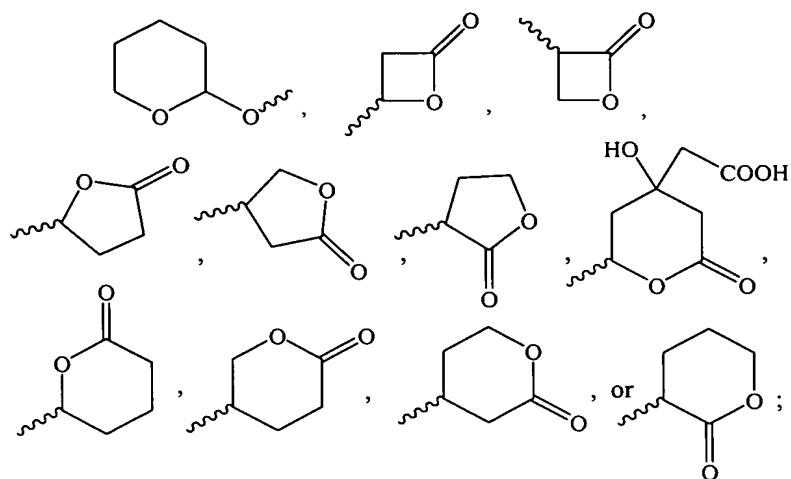
(e)  $R^3$  is H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl,  $(C_1-C_6)$ alkoxy, phenyl, benzyl, Cl, Br, CN,  $NO_2$ , or  $CF_3$ ;

(f)  $R^4$  is OH,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl,  $(C_1-C_6)$ alkoxy, phenyl, benzyl, Cl, Br, CN,  $NO_2$ , or  $CF_3$ ;

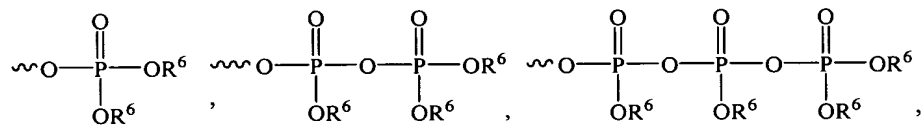
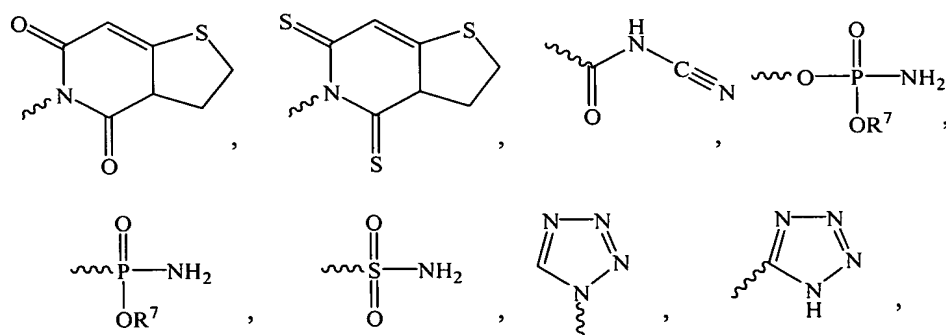
25

(g) L is  $C(R^1)(R^2)-(CH_2)_n-W$ ;

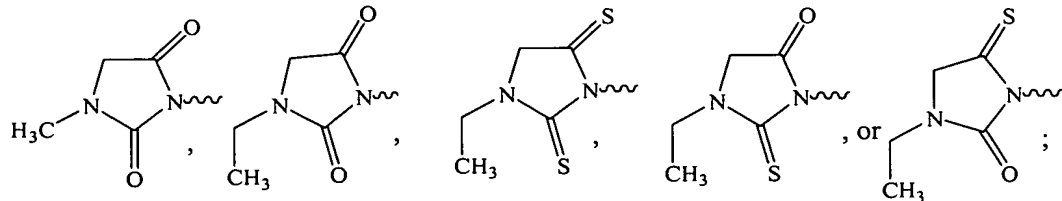
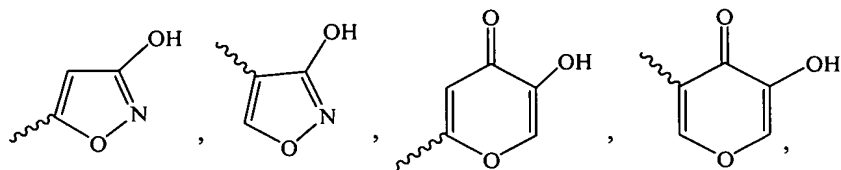
(h) V is:



(i) each occurrence of W is independently OH, COOH, CHO, COOR<sup>5</sup>, SO<sub>3</sub>H,



5



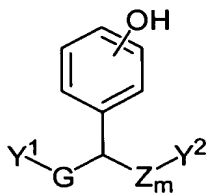
wherein:

(i)  $R^5$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl and is unsubstituted or substituted with one or more halo, OH,  $(C_1-C_6)$ alkoxy, or phenyl groups,

5 (ii) each occurrence of  $R^6$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl and is unsubstituted or substituted with one or two halo, OH,  $(C_1-C_6)$  alkoxy, or phenyl groups; and

(iii) each occurrence of  $R^7$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl.

10 In another particular embodiment, the invention encompasses compounds of formula **IX**:

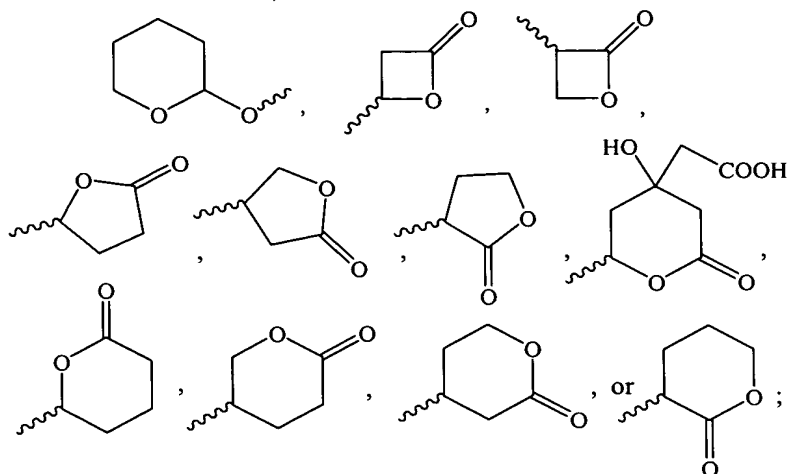


**IX**

or a pharmaceutically acceptable salt, hydrate, solvate, or mixture thereof, wherein

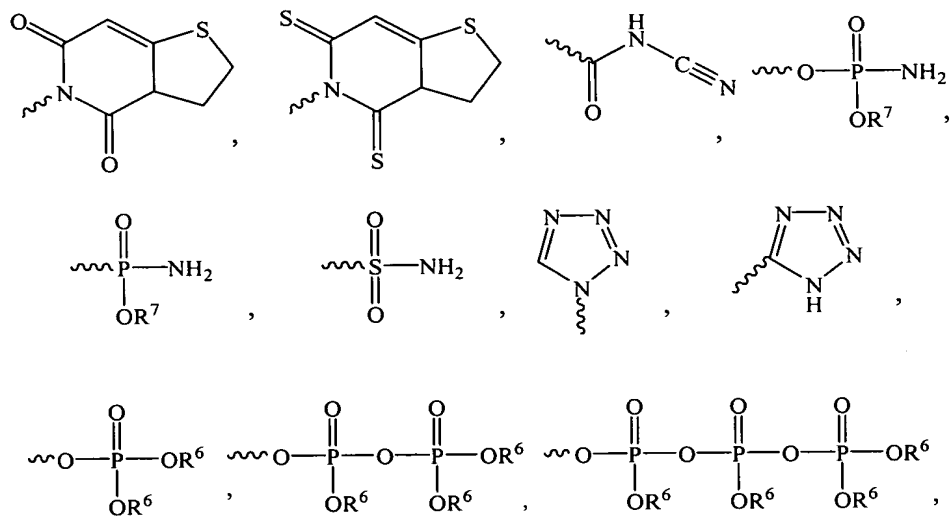
- 15 (a) Z is  $CH_2$ ,  $CH=CH$ , or phenyl, where each occurrence of m is independently an integer ranging from 1 to 9, but when Z is phenyl then its associated m is 1;
- (b) G is  $(CH_2)_x$ , where x is 1, 2, 3, or 4,  $CH_2CH=CHCH_2$ ,  $CH=CH$ ,  $CH_2$ -phenyl- $CH_2$ , or phenyl;
- 20 (c) each occurrence of  $Y^1$  and  $Y^2$  is independently L, V,  $C(R^1)(R^2)-(CH_2)_c-C(R^3)(R^4)-(CH_2)_n-Y$ , or  $C(R^1)(R^2)-(CH_2)_c-V$  where c is 1 or 2 and n is an integer ranging from 0 to 4; when G is  $(CH_2)_x$ , where x is 1, 2, 3, or 4,  $W^2$  is  $CH_3$ ;
- (d) each occurrence of  $R^1$  or  $R^2$  is independently  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl or when one or both of  $Y^1$  and  $Y^2$  is  $C(R^1)(R^2)-(CH_2)_c-C(R^3)(R^4)-(CH_2)_n-W$ , then  $R^1$  and  $R^2$  can both be H to form a methylene group;
- 25 (e)  $R^3$  is H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl,  $(C_1-C_6)$ alkoxy, phenyl, benzyl, Cl, Br, CN,  $NO_2$ , or  $CF_3$ ;

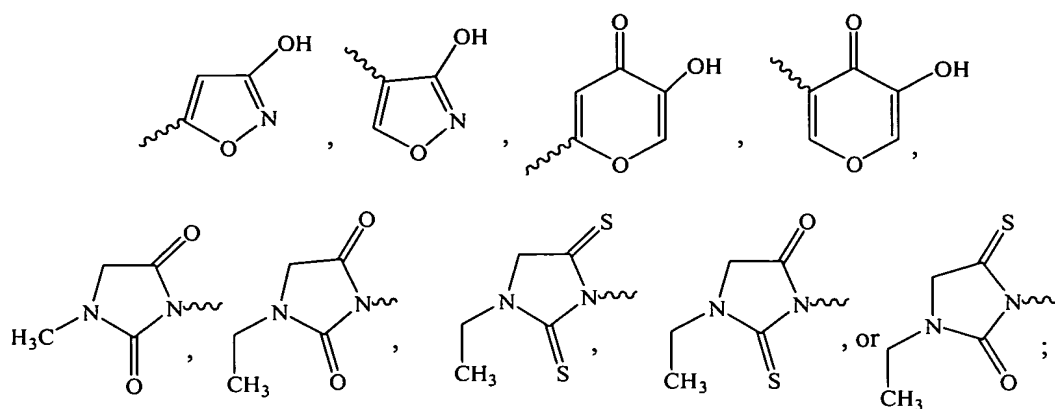
- (f)  $R^4$  is OH,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl,  $(C_1-C_6)$ alkoxy, phenyl, benzyl, Cl, Br, CN,  $NO_2$ , or  $CF_3$ ;
- (g) L is  $C(R^1)(R^2)-(CH_2)_n-W$ ;
- (h) V is:



5

- (i) each occurrence of W is independently OH, COOH, CHO,  $COOR^5$ ,  $SO_3H$ ,





wherein:

(i)  $R^5$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl, phenyl, or benzyl and is unsubstituted or substituted with one or more halo, OH,  $(C_1-C_6)$ alkoxy, or phenyl groups,

(ii) each occurrence of  $R^6$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl and is unsubstituted or substituted with one or two halo, OH,  $(C_1-C_6)$  alkoxy, or phenyl groups; and

(iii) each occurrence of  $R^7$  is independently H,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl, or  $(C_2-C_6)$ alkynyl.

The present invention further provides pharmaceutical compositions comprising one or more compounds of the invention. Particular pharmaceutical compositions further comprise pharmaceutically acceptable vehicle, which can comprise a carrier, excipient, diluent, or a mixture thereof.

The present invention provides a method for treating or preventing aging, Alzheimer's Disease, cancer, cardiovascular disease, diabetic nephropathy, diabetic retinopathy, a disorder of glucose metabolism, dyslipidemia, dyslipoproteinemia, enhancing bile production, enhancing reverse lipid transport, hypertension, impotence, inflammation, insulin resistance, lipid elimination in bile, modulating C reactive protein, obesity, oxysterol elimination in bile, pancreatitis, pancreatitis, Parkinson's disease, a peroxisome proliferator activated receptor-associated disorder, phospholipid elimination in bile, renal disease, septicemia, metabolic syndrome disorders (*e.g.*, Syndrome X), and a thrombotic disorder, comprising administering to a patient in need of such treatment or prevention a therapeutically effective amount of a compound of the invention.

The present invention further provides a method for reducing the fat content of meat in livestock comprising administering to livestock in need of such fat-content reduction a therapeutically effective amount of a compound of the invention or a pharmaceutical composition.

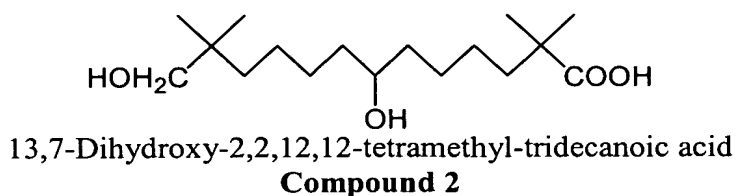
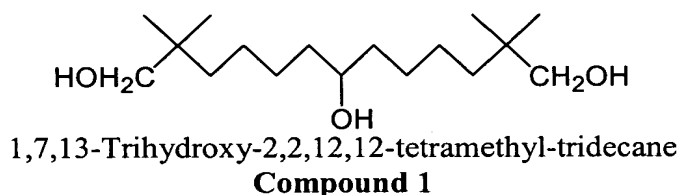
5                   The present invention provides a method for reducing the cholesterol content of a fowl egg comprising administering to a fowl species a therapeutically effective amount of a compound of the invention.

The compounds of the invention are particularly useful when incorporated in a pharmaceutical composition comprising a carrier, excipient, diluent, or a mixture thereof.

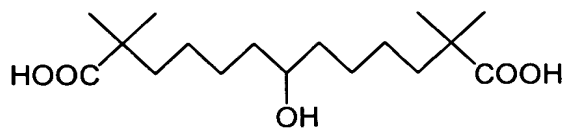
10                  However, a compound of the invention need not be administered with excipients or diluents and can be delivered in a gel cap or drug delivery device.

In certain embodiments of the invention, a compound of the invention is administered in combination with another therapeutic agent. The other therapeutic agent provides additive or synergistic value relative to the administration of a compound of the invention alone. Examples of other therapeutic agents include, but are not limited to, a  
15                  lovastatin; a thiazolidinedione or fibrate; a bile-acid-binding-resin; a niacin; an anti-obesity drug; a hormone; a tyrophostine; a sulfonylurea-based drug; a biguanide; an  $\alpha$ -glucosidase inhibitor; an apolipoprotein A-I agonist; apolipoprotein E; a cardiovascular drug; an HDL-raising drug; an HDL enhancer; or a regulator of the apolipoprotein A-I, apolipoprotein A-  
20                  IV and/or apolipoprotein genes.

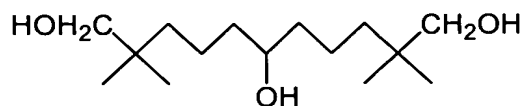
Illustrative examples of compounds of the invention are encompassed by formulas I-IX and include those shown below, and pharmaceutically acceptable salts, hydrates, enantiomers, diastereomers, and geometric isomers thereof:



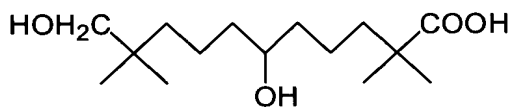




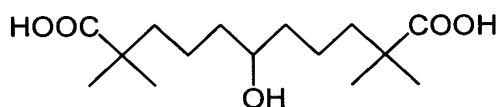
2,2,12,12-Tetramethyl-7-hydroxy-tridecanedioic acid  
**Compound 3**



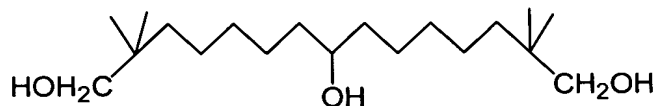
1,7,11-Trihydroxy-2,2,10,10-tetramethyl-undecane  
**Compound 4**



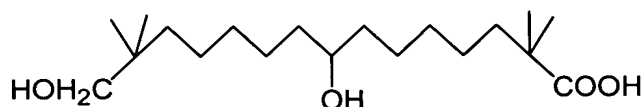
6,11-Dihydroxy-2,2,10,10-tetramethyl-undecanoic acid  
**Compound 5**



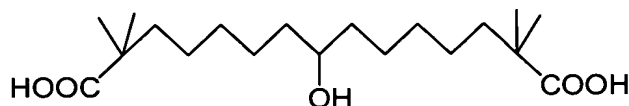
2,2,10,10-Tetramethyl-6-hydroxy-undecanedioic acid  
**Compound 6**



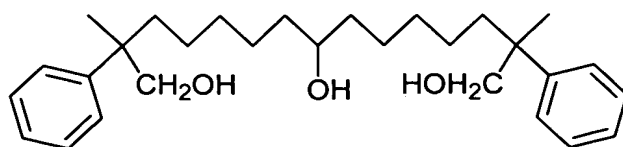
1,8,15-Trihydroxy-2,2,14,14-tetramethyl-pentadecane  
**Compound 7**



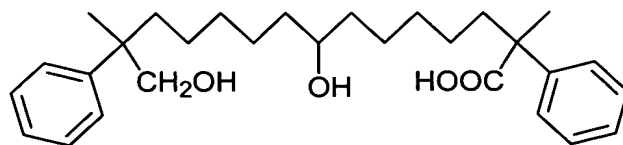
8,15-Dihydroxy-2,2,14,14-tetramethyl-pentadecanoic acid  
**Compound 8**



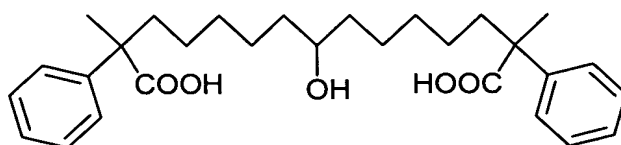
2,2,14,14-Tetramethyl-8-hydroxy-pentadecanedioic acid  
**Compound 9**



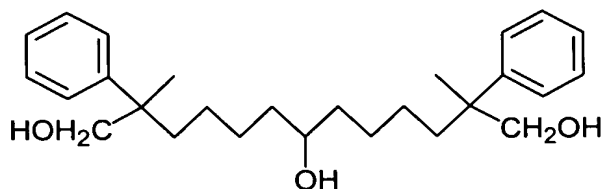
1,8,15-Trihydroxy-2,14-dimethyl-2,14-diphenyl-pentadecane  
**Compound 10**



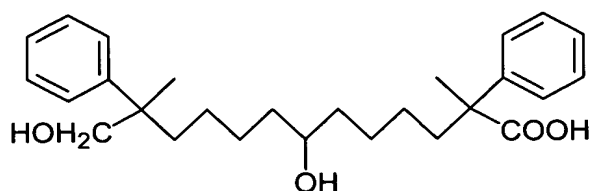
8,15-Dihydroxy-2,14-dimethyl-2,14-diphenyl-pentadecanoic acid  
**Compound 11**



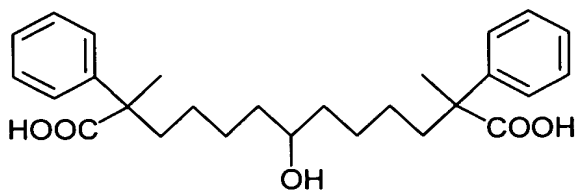
2,14-Dimethyl-8-hydroxy-2,14-diphenyl-pentadecanedioic acid  
**Compound 12**



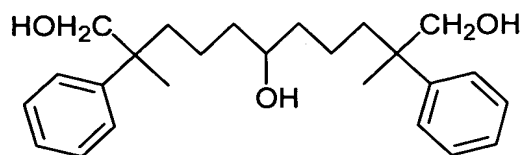
1,7,13-Trihydroxy-2,12-dimethyl-2,12-diphenyl-tridecane  
**Compound 13**



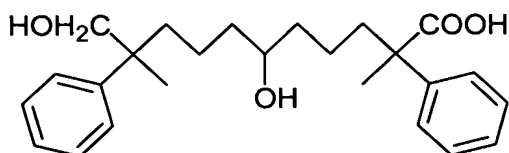
7,13-Dihydroxy-2,12-dimethyl-2,12-diphenyl-tridecanoic acid  
**Compound 14**



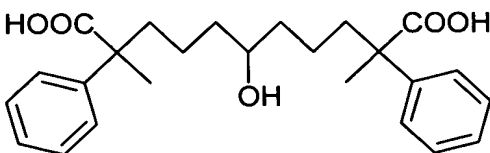
2,12-Dimethyl-7-hydroxy-2,12-diphenyl-tridecanedioic acid  
**Compound 15**



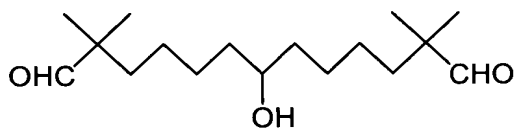
1,6,11-Trihydroxy-2,10-dimethyl-2,10-diphenyl-undecane  
**Compound 16**



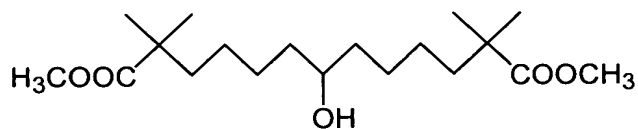
6,11-Dihydroxy-2,10-dimethyl-2,10-diphenyl-undecanoic acid  
**Compound 17**



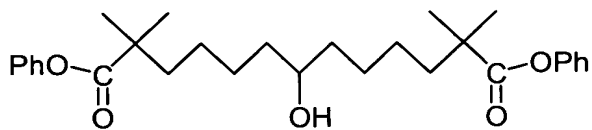
2,10-Dimethyl-6-hydroxy-2,10-diphenyl-undecanedioic acid  
**Compound 18**



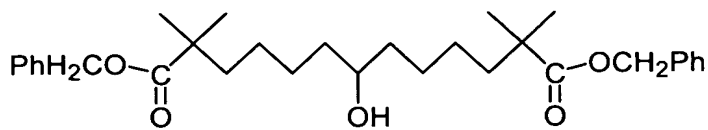
2,2,12,12-Tetramethyl-7-hydroxy-tridecanedial  
**Compound 19**



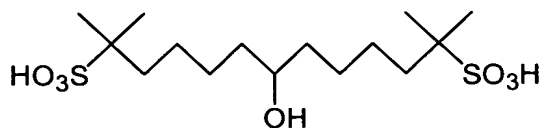
2,2,12,12-Tetramethyl-7-hydroxy-tridecanedioic acid dimethyl ester  
**Compound 20**



7-Hydroxy-2,2,12,12-tetramethyl-tridecanedioic acid diphenyl ester  
**Compound 21**

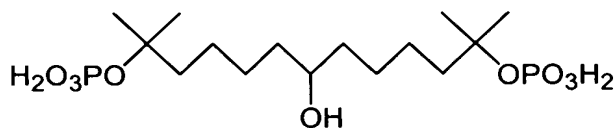


7-Hydroxy-2,2,12,12-tetramethyl-tridecanedioic acid dibenzyl ester  
**Compound 22**



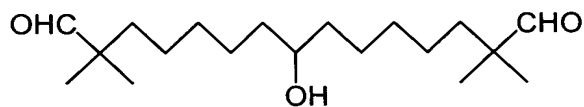
2,12-Dimethyl-7-hydroxy-tridecane-2,12-disulfonic acid

**Compound 23**



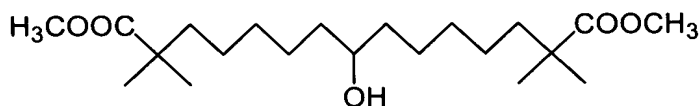
Phosphoric acid mono-(1,1,11-trimethyl-6-hydroxy-11-phosphonooxy-dodecyl) ester

**Compound 24**



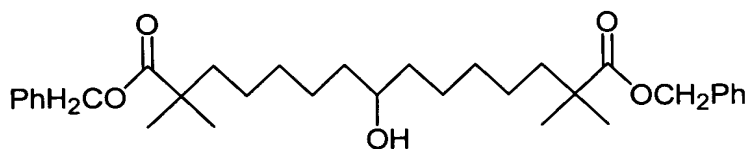
2,2,14,14-Tetramethyl-8-hydroxy-pentadecanedial

**Compound 25**



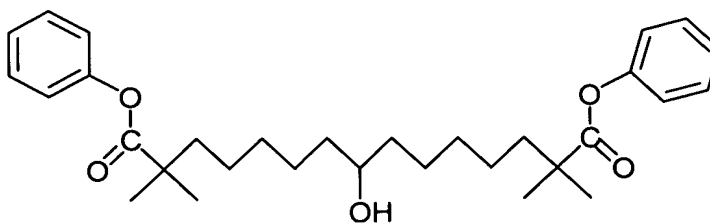
2,2,14,14-Tetramethyl-8-hydroxy-pentadecanedioic acid dimethyl ester

**Compound 26**



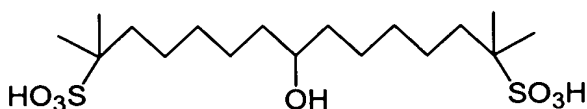
8-Hydroxy-2,2,14,14-tetramethyl-pentadecanedioic acid dibenzyl ester

**Compound 27**



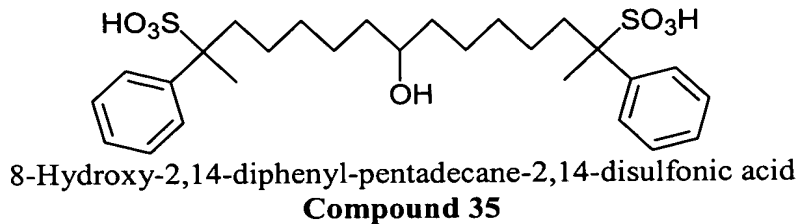
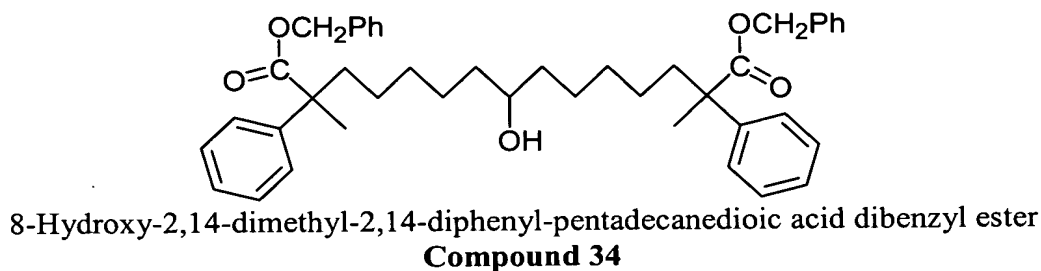
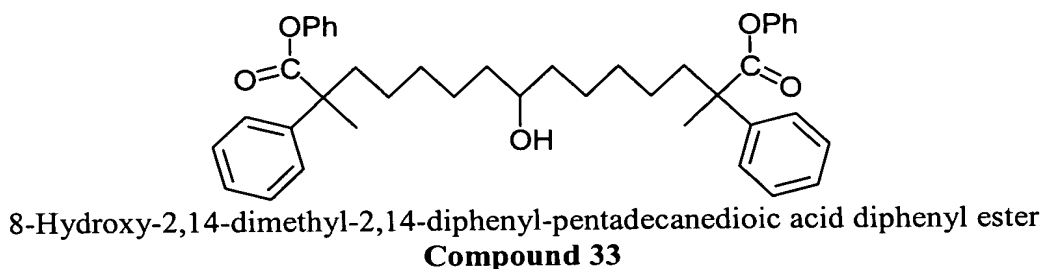
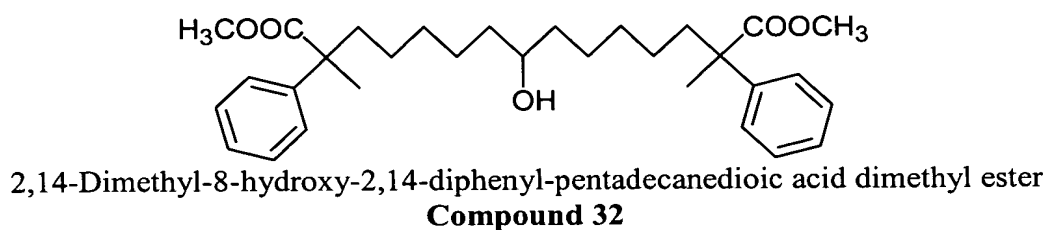
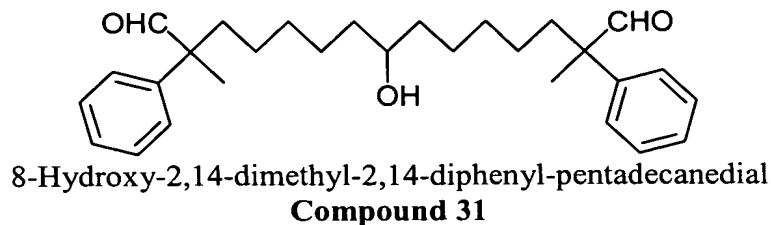
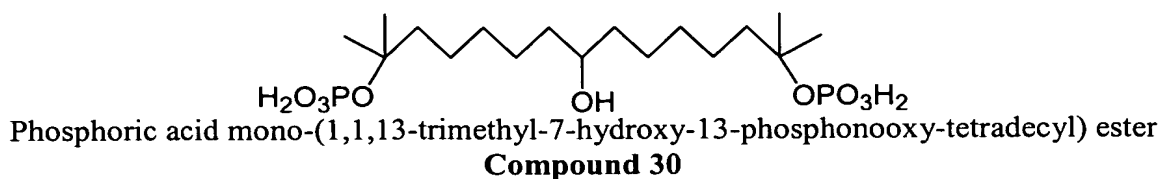
8-Hydroxy-2,2,14,14-tetramethyl-pentadecanedioic acid diphenyl ester

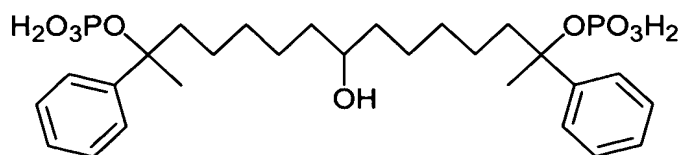
**Compound 28**



2,14-Dimethyl-8-hydroxy-pentadecane-2,14-disulfonic acid

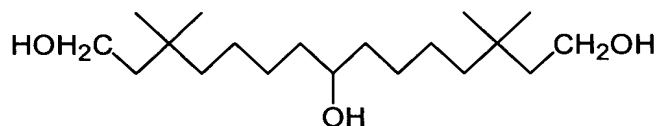
**Compound 29**





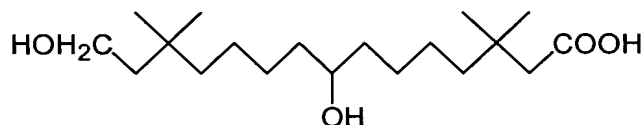
Phosphoric acid mono-(1-methyl-7-hydroxy-1,13-diphenyl-13-phosphonooxy-tetradecyl)  
ester

**Compound 36**



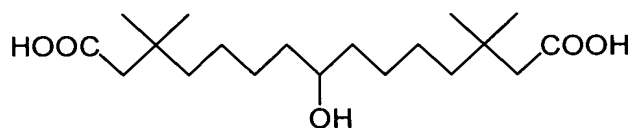
1,8,15-Trihydroxy-3,3,13,13-tetramethyl-pentadecane

**Compound 37**



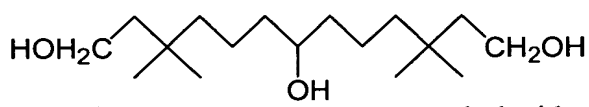
8,15-Dihydroxy-3,3,13,13-tetramethyl-pentadecanoic acid

**Compound 38**



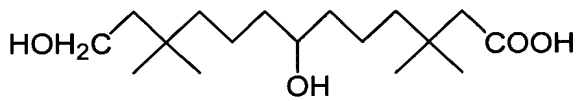
8-Hydroxy-3,3,13,13-tetramethyl-pentadecanedioic acid

**Compound 39**



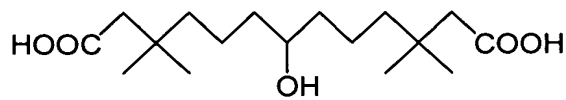
1,7,13-Trihydroxy-3,3,11,11-tetramethyl-tridecane

**Compound 40**



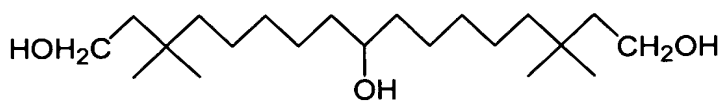
7,13-Dihydroxy-3,3,11,11-tetramethyl-tridecanoic acid

**Compound 41**

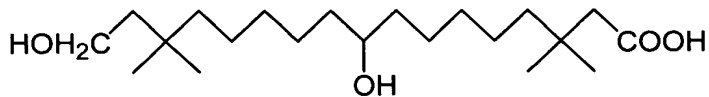


3,3,11,11-Tetramethyl-7-hydroxy-tridecanedioic acid

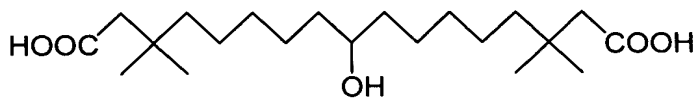
**Compound 42**



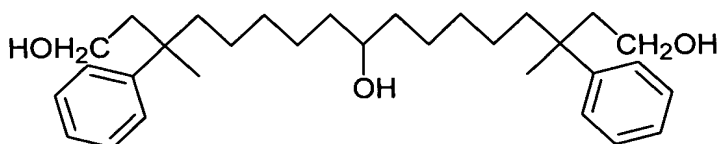
1,9,17-Trihydroxy-3,3,15,15-tetramethyl-heptadecane  
**Compound 43**



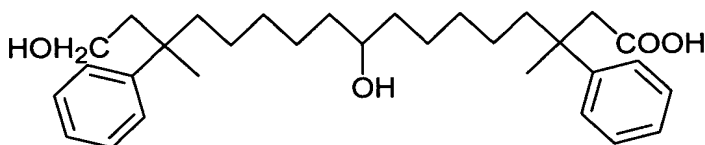
9,17-Dihydroxy-3,3,15,15-tetramethyl-heptadecanoic acid  
**Compound 44**



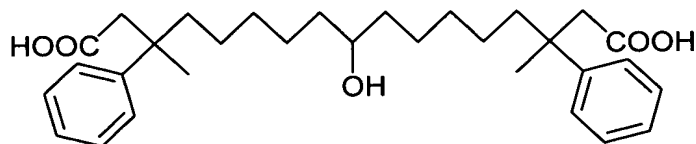
3,3,15,15-Tetramethyl-9-hydroxy-heptadecanedioic acid  
**Compound 45**



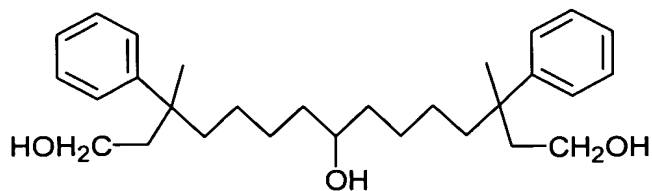
1,9,17-Trihydroxy-3,15-dimethyl-3,15-diphenyl-heptadecane  
**Compound 46**



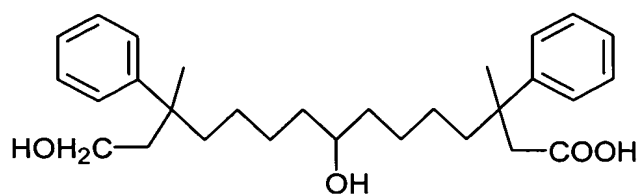
9,17-Dihydroxy-3,15-dimethyl-3,15-diphenyl-heptadecanoic acid  
**Compound 47**



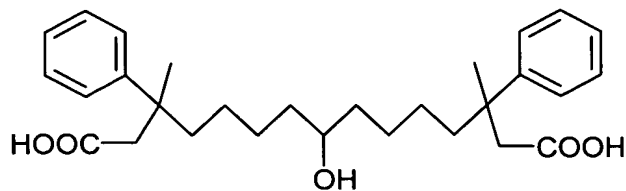
3,15-Dimethyl-9-hydroxy-3,15-diphenyl-heptadecanedioic acid  
**Compound 48**



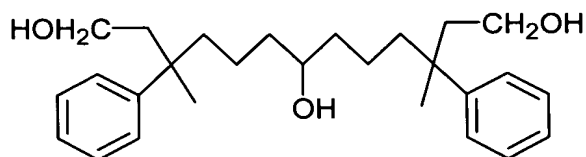
1,8,15-Trihydroxy-3,13-dimethyl-3,13-diphenyl-pentadecane  
**Compound 49**



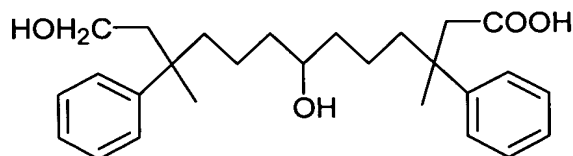
8,15-Trihydroxy-3,13-dimethyl-3,13-diphenyl-pentadecanoic acid  
**Compound 50**



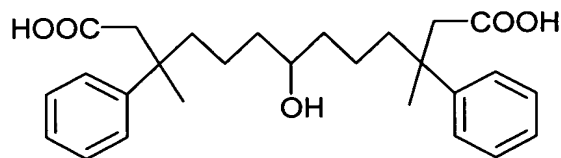
3,13-Dimethyl-8-hydroxy-3,13-diphenyl-pentadecanedioic acid  
**Compound 51**



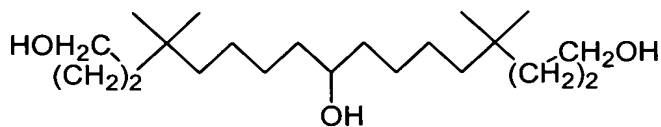
1,7,13-Trihydroxy-3,11-dimethyl-3,11-diphenyl-tridecane  
**Compound 52**



7,13-Dihydroxy-3,11-dimethyl-3,11-diphenyl-tridecanoic acid  
**Compound 53**

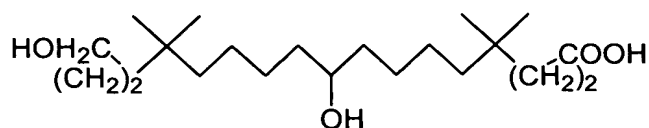


3,11-Dimethyl-7-hydroxy-3,11-diphenyl-tridecanedioic acid  
**Compound 54**

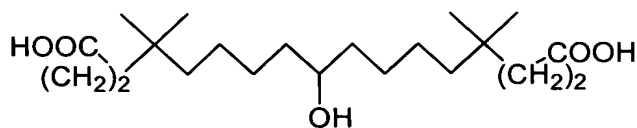


1,9,17-Trihydroxy-4,4,14,14-tetramethyl-heptadecane  
**Compound 55**

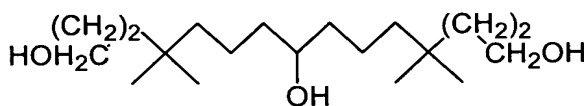




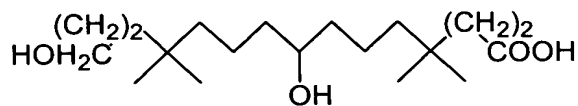
9,17-Dihydroxy-4,4,14,14-tetramethyl-heptadecanoic acid  
**Compound 56**



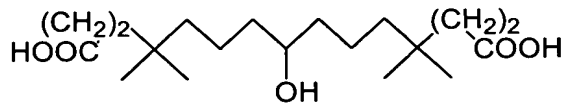
4,4,14,14-Tetramethyl-heptadecan-9-hydroxy-1,17-decarboxylic acid  
**Compound 57**



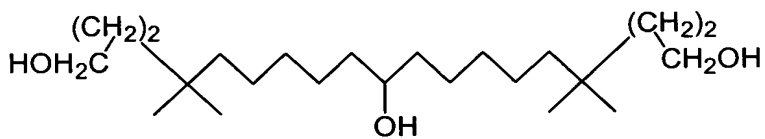
1,8,15-Trihydroxy-4,4,14,14-tetramethyl-pentadecane  
**Compound 58**



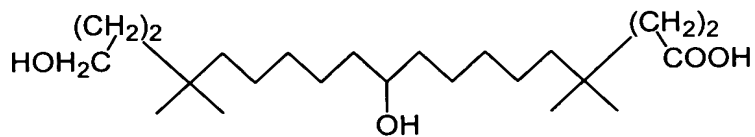
8,15-Trihydroxy-4,4,12,12-tetramethyl-pentadecanoic acid  
**Compound 59**



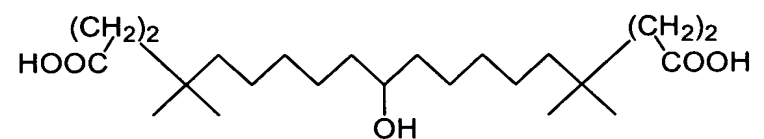
4,4,12,12-Tetramethyl-8-hydroxy-pentadecanedioic acid  
**Compound 60**



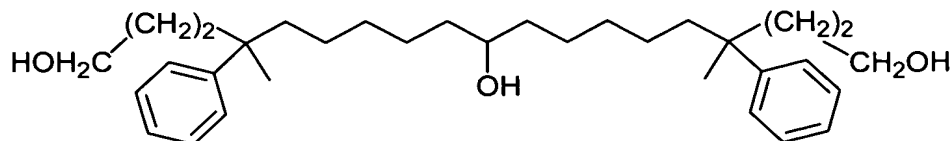
1,10,19-Trihydroxy-4,4,16,16-tetramethyl-nonadecane  
**Compound 61**



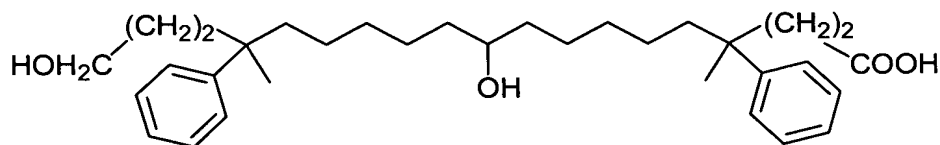
10,19-Dihydroxy-4,4,16,16-tetramethyl-nonadecanoic acid  
**Compound 62**



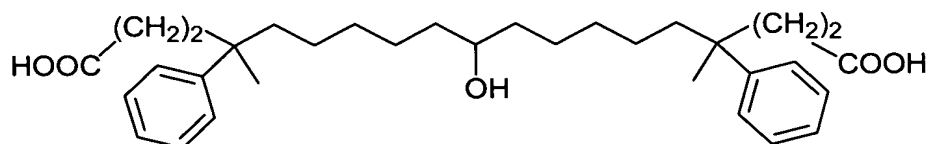
4,4,16,16-Tetramethyl-10-hydroxy-nonadecanedioic acid  
**Compound 63**



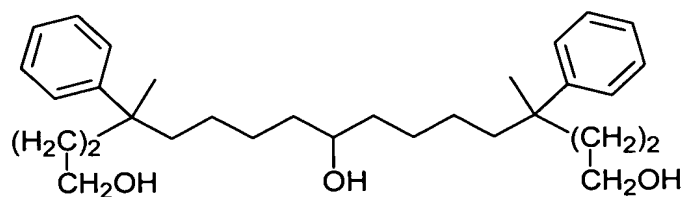
1,10,19-Trihydroxy-4,16-dimethyl-4,16-diphenyl-nonadecane  
**Compound 64**



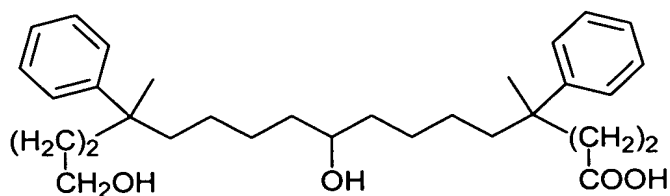
10,19-Hydroxy-4,16-dimethyl-4,16-diphenyl-nonadecanoic acid  
**Compound 65**



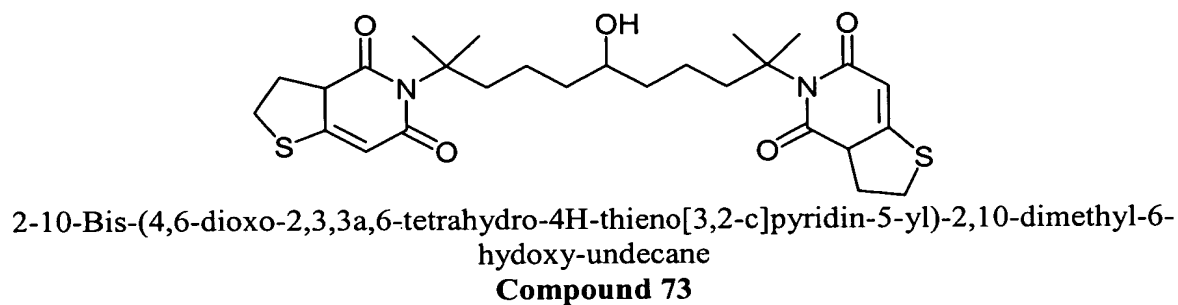
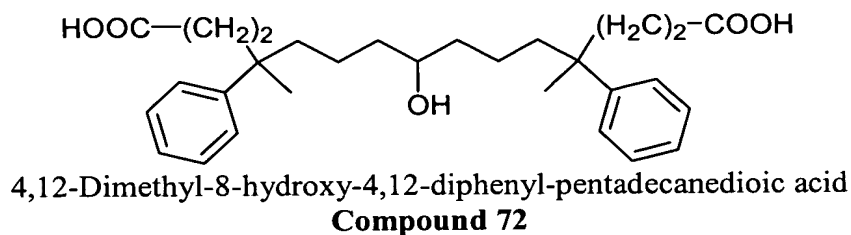
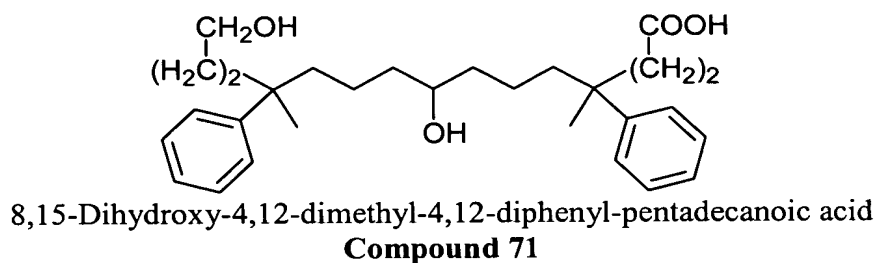
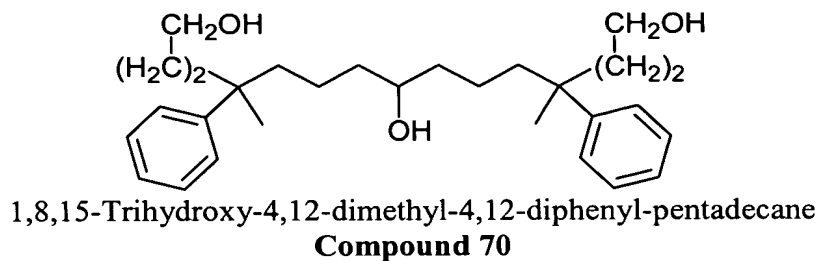
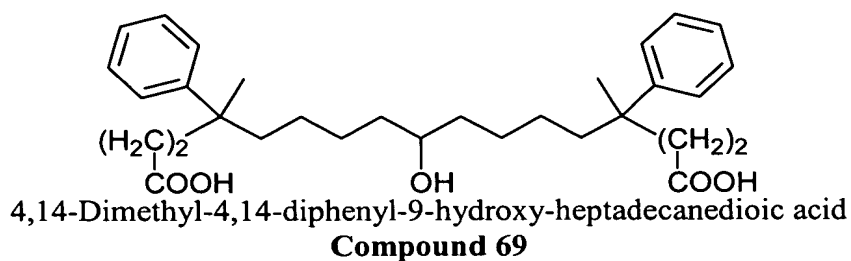
10,19-Hydroxy-4,16-dimethyl-4,16-diphenyl-nonadecanoic acid  
**Compound 66**

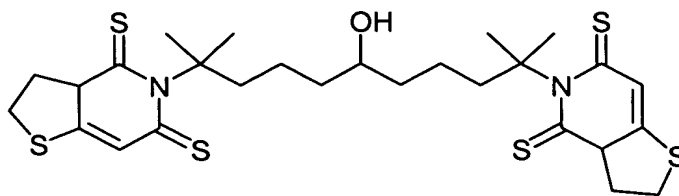


1,9,17-Trihydroxy-4,14-dimethyl-4,14-diphenyl-heptadecane  
**Compound 67**

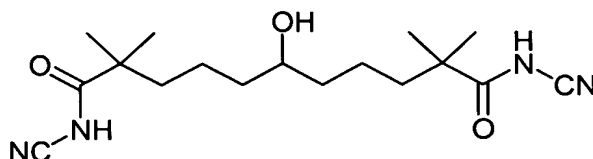


9,17-Dihydroxy-4,14-dimethyl-4,14-diphenyl-heptadecanoic acid  
**Compound 68**

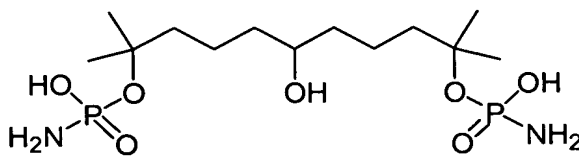




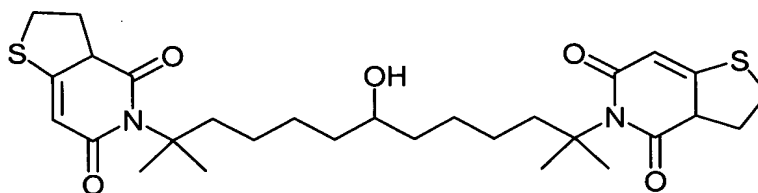
2-10-Bis-(4,6-dithioxo-2,3,3a,6-tetrahydro-4H-thieno[3,2-c]pyridin-5-yl)-2,10-dimethyl-6-hydroxy-undecane  
**Compound 74**



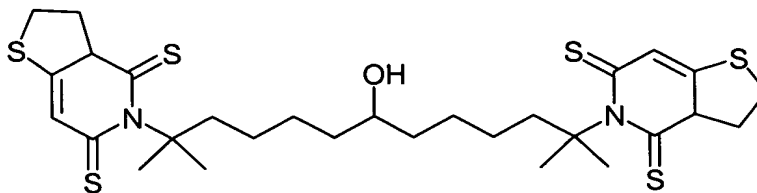
2,2,10,10-Tetramethyl-6-hydroxy-undecandioic acid dicyanimide  
**Compound 75**



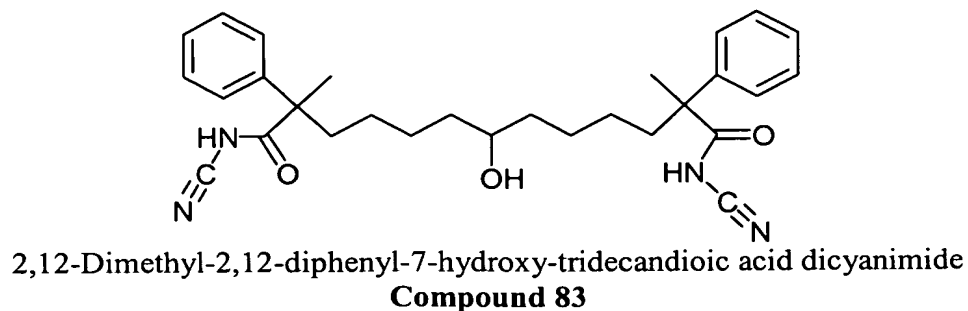
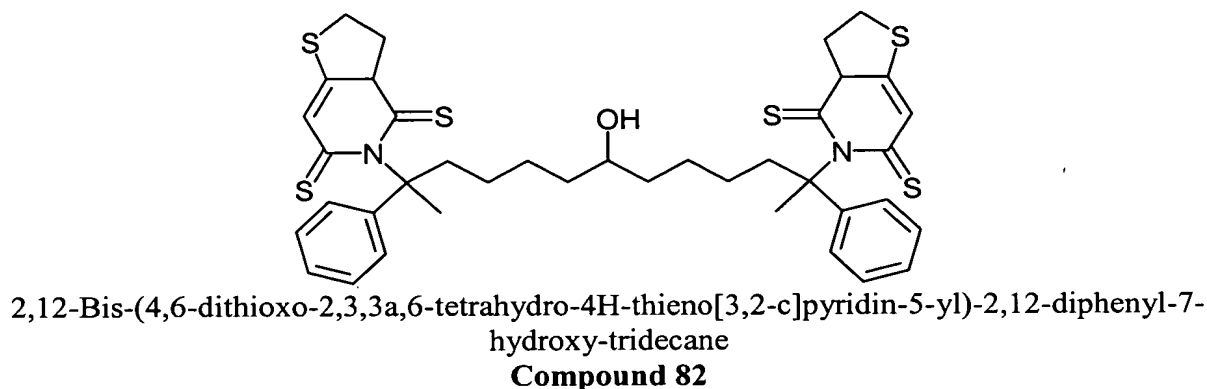
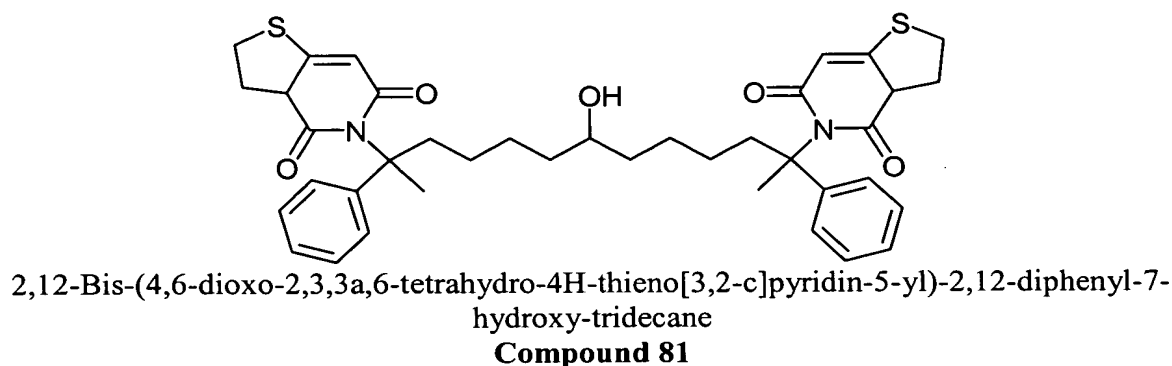
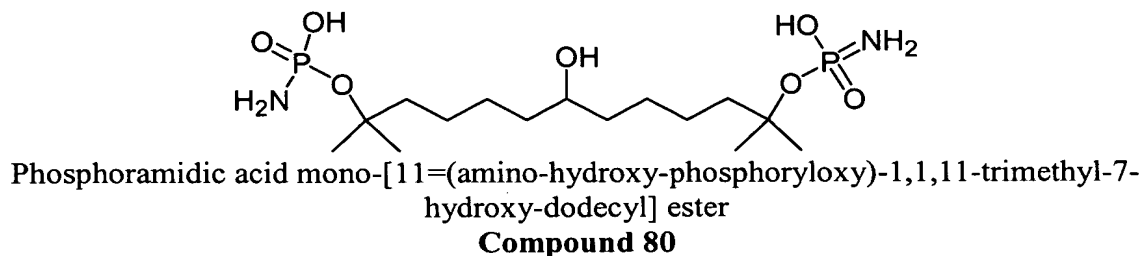
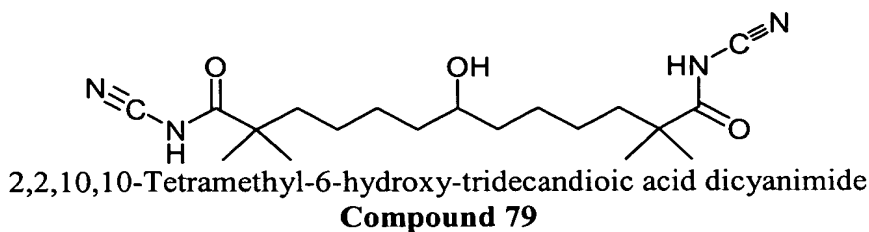
Phosphoramidic acid mono-[9-(amino-hydroxy-phosphoryloxy)-1,1,9-trimethyl-5-hydroxy-decyl]ester  
**Compound 76**

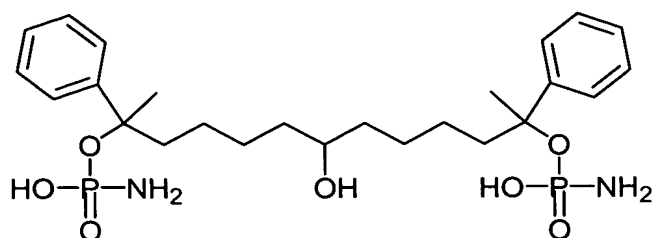


2-12-Bis-(4,6-dithioxo-2,3,3a,6-tetrahydro-4H-thieno[3,2-c]pyridin-5-yl)-2,10-dimethyl-6-hydroxy-undecane  
**Compound 77**



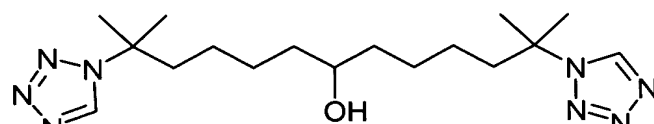
2-12-Bis-(4,6-dithioxo-2,3,3a,6-tetrahydro-4H-thieno[3,2-c]pyridin-5-yl)-2,10-dimethyl-6-hydroxy-undecane  
**Compound 78**





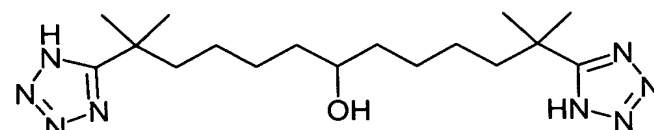
Phosphoramidic acid mono-[11-(amino-hydroxy-phosphoryloxy)-1-methyl-6-hydroxy-1,11-diphenyl-dodecyl] ester

**Compound 84**



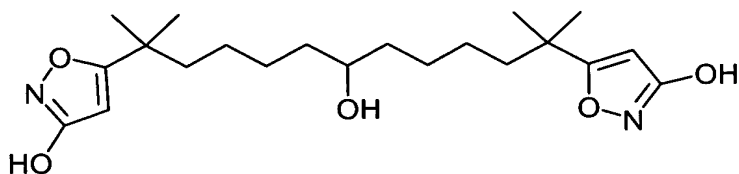
2,12-Dimethyl-2,12-bis-tetrazol-1-yl-7-hydroxy-tridecane

**Compound 85**



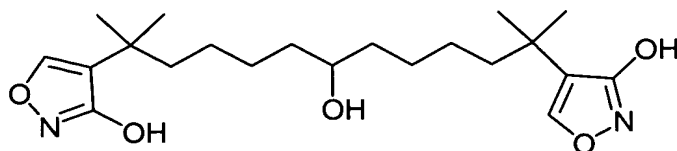
2,12-Dimethyl-2,12-bis-(1H-tetrazol-5-yl)-7-hydroxy-tridecane

**Compound 86**



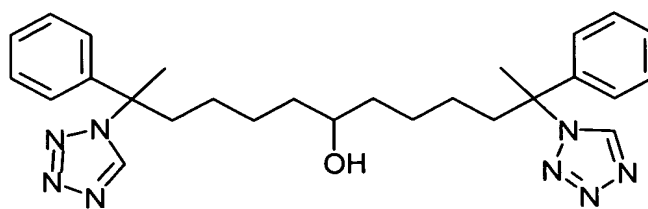
2,12-Bis-(3-hydroxy-isoxazol-5-yl)-2,12-dimethyl-7-hydroxy-tridecane

**Compound 87**

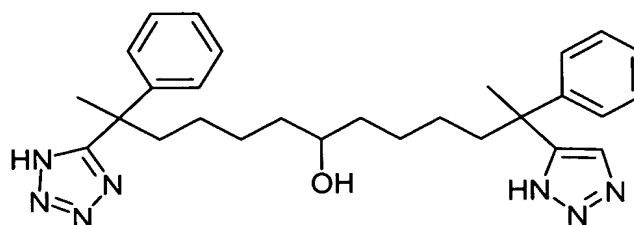


2,12-Bis-(3-hydroxy-isoxazol-4-yl)-2,12-dimethyl-7-hydroxy-tridecane

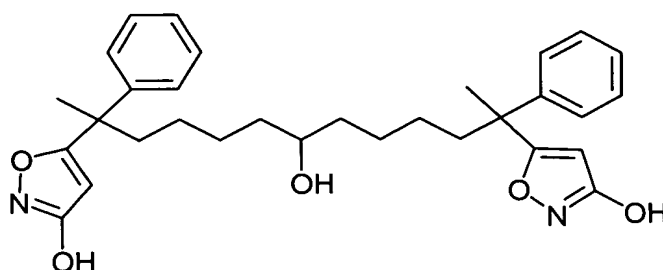
**Compound 88**



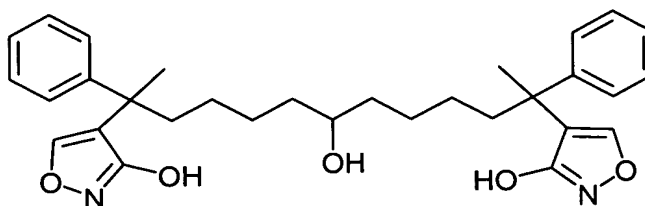
2,12-Bis(tetrazol-1-yl)-2,12-diphenyl-7-hydroxy-tridecane  
**Compound 89**



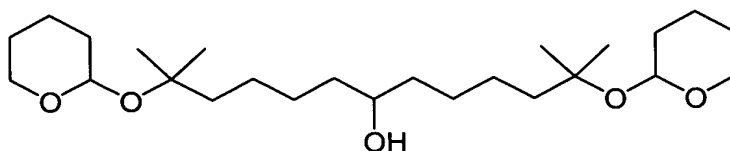
2,12-Diphenyl-2,12-bis-(1H-tetrazol-5-yl)-7-hydroxy-tridecane  
**Compound 90**



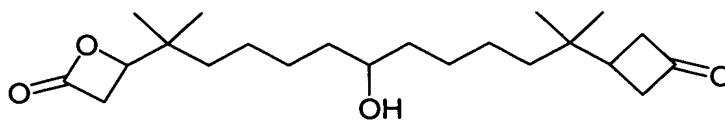
2,12-Bis-(3-hydroxy-isoxazol-5-yl)-2,12-diphenyl-7-hydroxy-tridecane  
**Compound 91**



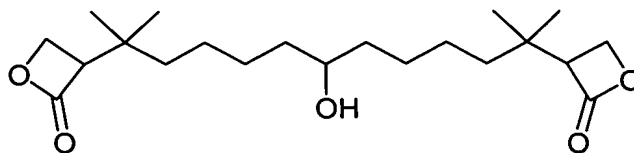
2,12-Bis-(3-hydroxy-isoxazol-4-yl)-2,12-diphenyl-7-hydroxy-tridecane  
**Compound 92**



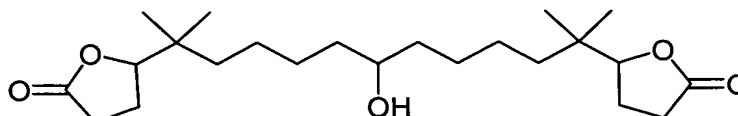
2,12-Dimethyl-2,12-bis-(tetrahydro-pyran-2-yloxy)-tridecan-7-ol  
**Compound 93**



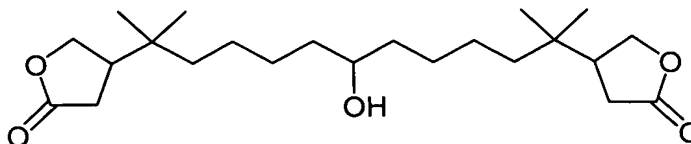
2,12-Bis(2-oxo-oxoethan-4-yl)-2,12-dimethyl-7-hydroxy-tridecane  
**Compound 94**



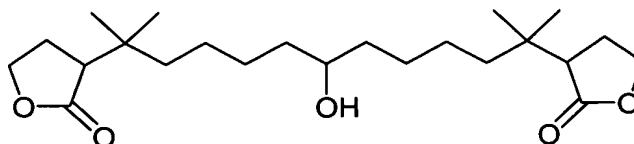
2,12-Bis(2-oxo-oxoethan-3-yl)-2,12-dimethyl-7-hydroxy-tridecane  
**Compound 95**



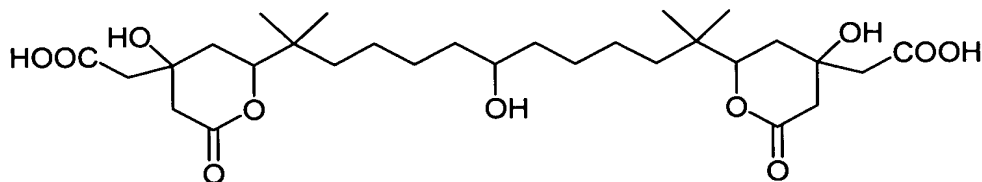
2,12-Bis(2-oxo-tetrahydrofuran-5-yl)-2,12-dimethyl-7-hydroxy-tridecane  
**Compound 96**



2,12-Bis(2-oxo-tetrahydrofuran-4-yl)-2,12-dimethyl-7-hydroxy-tridecane  
**Compound 97**

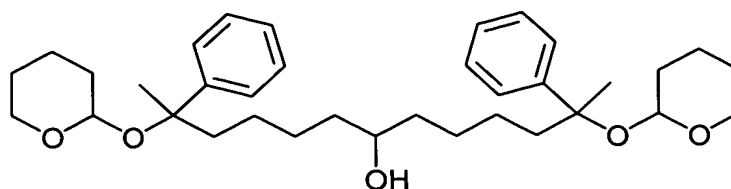


2,12-Bis(2-oxo-tetrahydrofuran-3-yl)-2,12-dimethyl-7-hydroxy-tridecane  
**Compound 98**

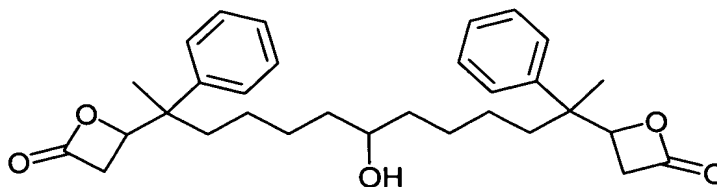


{2-[9-(4-Carboxymethyl-4-hydroxy-6-oxo-tetrahydro-pyran-2-yl)1,1,9-trimethyl-5-hydroxy-decyl]-4-hydroxy-6-oxo-tetrahydro-pyran-4-yl}-cetic acid  
**Compound 99**

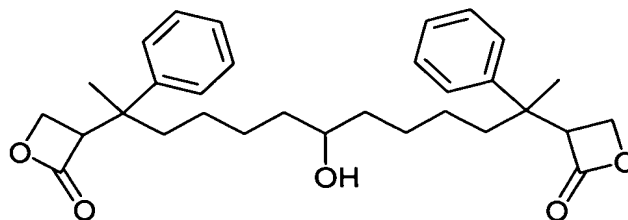




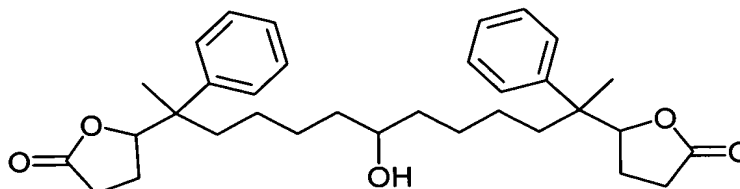
2,12-Diphenyl-2,12-bis-(tetrahydro-pyran-2-yloxy)- 7-hydroxy-tridecane  
**Compound 100**



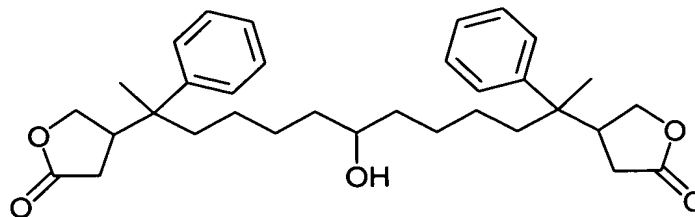
2,12-Bis(2-oxo-oxoethan-4-yl)-2,12-diphenyl-7-hydroxy-tridecane  
**Compound 101**



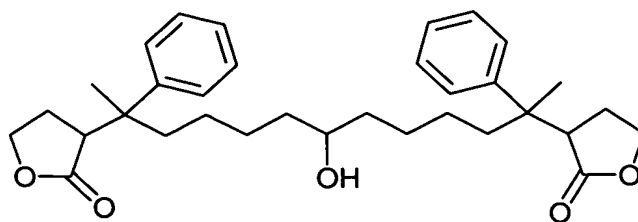
2,12-Bis(2-oxo-oxoethan-3-yl)-2,12-diphenyl-7-hydroxy-tridecane  
**Compound 102**



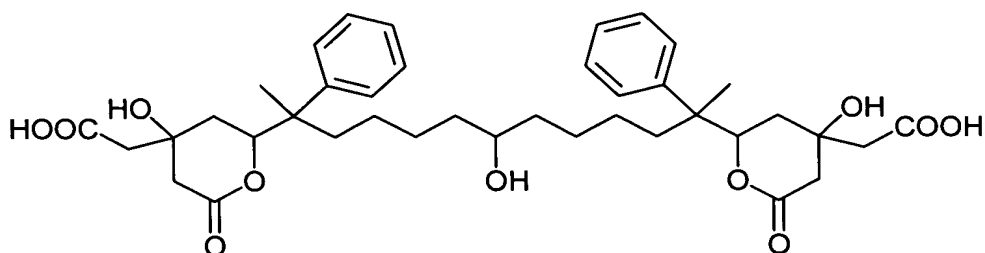
2,12-Bis(2-oxo-tetrahydrofuran-4-yl)-2,12-diphenyl-7-hydroxy-tridecane  
**Compound 103**



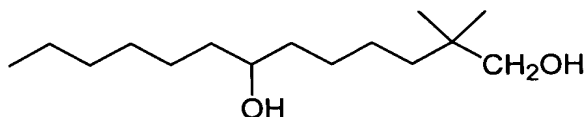
2,12-Bis(2-oxo-tetrahydrofuran-4-yl)-2,12-diphenyl-7-hydroxy-tridecane  
**Compound 104**



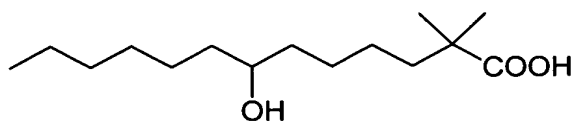
2,12-Bis(2-oxo-tetrahydrofuran-3-yl)-2,12-diphenyl-7-hydroxy-tridecane  
**Compound 105**



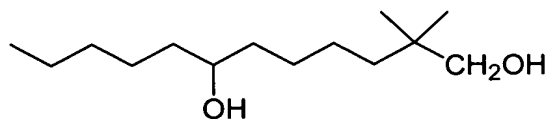
{2-[11-(4-Crboxymethyl-4-hydroxy-6-oxo-tetrahydro-pyran-2-yl)-1-methyl-6-hydroxy-1,11-diphenyl-dodecyl]-4-hydroxy-6-oxo-tetrahydro-pyran-4-yl}-acetic acid  
**Compound 106**



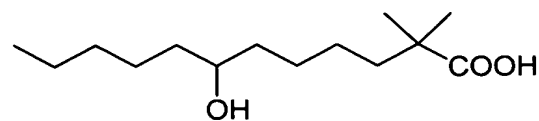
2,2-Dimethyl-tridecane-1,7-diol  
**Compound 107**



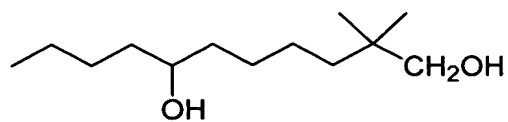
7-Hydroxy-2,2-dimethyl-tridecanoic acid  
**Compound 108**



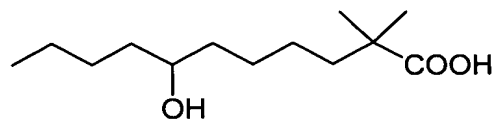
2,2-Dimethyl-dodecane-1,7-diol  
**Compound 109**



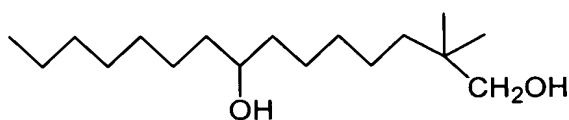
7-Hydroxy-2,2-dimethyl-dodecanoic acid  
**Compound 110**



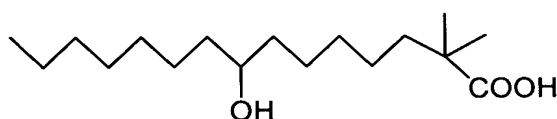
2,2-Dimethyl-undecane-1,7-diol  
**Compound 111**



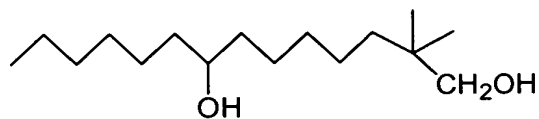
7-Hydroxy-2,2-dimethyl-undecanoic acid  
**Compound 112**



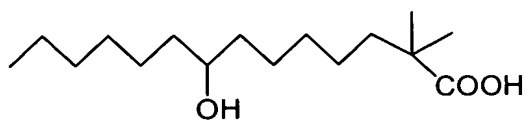
2,2-Dimethyl-pentadecane-1,8-diol  
**Compound 113**



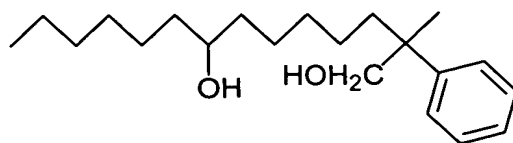
8-Hydroxy-2,2-dimethyl-pentadecanoic acid  
**Compound 114**



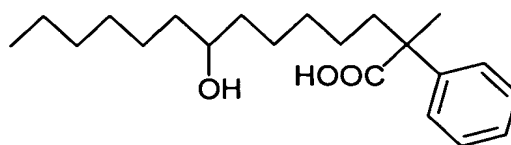
2,2-Dimethyl-tetradecane-1,8-diol  
**Compound 115**



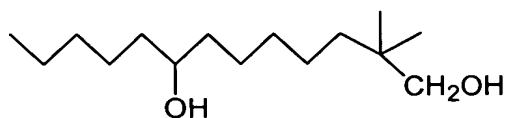
8-Hydroxy-2,2-dimethyl-tetradecanoic acid  
**Compound 116**



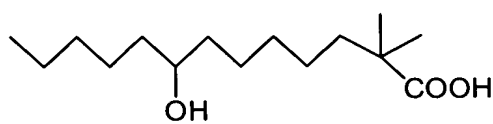
2-Methyl-2-phenyl-tetradecane-1,8-diol  
**Compound 117**



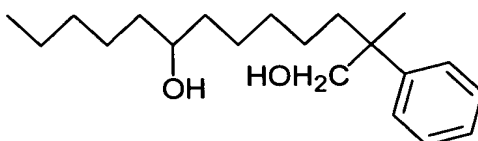
8-Hydroxy-2-methyl-2-phenyl-tetradecanoic acid  
**Compound 118**



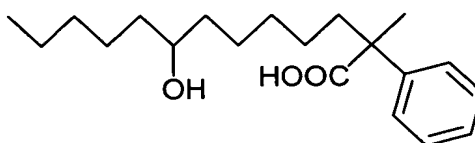
2,2-Dimethyl-tridecane-1,8-diol  
**Compound 119**



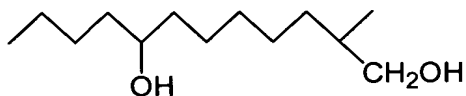
8-Hydroxy-2,2-dimethyl-tridecanoic acid  
**Compound 120**



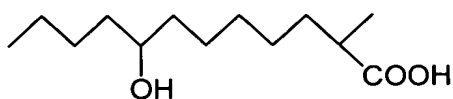
2-Methyl-2-phenyl-tridecane-1,8-diol  
**Compound 121**



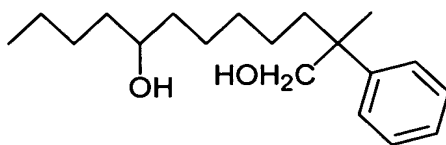
8-Hydroxy-2-methyl-2-phenyl-tridecanoic acid  
**Compound 122**



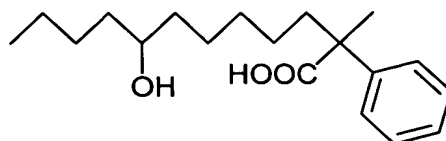
2,2-Dimethyl-dodecane-1,8-diol  
**Compound 123**



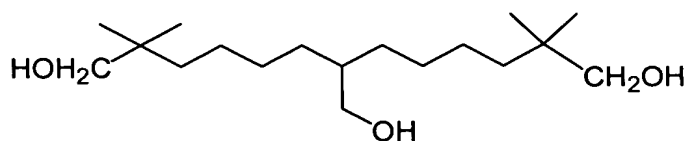
8-Hydroxy-2,2-dimethyl  
**Compound 124**



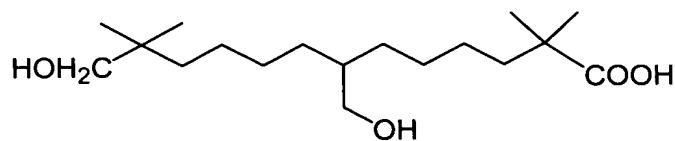
2-Methyl-2-phenyl-dodecane-1,8-diol  
**Compound 125**



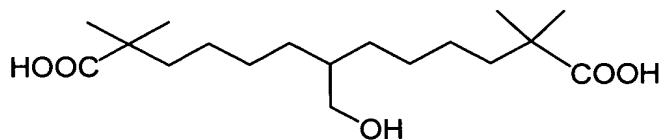
8-Hydroxy-2-methyl-2-phenyl-dodecanoic acid  
**Compound 126**



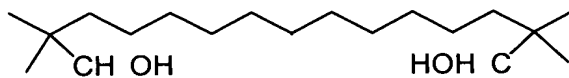
7-Hydroxymethyl-2,2,12,12-tetramethyl-tridecane-1,13-diol  
**Compound 127**



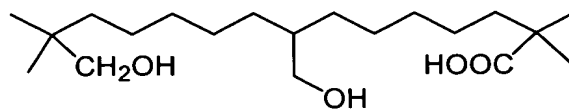
13-Hydroxy-7-hydroxymethyl-2,2,12,12-tetramethyl-tridecanoic acid  
**Compound 128**



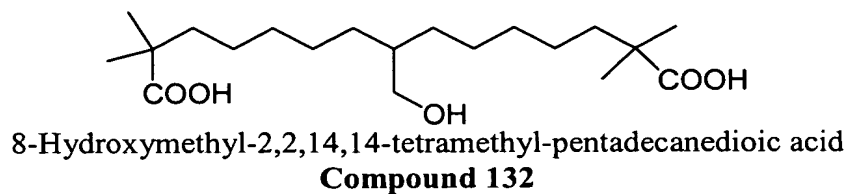
7-Hydroxymethyl-2,2,12,12-tetramethyl-tridecanedioic acid  
**Compound 129**



8-Hydroxymethyl-2,2,14,14-tetramethyl-pentadecane-1,15-diol  
**Compound 130**



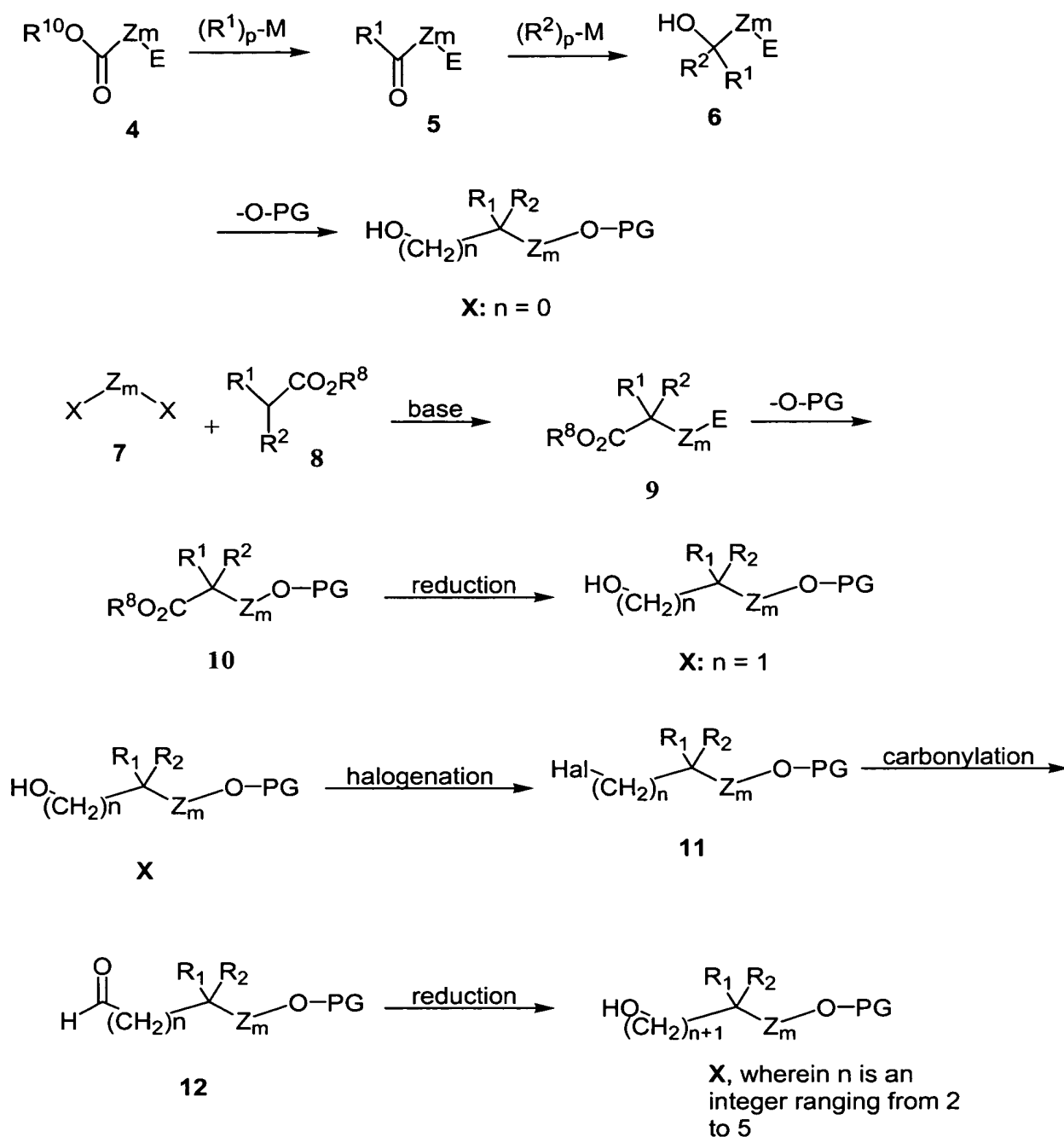
15-Hydroxy-8-hydroxymethyl-2,2,14,14-tetramethyl-pentadecanoic acid  
**Compound 131**



### 5.1 Synthesis of the Compounds of the Invention

The compounds of the invention can be obtained via the synthetic methodology illustrated in Schemes 1-20. Starting materials useful for preparing the compounds of the invention and intermediates thereof, are commercially available or can be prepared from commercially available materials using known synthetic methods and reagents.

**Scheme 1: Synthesis of Compounds of Formula X**



Scheme 1 illustrates the synthesis of mono-protected diols of the formula **X**, wherein **X** is an integer ranging from 0 to 4 and  $R^1$  and  $R^2$  are as defined herein, and E is a leaving group as defined herein. Scheme 1 first outlines the synthesis of mono-protected diols **X**, wherein n is 0, where esters **4** are successively reacted with a first  $((R^1)_p-M)$  then a second  $((R^2)_p-M)$  organometallic reagent providing hydroxys **5** and alcohols **6**, respectively. M is a metal group and p is the metal's valency value (e.g., the valency of Li is 1 and that of Zn is

2). Suitable metals include, but are not limited to, Zn, Na, Li, and –Mg–Hal, wherein Hal is a halide selected from iodo, bromo, or chloro. Preferably, M is –Mg–Hal, in which case the organometallic reagents,  $(R^1)_p\text{Mg–Hal}$  and  $(R^2)_p\text{Mg–Hal}$ , are known in the art as a Grignard reagents. Esters **4** are available commercially (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin) or can be prepared by well-known synthetic methods, for example, *via* esterification of the appropriate 5-halovaleric acid (commercially available, *e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin). Both  $(R^1)_p\text{M}$  and  $(R^2)_p\text{M}$  are available commercially (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin) or can be prepared by well-known methods (see *e.g.*, Kharasch *et al.*, *Grignard Reactions of Non-Metallic Substances*; Prentice-Hall, Englewood Cliffs, NJ, pp. 138-528 (1954) and Hartley; Patai, *The Chemistry of the Metal-Carbon Bond*, Vol. 4, Wiley: New York, pp. 159-306 and pp. 162-175 (1989), both citations are hereby expressly incorporated herein by reference). The reaction of a first  $((R^1)_p\text{M})$  then a second  $((R^2)_p\text{M})$  organometallic reagent with esters **4** can be performed using the general procedures referenced in March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, pp. 920-929 and Eicher, Patai, *The Chemistry of the Carbonyl Group*, pt. 1, pp. 621-693; Wiley: New York, (1966), hereby expressly incorporated herein by reference. For example, the synthetic procedure described in Comins *et al.*, 1981, *Tetrahedron Lett.* 22:1085, hereby expressly incorporated herein by reference, can be used. As one example, the reaction can be performed by adding an organic solution of  $(R^1)_p\text{M}$  (about 0.5 to about 1 equivalents) to a stirred, cooled (about 0°C to about –80°C) solution comprising esters **4**, under an inert atmosphere (*e.g.*, nitrogen) to give a reaction mixture comprising ketones **5**. Preferably,  $(R^1)_p\text{M}$  is added at a rate such that the reaction-mixture temperature remains within about one to two degrees of the initial reaction-mixture temperature. The progress of the reaction can be followed by using an appropriate analytical method, such as thin-layer chromatography or high-performance-liquid chromatography. Next, an organic solution of  $(R^2)_p\text{M}$  (about 0.5 to about 1 equivalent) is added to the reaction mixture comprising ketones **5** in the same manner used to add  $(R^1)_p\text{M}$ . After the reaction providing alcohols **6** is substantially complete, the reaction mixture can be quenched and the product can be isolated by workup. Suitable solvents for obtaining alcohols **6** include, but are not limited to, dichloromethane, diethyl ether, tetrahydrofuran, benzene, toluene, xylene, hydrocarbon solvents (*e.g.*, pentane, hexane, and heptane), and mixtures thereof. Preferably, the organic solvent is diethyl ether or tetrahydrofuran. Next, alcohols **6** are converted to mono-protected diols **X**, wherein n is 0, using the well-known Williamson ether synthesis. This involves reacting alcohols **6** with



–O–PG, wherein –PG is a hydroxy-protecting group. For a general discussion of the Williamson ether synthesis, See March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, pp. 386-387, and for a list of procedures and reagents useful in the Williamson ether synthesis, See, for example, Larock *Comprehensive Organic Transformations*; VCH: New York, 1989, pp. 446-448, both of which references are incorporated herein by reference. As used herein, the term “hydroxy-protecting group” means a group that is reversibly attached to a hydroxy moiety that renders the hydroxy moiety unreactive during a subsequent reaction(s) and that can be selectively cleaved to regenerate the hydroxy moiety once its protecting purpose has been served. Examples of hydroxy-protecting groups are found in Greene, T.W., *Protective Groups in Organic Synthesis*, 3rd edition 17-237 (1999), hereby expressly incorporated herein by reference. Preferably, the hydroxy-protecting group is stable in a basic reaction medium, but can be cleaved by acid. Examples of suitable base-stable acid-labile hydroxy-protecting groups suitable for use with the invention include, but are not limited to, ethers, such as methyl, methoxy methyl, methylthiomethyl, methoxyethoxymethyl, *bis*(2-chloroethoxy)methyl, tetrahydropyranyl, tetrahydrothiopyranyl, tetrahydrofuranyl, tetrahydrothiofuranyl, 1-ethoxyethyl, 1-methyl-1-methoxyethyl, *t*-butyl, allyl, benzyl, *o*-nitrobenzyl, triphenylmethyl,  $\alpha$ -naphthylidiphenylmethyl, *p*-methoxyphenyldiphenylmethyl, 9-(9-phenyl-10-oxo)anthranyl, trimethylsilyl, isopropyldimethylsilyl, *t*-butyldimethylsilyl, *t*-butyldiphenylsilyl, tribenzylsilyl, and triisopropylsilyl; and esters, such as pivaloate, adamantate, and 2,4,6-trimethylbenzoate. Ethers are preferred, particularly straight chain ethers, such as methyl ether, methoxymethyl ether, methylthiomethyl ether, methoxyethoxymethyl ether, *bis*(2-chloroethoxy)methyl ether. Preferably –PG is methoxymethyl (CH<sub>3</sub>OCH<sub>2</sub>–). Reaction of alcohols 6 with –O–PG under the conditions of the Williamson ether synthesis involves adding a base to a stirred organic solution comprising HO–PG (*e.g.*, methoxymethanol), maintained at a constant temperature within the range of about 0°C to about 80°C, preferably at about room temperature. Preferably, the base is added at a rate such that the reaction-mixture temperature remains within about one to two degrees of the initial reaction-mixture temperature. The base can be added as an organic solution or in undiluted form. Preferably, the base will have a base strength sufficient to deprotonate a proton, wherein the proton has a pK<sub>a</sub> of greater than about 15, preferably greater than about 20. As is well known in the art, the pK<sub>a</sub> is a measure of the acidity of an acid H–A, according to the equation  $pK_a = -\log K_a$ , wherein K<sub>a</sub> is the equilibrium constant for the proton transfer. The acidity of an acid H–A is proportional to

the stability of its conjugate base –A. For tables listing  $pK_a$  values for various organic acids and a discussion on  $pK_a$  measurement, see March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, pp. 248-272, incorporated herein by reference. Suitable bases include, but are not limited to, alkylmetal bases such as

5 methylolithium, *n*-butyllithium, *tert*-butyllithium, *sec*-butyllithium, phenyllithium, phenyl sodium, and phenyl potassium; metal amide bases such as lithium amide, sodium amide, potassium amide, lithium tetramethylpiperidide, lithium diisopropylamide, lithium diethylamide, lithium dicyclohexylamide, sodium hexamethyldisilazide, and lithium hexamethyldisilazide; and hydride bases such as sodium hydride and potassium hydride.

10 The preferred base is lithium diisopropylamide. Solvents suitable for reacting alcohols 6 with –OPG include, but are not limited, to dimethyl sulfoxide, dichloromethane, ethers, and mixtures thereof, preferably tetrahydrofuran. After addition of the base, the reaction mixture can be adjusted to within a temperature range of about 0°C to about room

15 temperature and alcohols 6 can be added, preferably at a rate such that the reaction-mixture temperature remains within about one to two degrees of the initial reaction-mixture temperature. Alcohols 6 can be diluted in an organic solvent or added in their undiluted form. The resulting reaction mixture is stirred until the reaction is substantially complete as determined by using an appropriate analytical method, preferably by gas chromatography, then the mono-protected diols X can be isolated by workup and purification.

20 Next, Scheme 1 outlines a method useful for synthesizing mono-protected diols X, wherein n is 1. First, compounds 7, wherein E is a suitable leaving group, are reacted with compounds 8, wherein  $R^1$  and  $R^2$  are as defined above and  $R^8$  is H, (C<sub>1</sub>–C<sub>6</sub>)alkyl or (C<sub>6</sub>)aryl, providing compounds 9. Suitable leaving groups are well known in the art, for example, but not limited to halides, such as chloride, bromide, and iodide; aryl- or alkylsulfonyloxy,

25 substituted arylsulfonyloxy (e.g., tosyloxy or mesyloxy); substituted alkylsulfonyloxy (e.g., haloalkylsulfonyloxy); (C<sub>6</sub>)aryloxy or substituted (C<sub>6</sub>)aryloxy; and acyloxy groups. Compounds 7 are available commercially (e.g., Aldrich Chemical Co., Milwaukee, Wisconsin) or can be prepared by well-known methods such as halogenation or sulfonation of butanediol. Compounds 8 are also available commercially (e.g., Aldrich Chemical Co.,

30 Milwaukee, Wisconsin) or by well-known methods, such as those listed in Larock *Comprehensive Organic Transformations*; Wiley-VCH: New York, 1999, pp. 1754-1755 and 1765. A review on alkylation of esters of type 8 is given by J. Mulzer in Comprehensive Organic Functional Transformations, Pergamon, Oxford 1995, pp. 148-151 and exemplary synthetic procedures for reacting compounds 7 with compounds 8 are

described in United States Patent No. 5,648,387, column 6 and Ackerly, *et al.*, *J. Med. Chem.* **1995**, pp. 1608, all of which citations are hereby expressly incorporated herein by

reference. The reaction requires the presence of a suitable base. Preferably, a suitable base will have a  $pK_a$  of greater than about 25, more preferably greater than about 30. Suitable

5 bases include, but are not limited to, alkylmetal bases such as methyllithium, *n*-butyllithium, *tert*-butyllithium, *sec*-butyllithium, phenyllithium, phenyl sodium, and phenyl potassium; metal amide bases such as lithium amide, sodium amide, potassium amide, lithium tetramethylpiperidide, lithium diisopropylamide, lithium diethylamide, lithium dicyclohexylamide, sodium hexamethyldisilazide, and lithium hexamethyldisilazide;

10 hydride bases such as sodium hydride and potassium hydride. Metal amide bases, such as lithium diisopropylamide are preferred. Preferably, to react compounds **7** with compounds **8**, a solution of about 1 to about 2 equivalents of a suitable base is added to a stirred solution comprising esters **8** and a suitable organic solvent, under an inert atmosphere, the solution maintained at a constant temperature within the range of about  $-95\text{ }^{\circ}\text{C}$  to about room

15 temperature, preferably at about  $-78\text{ }^{\circ}\text{C}$  to about  $-20\text{ }^{\circ}\text{C}$ . Preferably, the base is diluted in a suitable organic solvent before addition. Preferably, the base is added at a rate of about 1.5 moles per hour. Organic solvents suitable for the reaction of compounds **7** with the compounds **8** include, but are not limited to, dichloromethane, diethyl ether, tetrahydrofuran, dimethylformamide, dimethyl sulfoxide, benzene, toluene, xylene,

20 hydrocarbon solvents (*e.g.*, pentane, hexane, and heptane), and mixtures thereof. After addition of the base, the reaction mixture is allowed to stir for about 1 to about 2 hours, and a compound **7**, preferably dissolved in a suitable organic solvent, is added, preferably at a rate such that the reaction-mixture temperature remains within about one to two degrees of the initial reaction-mixture temperature. After addition of compounds **7**, the reaction-

25 mixture temperature can be adjusted to within a temperature range of about  $-20\text{ }^{\circ}\text{C}$  to about room temperature, preferably to about room temperature, and the reaction mixture is allowed to stir until the reaction is substantially complete as determined by using an appropriated analytical method, preferably thin-layer chromatography or high-performance liquid chromatography. Then the reaction mixture is quenched and compounds **9**, wherein *n*

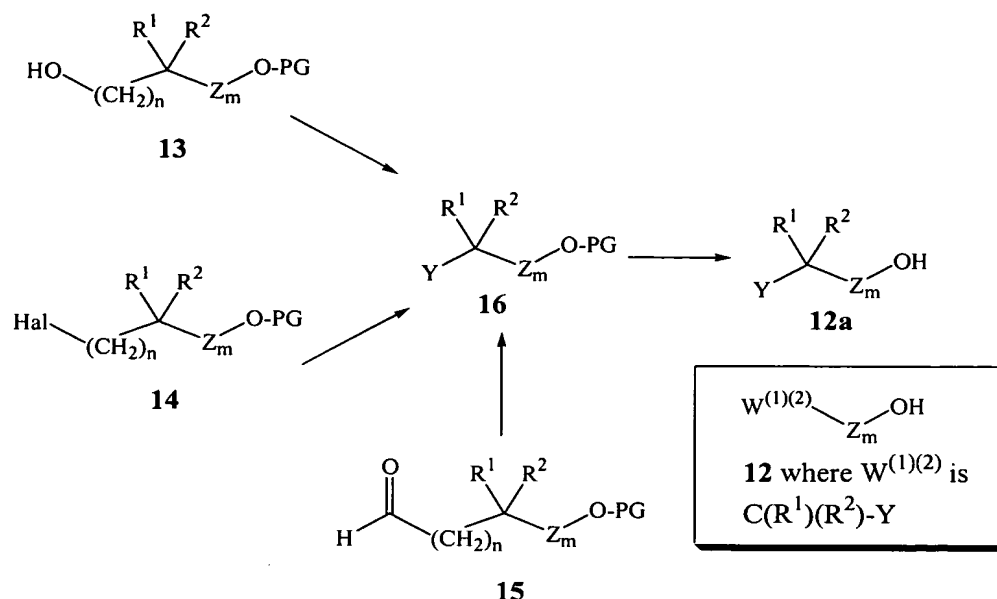
30 is 1 can be isolated by workup. Compounds **10** are then synthesized by reacting compounds **9** with  $-\text{O}-\text{PG}$  according to the protocol described above for reacting alcohols **6** with  $-\text{O}-\text{PG}$ . Next, compounds **10** can be converted to mono-protected diols **X**, wherein *n* is 1, by reduction of the ester group of compounds **10** to an alcohol group with a suitable reducing agent. A wide variety of reagents are available for reduction of such esters to alcohols, *e.g.*,

see M. Hudlicky, *Reductions in Organic Chemistry*, 2nd ed., 1996 pp. 212-217, hereby expressly incorporated herein by reference. Preferably, the reduction is effected with a hydride type reducing agent, for example, lithium aluminum hydride, lithium borohydride, lithium triethyl borohydride, diisobutylaluminum hydride, lithium trimethoxyaluminum hydride, or sodium *bis*(2-methoxy)aluminum hydride. For exemplary procedures for reducing esters to alcohols, see Nystrom *et al.*, 1947, *J. Am. Chem. Soc.* 69:1197; and Moffet *et al.*, 1963, *Org. Synth., Collect.* 834(4), lithium aluminum hydride; Brown *et al.*, 1965, *J. Am. Chem. Soc.* 87:5614, lithium trimethoxyaluminum hydride; Cerny *et al.*, 1969, *Collect. Czech. Chem. Commun.* 34:1025, sodium *bis*(2-methoxy)aluminum hydride; Nystrom *et al.*, 1949, *J. Am. Chem.* 71:245, lithium borohydride; and Brown *et al.*, 1980, *J. Org. Chem.* 45:1, lithium triethyl borohydride, all of which citations are hereby expressly incorporated herein by reference. Preferably, the reduction is conducted by adding an organic solution of compounds **10** to a stirred mixture comprising a reducing agent, preferably lithium aluminum hydride, and an organic solvent. During the addition, the reaction mixture is maintained at a constant temperature within the range of about -20 °C to about 80 °C, preferably at about room temperature. Organic solvents suitable for reacting **9** with -OPG include, but are not limited to, dichloromethane, diethyl ether, tetrahydrofuran or mixtures thereof, preferably tetrahydrofuran. After the addition, the reaction mixture is stirred at a constant temperature within the range of about room temperature to about 60°C, until the reaction is substantially complete as determined by using an appropriate analytical method, preferably thin-layer chromatography or high-performance-liquid chromatography. Then the reaction mixture can be quenched and mono-protected diols **X**, wherein n is 1, can be isolated by workup and purification.

Scheme 1 next illustrates a three step synthetic sequence for homologating mono-protected diols **X** comprising: (a) halogenation ( converting -CH<sub>2</sub>OH to -CH<sub>2</sub>-Hal); (b) carbonylation (replacing -Hal with -CHO); and (c) reduction (converting -CHO to -CH<sub>2</sub>OH), wherein a reaction sequence of (a), (b), and (c) increases the value of n by 1. In step (a) protected halo-alcohols **11**, wherein Hal is a halide selected from the group of chloro, bromo, or iodo, preferably iodo, can be prepared by halogenating mono-protected diols **X**, by using well-known methods (for a discussion of various methods for conversion of alcohols to halides see March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, pp. 431-433, hereby expressly incorporated herein by reference). For example, protected iodo-alcohols **11** can be synthesized starting from mono-protected diols **X** by treatment with Ph<sub>3</sub>I<sub>2</sub>/imidazole (Garegg *et al.*, 1980, *J.C.S*

*Perkin I* 2866 ); 1,2-diphenylene phosphorochloridite/I<sub>2</sub> (Corey *et al.*, 1967, *J. Org. Chem.* 82:4160); or preferably with Me<sub>3</sub>SiCl/NaI (Olah *et al.*, 1979, *J. Org. Chem.* 44:8, 1247), all of which citations are hereby expressly incorporated herein by reference. Step (b); carbonylation of alkyl halides, such as protected halo-alcohols **11**, is reviewed in Olah *et al.*, 1987, *Chem Rev.* 87:4, 671; and March, J., *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, pp. 483-484, both of which are hereby expressly incorporated herein by reference). Protected halo-alcohols **11** can be carbonylated with Li(BF<sub>3</sub>.Et<sub>2</sub>O)/HCONMe<sub>2</sub> using the procedure described in Maddaford *et al.*, 1993, *J. Org. Chem.* 58:4132; Becker *et al.*, 1982, *J. Org. Chem.* 3297; or Myers *et al.*, 1992, *J. Am. Chem. Soc.* 114:9369 or, alternatively, with an organometallic/*N*-formylmorpholine using the procedure described in Olah *et al.*, 1984, *J. Org. Chem.* 49:3856 or Vogtle *et al.*, 1987, *J. Org. Chem.* 52:5560, all of which citations are hereby expressly incorporated herein by reference. The method described in Olah *et al.*, 1984, *J. Org. Chem.* 49:3856 is preferred. Reduction step (c) useful for synthesizing mono-protected diols **X** from aldehydes **12**, can be accomplished by well-known methods in the art for reduction of aldehydes to the corresponding alcohols (for a discussion see M. Hudlicky, *Reductions in Organic Chemistry*, 2nd ed., 1996 pp 137-139), for example, by catalytic hydrogenation (*see e.g.*, Carothers, 1949, *J. Am. Chem. Soc.* 46:1675) or, preferably by reacting aldehydes **12** with a hydride reducing agent, such as lithium aluminum hydride, lithium borohydride, sodium borohydride (*see e.g.*, the procedures described in Chaikin *et al.*, 1949, *J. Am. Chem. Soc.* 71:3245; Nystrom *et al.*, 1947, *J. Am. Chem. Soc.* 69:1197; and Nystrom *et al.*, 1949, *J. Am. Chem.* 71:3245, all of which are hereby expressly incorporated herein by reference). Reduction with lithium aluminum hydride is preferred.

**Scheme 2: Synthesis of Compounds of Formula 12a, which correspond to Compounds  $W^{(1)(2)}-Z_m-OH$ , Wherein  $W^{(1)(2)}$  is  $C(R^1)(R^2)-Y$**



Scheme 2 outlines the method for the synthesis of protected alcohols **12a** wherein Y,  $R^1$ ,  $R^2$ , Z, and m are defined as above. Protected alcohols **12a** correspond to compounds of the formula  $W^{(1)(2)}-Z_m-OH$ , wherein  $W^{(1)(2)}$  is  $C(R^1)(R^2)-Y$ .

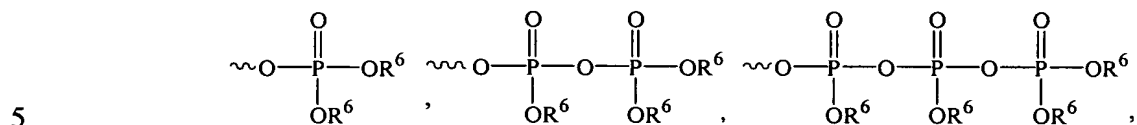
Protected alcohols **16**, wherein Y comprises a  $-C(O)OH$  group, can be synthesized by oxidizing mono-protected diols X with an agent suitable for oxidizing a primary alcohol to a carboxylic acid (for a discussion see M. Hudlicky, *Oxidations in Organic Chemistry*, ACS Monograph 186, 1990, pp. 127-130, hereby expressly incorporated herein by reference). Suitable oxidizing agents include, but are not limited to, pyridinium dichromate (Corey *et al.*, 1979, *Tetrahedron Lett.* **399**); manganese dioxide (Ahrens *et al.*, 1967, *J. Heterocycl. Chem.* **4**:625); sodium permanganate monohydrate (Menger *et al.*, 1981, *Tetrahedron Lett.* **22**:1655); and potassium permanganate (Sam *et al.*, 1972, *J. Am. Chem. Soc.* **94**:4024), all of which citations are hereby expressly incorporated herein by reference. The preferred oxidizing reagent is pyridinium dichromate. In an alternative synthetic procedure, protected alcohols **16**, wherein Y comprises a  $-C(O)OH$  group, can be synthesized by treatment of protected halo-alcohols **15**, wherein X is iodo, with CO or  $CO_2$ , as described in Bailey *et al.*, 1990, *J. Org. Chem.* **55**:5404 and Yanagisawa *et al.*, 1994, *J. Am. Chem. Soc.* **116**:6130, the two of which citations are hereby expressly incorporated herein by reference. Protected alcohols **16**, wherein Y comprises  $-C(O)OR^5$ , wherein  $R^5$  is as defined above, can be synthesized by oxidation of mono-protected diols X in the presence of  $R^5OH$  (see generally, March, *J. Advanced Organic Chemistry; Reactions*

*Mechanisms, and Structure*, 4th ed., 1992, p. 1196). An exemplary procedure for such an oxidation is described in Stevens *et al.*, 1982, *Tetrahedron Lett.* 23:4647 (HOCl); Sundararaman *et al.*, 1978, *Tetrahedron Lett.* 1627 (O<sub>3</sub>/KOH); Wilson *et al.*, 1982, *J. Org. Chem.* 47:1360 (*t*-BuOOH/Et<sub>3</sub>N); and Williams *et al.*, 1988, *Tetrahedron Lett.* 29:5087 (Br<sub>2</sub>), the four of which citations are hereby expressly incorporated herein by reference. Preferably, protected alcohols 16, wherein Y comprises a -C(O)OR<sup>5</sup> group are synthesized from the corresponding carboxylic acid (*i.e.*, 16, wherein Y comprises -C(O)OH) by esterification with R<sup>5</sup>OH (*e.g.*, see March, J., *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, p. 393-394, hereby expressly incorporated herein by reference). In another alternative synthesis, protected alcohols 16, wherein Y comprises -C(O)OR<sup>5</sup>, can be prepared from protected halo-alcohols 14 by carbonylation with transition metal complexes (*see e.g.*, March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, p. 484-486; Urata *et al.*, 1991, *Tetrahedron Lett.* 32:36, 4733); and Ogata *et al.*, 1969, *J. Org. Chem.* 39:85, the three of which citations are hereby expressly incorporated herein by reference).

Protected alcohols 16, wherein Y comprises -OC(O)R<sup>5</sup>, wherein R<sup>5</sup> is as defined above, can be prepared by acylation of mono-protected diols X with a carboxylate equivalent such as an acyl halide (*i.e.*, R<sup>5</sup>C(O)-Hal, wherein Hal is iodo, bromo, or chloro, *see e.g.*, March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, p. 392 and *Org. Synth. Coll. Vol. III*, Wiley, NY, pp. 142, 144, 167, and 187 (1955)) or an anhydride (*i.e.*, R<sup>5</sup>C(O)-O-(O)CR<sup>5</sup>, *see e.g.*, March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, p. 392-393 and *Org. Synth. Coll. Vol. III*, Wiley, NY, pp. 11, 127, 141, 169, 237, 281, 428, 432, 690, and 833 (1955), all of which citations are hereby expressly incorporated herein by reference). Preferably, the reaction is conducted by adding a base to a solution comprising mono-protected diols X, a carboxylate equivalent, and an organic solvent, which solution is preferably maintained at a constant temperature within the range of 0°C to about room temperature. Solvents suitable for reacting mono-protected diols X with a carboxylate equivalent include, but are not limited to, dichloromethane, toluene, and ether, preferably dichloromethane. Suitable bases include, but are not limited to, hydroxide sources, such as sodium hydroxide, potassium hydroxide, sodium carbonate, or potassium carbonate; or an amine such as triethylamine, pyridine, or dimethylaminopyridine, amines are preferred. The progress of the reaction can be followed by using an appropriate analytical technique, such as thin layer

chromatography or high performance liquid chromatography and when substantially complete, the product can be isolated by workup and purified if desired.

Protected alcohols **16**, wherein Y comprises one of the following phosphate ester groups



wherein R<sup>6</sup> is defined as above, can be prepared by phosphorylation of mono-protected diols **X** according to well-known methods (for a general reviews, see Corbridge *Phosphorus: An Outline of its Chemistry, Biochemistry, and Uses*, Studies in Inorganic Chemistry, 3rd ed., pp. 357-395 (1985); Ramirez *et al.*, 1978, *Acc. Chem. Res.* **11**:239; and  
 10 *Kalckare Biological Phosphorylations*, Prentice-Hall, New York (1969); J. B. Sweeny in *Comprehensive Organic Functional Group Transformations*, A.R. Katritzky, O. Meth-Cohn and C.W. Rees, Eds. Pergamon: Oxford, 1995, vol 2, pp. 104-109, the four of which are hereby expressly incorporated herein by reference). Protected alcohols **16** wherein Y comprises a monophosphate group of the formula:

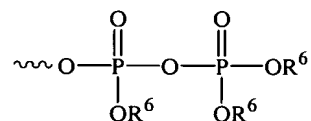


wherein R<sup>6</sup> is defined as above, can be prepared by treatment of mono-protected diol **X** with phosphorous oxychloride in a suitable solvent, such as xylene or toluene, at a constant temperature within the range of about 100°C to about 150°C for about 2 hours to about 24 hours. After the reaction is deemed substantially complete, by using an appropriate  
 20 analytical method, the reaction mixture is hydrolyzed with R<sup>6</sup>-OH. Suitable procedures are referenced in Houben-Weyl, *Methoden der Organische Chemie*, Georg Thieme Verlag Stuttgart 1964, vol. XII/2, pp. 143-210 and 872-879, hereby expressly incorporated herein by reference. Alternatively, when both R<sup>6</sup> are hydrogen, can be synthesized by reacting mono-protected diols **X** with silyl polyphosphate (Okamoto *et al.*, 1985, *Bull Chem. Soc. Jpn.* **58**:3393, hereby expressly incorporated herein by reference) or by hydrogenolysis of  
 25 their benzyl or phenyl esters (Chen *et al.*, 1998, *J. Org. Chem.* **63**:6511, hereby expressly incorporated herein by reference). In another alternative procedure, when R<sup>6</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, or (C<sub>2</sub>-C<sub>6</sub>)alkynyl, the monophosphate esters can be prepared by reacting mono-protected diols **X** with appropriately substituted phosphoramidites followed

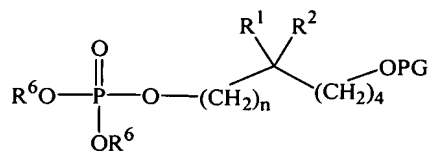


by oxidation of the intermediate with *m*-chloroperbenzoic acid (Yu *et al.*, 1988, *Tetrahedron Lett.* 29:979, hereby expressly incorporated herein by reference) or by reacting mono-protected diols **X** with dialkyl or diaryl substituted phosphorochloridates (Pop, *et al.*, 1997, *Org. Prep. and Proc. Int.* 29:341, hereby expressly incorporated herein by reference). The phosphoramidites are commercially available (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin) or readily prepared according to literature procedures (*see e.g.*, Uhlmann *et al.*, 1986, *Tetrahedron Lett.* 27:1023 and Tanaka *et al.*, 1988, *Tetrahedron Lett.* 29:199, both of which are hereby expressly incorporated herein by reference). The phosphorochloridates are also commercially available (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin) or prepared according to literature methods (*e.g.*, Gajda *et al.*, 1995, *Synthesis* 25:4099. In still another alternative synthesis, protected alcohols **16**, wherein Y comprises a monophosphate group and R<sup>6</sup> is alkyl or aryl, can be prepared by reacting IP<sup>+</sup>(OR<sup>6</sup>)<sub>3</sub> with mono-protected diols **X** according to the procedure described in Stowell *et al.*, 1995, *Tetrahedron Lett.* 36:11, 1825 or by alkylation of protected halo alcohols **14** with the appropriate dialkyl or diaryl phosphates (*see e.g.*, Okamoto, 1985, *Bull Chem. Soc. Jpn.* 58:3393, hereby expressly incorporated herein by reference).

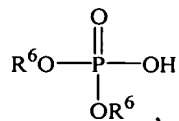
Protected alcohols **16** wherein Y comprises a diphosphate group of the formula



wherein R<sup>6</sup> is defined as above, can be synthesized by reacting the above-discussed monophosphates of the formula:

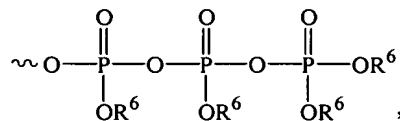


with a phosphate of the formula

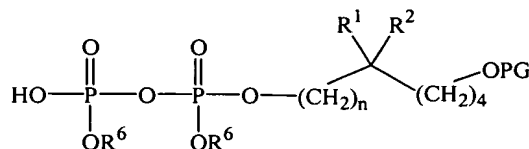


(commercially available, *e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin), in the presence of carbodiimide such as dicyclohexylcarbodiimide, as described in Houben-Weyl, *Methoden der Organische Chemie*, Georg Thieme Verlag Stuttgart 1964, vol. XII/2, pp.

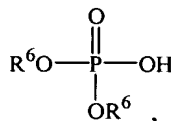
881-885. In the same fashion, protected alcohols **16**, wherein Y comprises a triphosphate group of the formula:



5 can be synthesized by reacting the above-discussed diphosphate protected alcohols, of the formula:

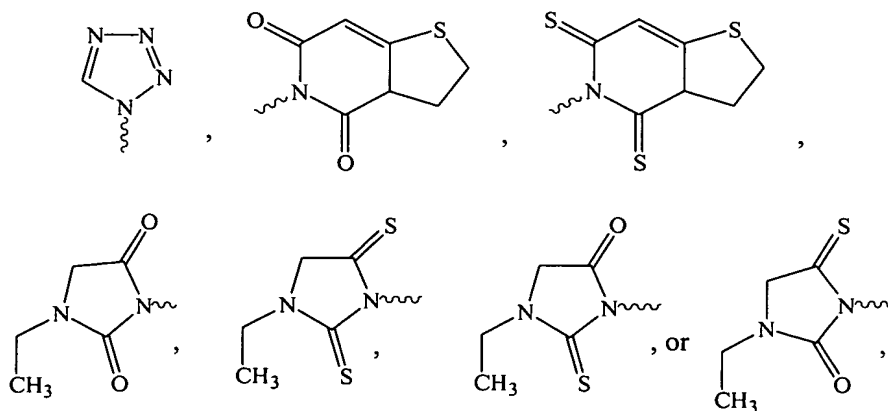


with a phosphate of the formula:



10 as described above. Alternatively, when R<sup>6</sup> is H, protected alcohols **16** wherein Y comprises the triphosphate group, can be prepared by reacting mono-protected diols **X** with salicyl phosphorochloridite and then pyrophosphate and subsequent cleavage of the adduct thus obtained with iodine in pyridine as described in Ludwig *et al.*, 1989, *J. Org. Chem.* 54:631, hereby expressly incorporated herein by reference.

15 Protected alcohols **16**, wherein Y is –SO<sub>3</sub>H or a heterocyclic group selected from the group consisting of:

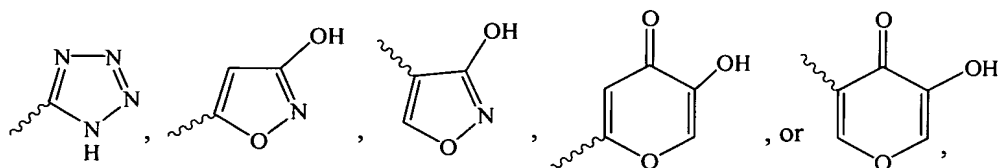


can be prepared by halide displacement from protected halo-alcohols **14**. Thus, when Y is –SO<sub>3</sub>H, protected alcohols **16** can be synthesized by reacting protected halo-alcohols **14** with

sodium sulfite as described in Gilbert *Sulfonation and Related Reactions*; Wiley: New York, 1965, pp. 136-148 and pp. 161-163; *Org. Synth. Coll. Vol. II*, Wiley, NY, 558, 564 (1943); and *Org. Synth. Coll. Vol. IV*, Wiley, NY, 529 (1963), all three of which are hereby expressly incorporated herein by reference. When Y is one of the above-mentioned

5 heterocycles, protected alcohols **16** can be prepared by reacting protected halo-alcohols **14** with the corresponding heterocycle in the presence of a base. The heterocycles are available commercially (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin) or prepared by well-known synthetic methods (see the procedures described in Ware, 1950, *Chem. Rev.* **46**:403-470, hereby expressly incorporated herein by reference). Preferably, the reaction is  
10 conducted by stirring a mixture comprising **14**, the heterocycle, and a solvent at a constant temperature within the range of about room temperature to about 100°C, preferably within the range of about 50°C to about 70°C for about 10 to about 48 hours. Suitable bases include hydroxide bases such as sodium hydroxide, potassium hydroxide, sodium carbonate, or potassium carbonate. Preferably, the solvent used in forming protected  
15 alcohols **16** is selected from dimethylformamide; formamide; dimethyl sulfoxide; alcohols, such as methanol or ethanol; and mixtures thereof. The progress of the reaction can be followed by using an appropriate analytical technique, such as thin layer chromatography or high performance liquid chromatography and when substantially complete, the product can be isolated by workup and purified if desired.

20 Protected alcohols **16**, wherein Y is a heteroaryl ring selected from



can be prepared by metallating the suitable heteroaryl ring then reacting the resulting metallated heteroaryl ring with protected halo-alcohols **14** (for a review, see Katritzky  
*Handbook of Heterocyclic Chemistry*, Pergamon Press: Oxford 1985). The heteroaryl rings  
25 are available commercially or prepared by well-known synthetic methods (*see e.g.*, Joule *et al.*, *Heterocyclic Chemistry*, 3rd ed., 1995; De Sarlo *et al.*, 1971, *J. Chem. Soc. (C)* **86**; Oster *et al.*, 1983, *J. Org. Chem.* **48**:4307; Iwai *et al.*, 1966, *Chem. Pharm. Bull.* **14**:1277; and United States Patent No. 3,152,148, all of which citations are hereby expressly incorporated herein by reference). As used herein, the term "metallating" means the forming of a carbon-metal bond, which bond may be substantially ionic in character. Metallation can be  
30 accomplished by adding about 2 equivalents of strong organometallic base, preferably with

a  $pK_a$  of about 25 or more, more preferably with a  $pK_a$  of greater than about 35, to a mixture comprising a suitable organic solvent and the heterocycle. Two equivalents of base are required: one equivalent of the base deprotonates the –OH group or the –NH group, and the second equivalent metallates the heteroaryl ring. Alternatively, the hydroxy group of the heteroaryl ring can be protected with a base-stable, acid-labile protecting group as described in Greene, T.W., *Protective Groups in Organic Synthesis*, 3rd edition 17-237 (1999), hereby expressly incorporated herein by reference. Where the hydroxy group is protected, only one equivalent of base is required. Examples of suitable base-stable, acid-labile hydroxyl-protecting groups, include but are not limited to, ethers, such as methyl, methoxy methyl, methylthiomethyl, methoxyethoxymethyl, *bis*(2-chloroethoxy)methyl, tetrahydropyranyl, tetrahydrothiopyranyl, tetrahydrofuranyl, tetrahydrothiofuranyl, 1-ethoxyethyl, 1-methyl-1-methoxyethyl, *t*-butyl, allyl, benzyl, *o*-nitrobenzyl, triphenylmethyl,  $\alpha$ -naphthyl, diphenylmethyl, *p*-methoxyphenyldiphenylmethyl, 9-(9-phenyl-10-oxo)anthranyl, trimethylsilyl, isopropyl, dimethylsilyl, *t*-butyldimethylsilyl, *t*-butyldiphenylsilyl, tribenzylsilyl, triisopropylsilyl; and esters, such as pivaloate, adamantate, and 2,4,6-trimethylbenzoate. Ethers are preferred, particularly straight chain ethers, such as methyl ether, methoxymethyl ether, methylthiomethyl ether, methoxyethoxymethyl ether, *bis*(2-chloroethoxy)methyl ether. Preferably, the  $pK_a$  of the base is higher than the  $pK_a$  of the proton of the heterocycle to be deprotonated. For a listing of  $pK_a$ s for various heteroaryl rings, see Fraser *et al.*, 1985, *Can. J. Chem.* **63**:3505, hereby expressly incorporated herein by reference. Suitable bases include, but are not limited to, alkylmetal bases such as methyllithium, *n*-butyllithium, *tert*-butyllithium, *sec*-butyllithium, phenyllithium, phenyl sodium, and phenyl potassium; metal amide bases such as lithium amide, sodium amide, potassium amide, lithium tetramethylpiperidide, lithium diisopropylamide, lithium diethylamide, lithium dicyclohexylamide, sodium hexamethyldisilazide, and lithium hexamethyldisilazide; and hydride bases such as sodium hydride and potassium hydride. If desired, the organometallic base can be activated with a complexing agent, such as *N,N,N',N'*-tetramethylethylenediamine or hexamethylphosphoramide (1970, *J. Am. Chem. Soc.* **92**:4664, hereby expressly incorporated herein by reference). Solvents suitable for synthesizing protected alcohols **16**, wherein Y is a heteroaryl ring include, but are not limited to, diethyl ether; tetrahydrofuran; and hydrocarbons, such as pentane. Generally, metallation occurs alpha to the heteroatom due to the inductive effect of the heteroatom, however, modification of conditions, such as the identity of the base and solvents, order of reagent addition, reagent addition times, and reaction and addition temperatures can be

modified by one of skill in the art to achieve the desired metallation position (*see e.g.*, Joule *et al.*, *Heterocyclic Chemistry*, 3rd ed., 1995, pp. 30-42, hereby expressly incorporated herein by reference) Alternatively, the position of metallation can be controlled by use of a halogenated heteroaryl group, wherein the halogen is located on the position of the

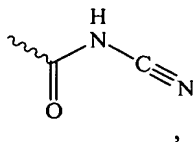
5 heteroaryl ring where metallation is desired (*see e.g.*, Joule *et al.*, *Heterocyclic Chemistry*, 3rd ed., 1995, p. 33 and Saulnier *et al.*, 1982, *J. Org. Chem.* 47:757, the two of which citations are hereby expressly incorporated herein by reference). Halogenated heteroaryl groups are available commercially (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin) or can be prepared by well-known synthetic methods (*see e.g.*, Joule *et al.*, *Heterocyclic*

10 *Chemistry*, 3rd ed., 1995, pp. 78, 85, 122, 193, 234, 261, 280, 308, hereby expressly incorporated herein by reference). After metallation, the reaction mixture comprising the metallated heteroaryl ring is adjusted to within a temperature range of about 0°C to about room temperature and protected halo-alcohols **14** (diluted with a solvent or in undiluted form) are added, preferably at a rate such that the reaction-mixture temperature remains

15 within about one to two degrees of the initial reaction-mixture temperature. After addition of protected halo-alcohols **14**, the reaction mixture is stirred at a constant temperature within the range of about room temperature and about the solvent's boiling temperature and the reaction's progress can be monitored by the appropriate analytical technique, preferably thin-layer chromatography or high-performance liquid chromatography. After the reaction

20 is substantially complete, protected alcohols **16** can be isolated by workup and purification. It is to be understood that conditions, such as the identity of protected halo-alcohol **14**, the base, solvents, orders of reagent addition, times, and temperatures, can be modified by one of skill in the art to optimize the yield and selectivity. Exemplary procedures that can be used in such a transformation are described in Shirley *et al.*, 1995, *J. Org. Chem.* 20:225;

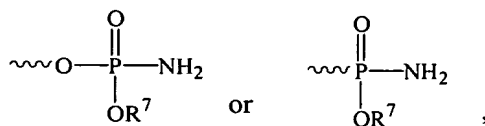
25 Chadwick *et al.*, 1979, *J. Chem. Soc., Perkin Trans. 1* 2845; Rewcastle, 1993, *Adv. Het. Chem.* 56:208; Katritzky *et al.*, 1993, *Adv. Het. Chem.* 56:155; and Kessar *et al.*, 1997, *Chem. Rev.* 97:721. When Y is



protected alcohols **16** can be prepared from their corresponding carboxylic acid derivatives

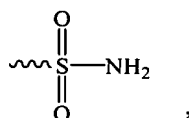
30 (**16**, wherein Y is -CO<sub>2</sub>H) as described in Belletire *et al.*, 1988, *Synthetic Commun.* 18:2063 or from the corresponding acylchlorides (**16**, wherein Y is -CO-halo) as described in

Skinner *et al.*, 1995, *J. Am. Chem. Soc.* 77:5440, both citations are hereby expressly incorporated herein by reference. The acylhalides can be prepared from the carboxylic acids by well known procedures such as those described in March, J., *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, pp. 437-438, hereby  
 5 expressly incorporated herein by reference. When Y is



wherein R<sup>7</sup> is as defined above, protected alcohols **16** can be prepared by first reacting protected halo-alcohols **15** with a trialkyl phosphite according to the procedure described in Kosolapoff, 1951, *Org. React.* 6:273 followed by reacting the derived phosphonic diester  
 10 with ammonia according to the procedure described in Smith *et al.*, 1957, *J. Org. Chem.* 22:265, hereby expressly incorporated herein by reference.

When Y is



protected alcohols **16** can be prepared by reacting their sulphonic acid derivatives (*i.e.*, **16**,  
 15 wherein Y is –SO<sub>3</sub>H ) with ammonia as described in Sianesi *et al.*, 1971, *Chem. Ber.* 104:1880 and Campagna *et al.*, 1994, *Farmaco, Ed. Sci.* 49:653, both of which citations are hereby expressly incorporated herein by reference).

As further illustrated in Scheme 2, protected alcohols **16** can be deprotected providing alcohols **20a**. The deprotection method depends on the identity of the alcohol-  
 20 protecting group, *see e.g.*, the procedures listed in Greene, T.W., *Protective Groups in Organic Synthesis*, 3rd edition 17-237 (1999), particularly see pages 48-49, hereby expressly incorporated herein by reference. One of skill in the art will readily be able to choose the appropriate deprotection procedure. When the alcohol is protected as an ether function (*e.g.*, methoxymethyl ether), the alcohol is preferably deprotected with aqueous or  
 25 alcoholic acid. Suitable deprotection reagents include, but are not limited to, aqueous hydrochloric acid, *p*-toluenesulfonic acid in methanol, pyridinium-*p*-toluenesulfonate in ethanol, Amberlyst H–15 in methanol, boric acid in ethylene-glycol-monoethylether, acetic acid in a water–tetrahydrofuran mixture, aqueous hydrochloric acid is preferred. Examples of such procedures are described, respectively, in Bernady *et al.*, 1979, *J. Org. Chem.*

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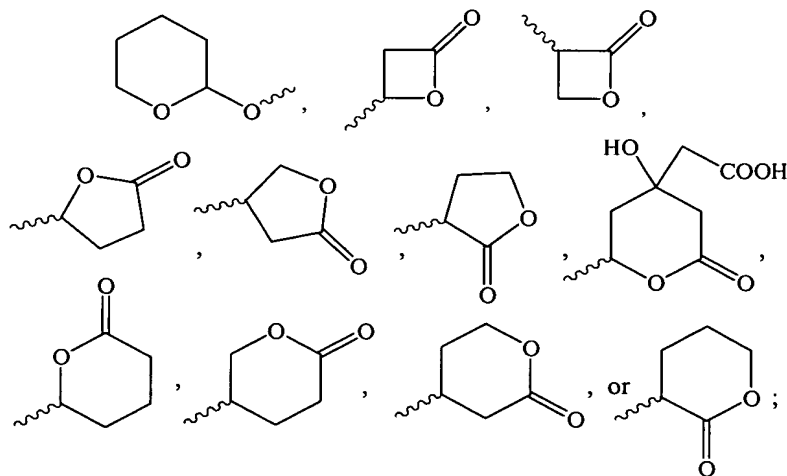
Chemical reaction scheme showing the synthesis of compound **13a** from various reagents:

- Reagents **17** ( $\text{HO-Z}_m\text{-O-PG}$ ) and **18** ( $\text{E-Z}_m\text{-O-PG}$ ) react to form intermediate **20**.
- Reagent **19** ( $\text{H-C(=O)-Z}_m\text{-O-PG}$ ) also reacts to form intermediate **20**.
- Intermediate **20** (a cyclohexanone with a  $\text{Z}_m\text{-O-PG}$  group) cyclizes to form the final product **13a** (a cyclohexanone with a  $\text{Z}_m\text{-OH}$  group).

Definition of **13** where  $\text{W}^{(1)(2)}$  is a lactone group:

$$\text{W}^{(1)(2)}\text{-Z}_m\text{-OH}$$

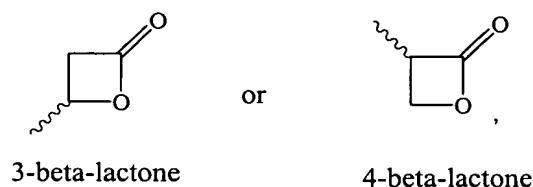
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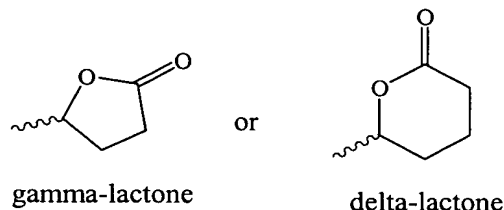
- 79 -

Methods for the synthesis of lactones are disclosed in Miltzer in *Comprehensive Organic Functional Group Transformations*, A.R. Katritzky, O. Meth-Cohn and C.W. Rees, Eds. Pergamon: Oxford, 1995, vol 5, pp. 161-173, hereby expressly incorporated herein by reference. Mono-protected diols **19**, electrophilic protected alcohols **18**, and aldehydes **19** are readily available either commercially (e.g., Aldrich Chemical Co., Milwaukee, WI) or by well known synthetic procedures.

When  $W^{(1)(2)}$  is a beta-lactone group of the formula:



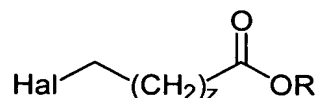
protected lactone alcohols **20** can be prepared from aldehydes **19** and electrophilic protected alcohols **18**, respectively, by a one-pot-addition-lactonization according to the procedure of Masamune *et al.*, 1976, *J. Am. Chem. Soc.* **98**:7874 and Danheiser *et al.*, 1991, *J. Org. Chem.* **56**:1176, both of which are hereby expressly incorporated herein by reference. This one-pot-addition-lactonization methodology has been reviewed by Miltzer in *Comprehensive Organic Functional Group Transformations*, A.R. Katritzky, O. Meth-Cohn and C.W. Rees, Eds. Pergamon: Oxford, 1995, vol 5, pp. 161, hereby expressly incorporated herein by reference. When  $W^{(1)(2)}$  is a gamma- or delta-lactone group of the formula:



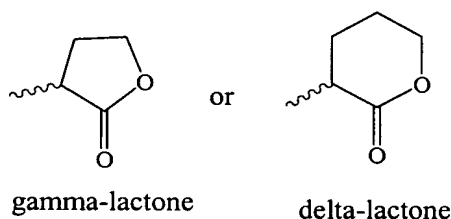
protected lactone alcohols **20** can be prepared from aldehydes **19** according to well known synthetic methodology. For example, the methodology described in Masuyama *et al.*, 2000, *J. Org. Chem.* **65**:494; Eisch *et al.*, 1978, *J. Organo. Met. Chem.* **C8** 160; Eaton *et al.*, 1947, *J. Org. Chem.* **37**:1947; Yunker *et al.*, 1978, *Tetrahedron Lett.* **4651**; Bhanot *et al.*, 1977, *J. Org. Chem.* **42**:1623; Ehlinger *et al.*, 1980, *J. Am. Chem. Soc.* **102**:5004; and Raunio *et al.*, 1957, *J. Org. Chem.* **22**:570, all of which citations are hereby expressly incorporated herein by reference. For instance, as described in Masuyama *et al.*, 2000, *J. Org. Chem.* **65**:494,



aldehydes **19** can be treated with about 1 equivalent of a strong organometallic base, preferably with a  $pK_a$  of about 25 or more, more preferably with a  $pK_a$  of greater than about 35, in a suitable organic solvent to give a reaction mixture. Suitable bases include, but are not limited to, alkylmetal bases such as methyllithium, *n*-butyllithium, *tert*-butyllithium, *sec*-butyllithium, phenyllithium, phenyl sodium, and phenyl potassium; metal amide bases such as lithium amide, sodium amide, potassium amide, lithium tetramethylpiperidide, lithium diisopropylamide, lithium diethylamide, lithium dicyclohexylamide, sodium hexamethyldisilazide, and lithium hexamethyldisilazide; and hydride bases such as sodium hydride and potassium hydride, preferably lithium tetramethylpiperidide. Suitable solvents include, but are not limited to, diethyl ether and tetrahydrofuran. The reaction-mixture temperature is adjusted to within the range of about 0°C to about 100°C, preferably about room temperature to about 50°C, and a halide of the formula:

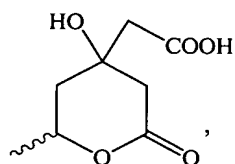


wherein *z* is 1 or 2 (diluted with a solvent or in undiluted form) is added. The reaction mixture is stirred for a period of about 2 hours to about 48 hours, preferably about 5 to about 10 hours, during which time the reaction's progress can be followed by using an appropriate analytical technique, such as thin layer chromatography or high performance liquid chromatography. When the reaction is deemed substantially complete, protected lactone alcohols **20** can be isolated by workup and purified if desired. When  $W^{(1)(2)}$  is a gamma- or delta-lactone group of the formula:



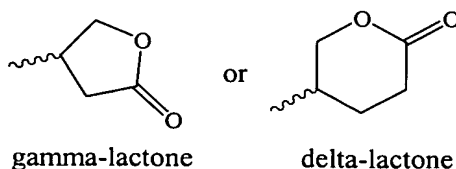
protected lactone alcohols **20** can be synthesized by deprotonating the corresponding lactone with a strong base providing the lactone enolate and reacting the enolate with electrophilic protected alcohols **20** (for a detailed discussion of enolate formation of active methylene compounds such as lactones, see House *Modern Synthetic Reactions*; W. A. Benjamin, Inc. Philippines 1972 pp. 492-570, and for a discussion of reaction of lactone enolates with electrophiles such as carbonyl compounds, see March, J. *Advanced Organic*

Chemistry; Reactions Mechanisms, and Structure, 4th ed., 1992, pp. 944-945, both of which are hereby expressly incorporated herein by reference). Lactone-enolate formation can be accomplished by adding about 1 equivalent of a strong organometallic base, preferably with a  $pK_a$  of about 25 or more, more preferably with a  $pK_a$  of greater than about 35, to a mixture comprising a suitable organic solvent and the lactone. Suitable bases include, but are not limited to, alkylmetal bases such as methyllithium, *n*-butyllithium, *tert*-butyllithium, *sec*-butyllithium, phenyllithium, phenyl sodium, and phenyl potassium; metal amide bases such as lithium amide, sodium amide, potassium amide, lithium tetramethylpiperidide, lithium diisopropylamide, lithium diethylamide, lithium dicyclohexylamide, sodium hexamethyldisilazide, and lithium hexamethyldisilazide; and hydride bases such as sodium hydride and potassium hydride, preferably lithium tetramethylpiperidide. Solvents suitable for lactone-enolate formation include, but are not limited to, diethyl ether and tetrahydrofuran. After enolate formation, the reaction-mixture temperature is adjusted to within the range of about  $-78^{\circ}\text{C}$  to about room temperature, preferably about  $-50^{\circ}\text{C}$  to about  $0^{\circ}\text{C}$ , and electrophilic protected alcohols **18** (diluted with a solvent or in undiluted form) are added, preferably at a rate such that the reaction-mixture temperature remains within about one to two degrees of the initial reaction-mixture temperature. The reaction mixture is stirred for a period of about 15 minutes to about 5 hours, during which time the reaction's progress can be followed by using an appropriate analytical technique, such as thin layer chromatography or high performance liquid chromatography. When the reaction is deemed substantially complete, protected lactone alcohols **20** can be isolated by workup and purified if desired. When  $W^{(1)(2)}$  is a lactone group of the formula:

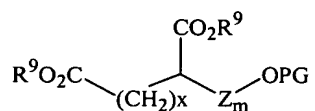


protected lactone alcohols **20** can be prepared from aldehydes **19** according to the procedure described in United States Patent No. 4,622,338, hereby expressly incorporated herein by reference.

When  $W^{(1)(2)}$  is a gamma- or delta-lactone group of the formula:

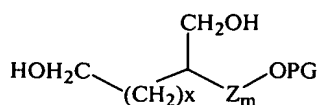


protected lactone alcohols **20** can be prepared according to a three step sequence. The first step comprises base-mediated reaction of electrophilic protected alcohols **18** with succinic acid esters (*i.e.*,  $R^9O_2CCH_2CH_2CO_2R^9$ , wherein  $R^9$  is alkyl) or glutaric acid esters (*i.e.*,  $R^9O_2CCH_2CH_2CH_2CO_2R^9$ , wherein  $R^9$  is alkyl) providing a diester intermediate of the formula **21**:



**21**

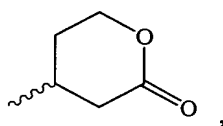
wherein x is 1 or 2 depending on whether the gamma or delta lactone group is desired. The reaction can be performed by adding about 1 equivalent of a strong organometallic base, preferably with a  $pK_a$  of about 25 or more, more preferably with a  $pK_a$  of greater than about 35, to a mixture comprising a suitable organic solvent and the succinic or glutaric acid ester. Suitable bases include, but are not limited to, alkylmetal bases such as methyl lithium, *n*-butyllithium, *tert*-butyllithium, *sec*-butyllithium, phenyllithium, phenyl sodium, and phenyl potassium; metal amide bases such as lithium amide, sodium amide, potassium amide, lithium tetramethylpiperidide, lithium diisopropylamide, lithium diethylamide, lithium dicyclohexylamide, sodium hexamethyldisilazide, and lithium hexamethyldisilazide; and hydride bases such as sodium hydride and potassium hydride, preferably lithium tetramethylpiperidide. Suitable solvents include, but are not limited to, diethyl ether and tetrahydrofuran. After enolate formation, the reaction-mixture temperature is adjusted to within the range of about  $-78^\circ\text{C}$  to about room temperature, preferably about  $-50^\circ\text{C}$  to about  $0^\circ\text{C}$ , and electrophilic protected alcohols **18** (diluted with a solvent or in undiluted form) are added, preferably at a rate such that the reaction-mixture temperature remains within about one to two degrees of the initial reaction-mixture temperature. The reaction mixture is stirred for a period of about 15 minutes to about 5 hours, during which time the reaction's progress can be followed by using an appropriate analytical technique, such as thin layer chromatography or high performance liquid chromatography. When the reaction is deemed substantially complete, the diester intermediate be isolated by workup and purified if desired. In the second step, the intermediate diester can be reduced, with a hydride reducing agent, to yield a diol of the formula **22**:



22

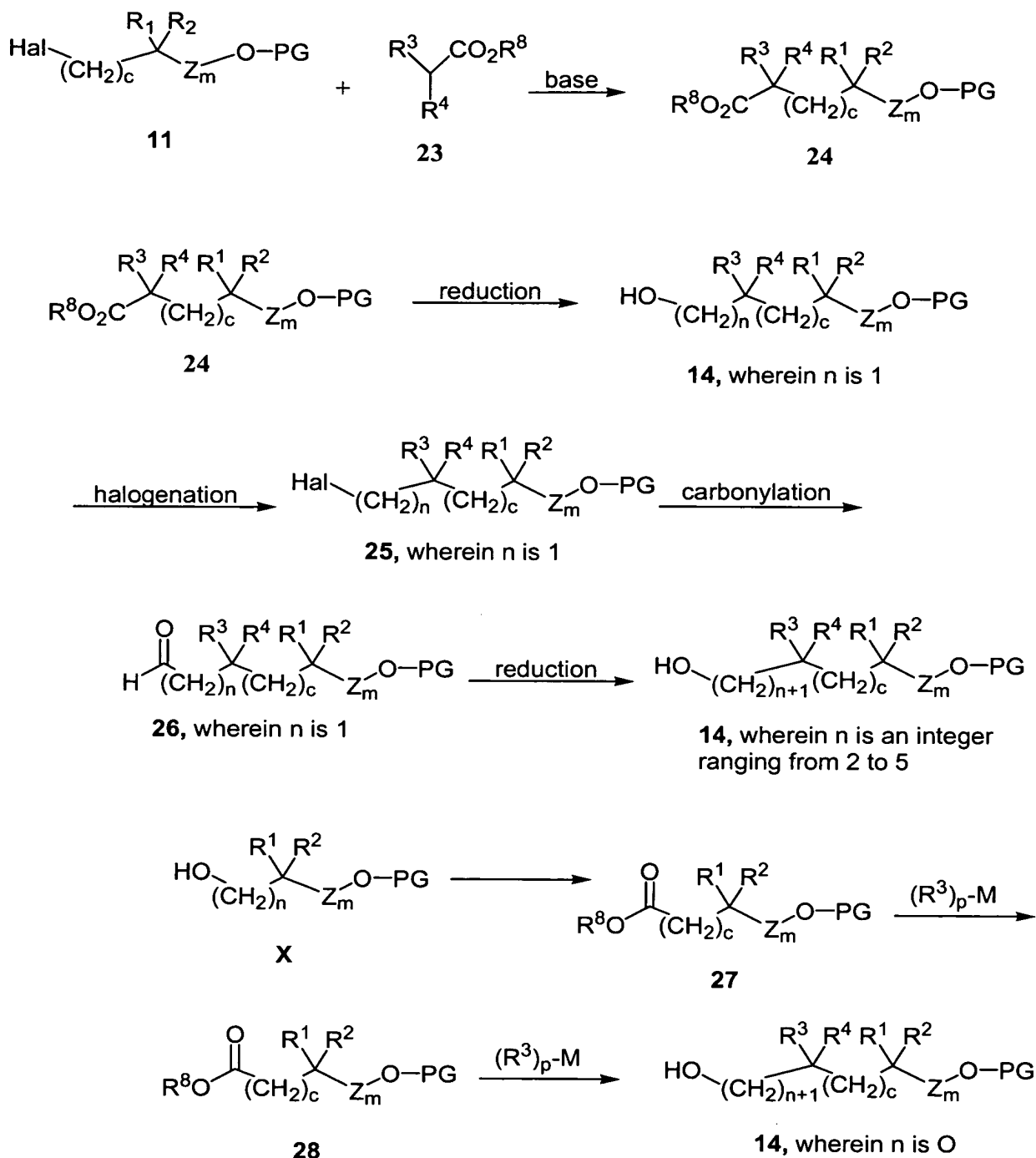
The reduction can be performed according to the procedures referenced in March, J.

- 5 *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, p. 1214, hereby expressly incorporated herein by reference). Suitable reducing agents include, but are not limited to, lithium aluminum hydride, diisobutylaluminum hydride, sodium borohydride, and lithium borohydride). In the third step, the diol can be oxidatively cyclized with  $\text{RuH}_2(\text{PPh}_3)_4$  to the product protected lactone alcohols **20** according to the
- 10 procedure of Yoshikawa *et al.*, 1986, *J. Org. Chem.* 51:2034 and Yoshikawa *et al.*, 1983, *Tetrahedron Lett.* 26:2677, both of which citations are hereby expressly incorporated herein by reference. When  $\text{W}^{(1)(2)}$  is a lactone group of the formula:



- protected lactone alcohols **20** can be synthesized by reacting the Grignard salts of
- 15 electrophilic protected alcohols **18**, where E is a halide, with 5,6-dihydro-2H-pyran-2-one, commercially available (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin), in the presence of catalytic amounts of a 1-(dimethylaminoacetyl)pyrrolidine-2-yl)methyl-diarylphosphine-copper (I) iodide complex as described in Tomioka *et al.*, 1995, *Tetrahedron Lett.* 36:4275, hereby expressly incorporated herein by reference.

**Scheme 4: Synthesis of Compounds of Formula 14**

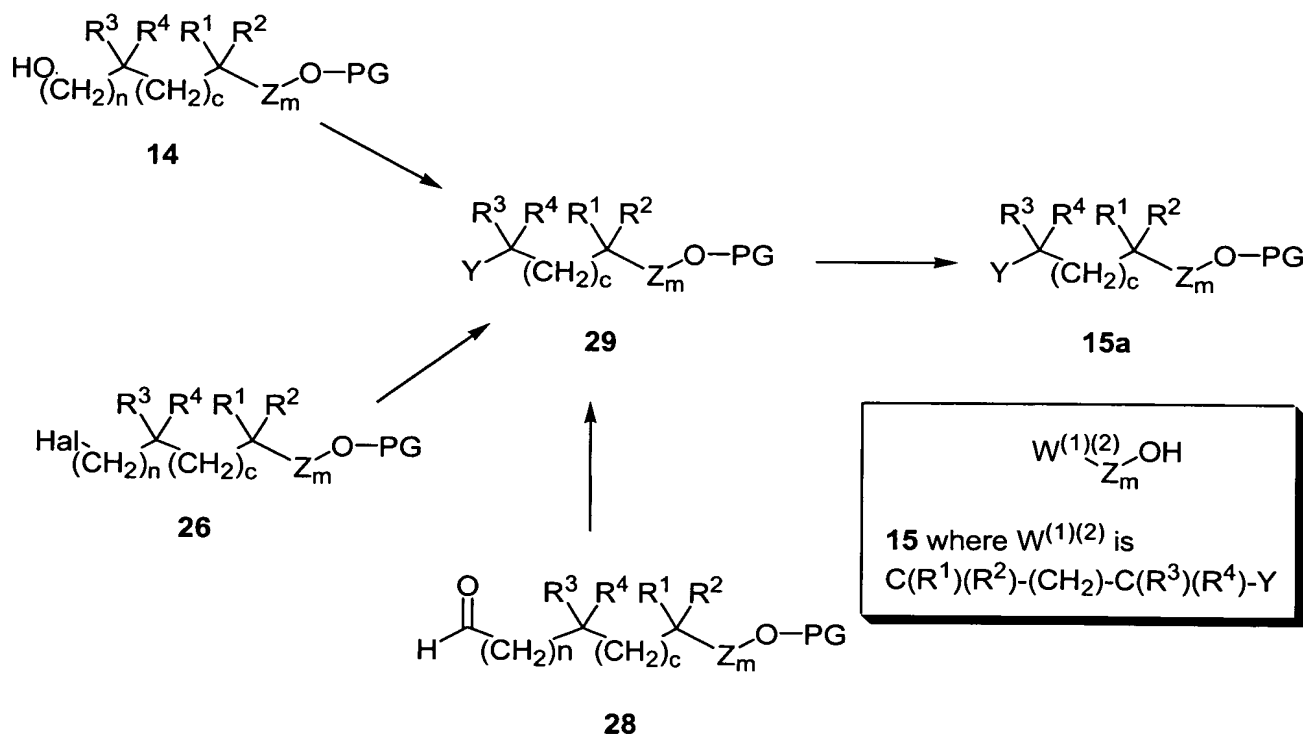


Scheme 4 outlines methodology for the synthesis of protected alcohols **14**.

- 5 Compounds **14**, wherein n is an integer ranging from 1 to 5, can be prepared from compounds **11** using general synthetic strategy depicted and adapting the synthetic protocols from those discussed for Scheme 1.

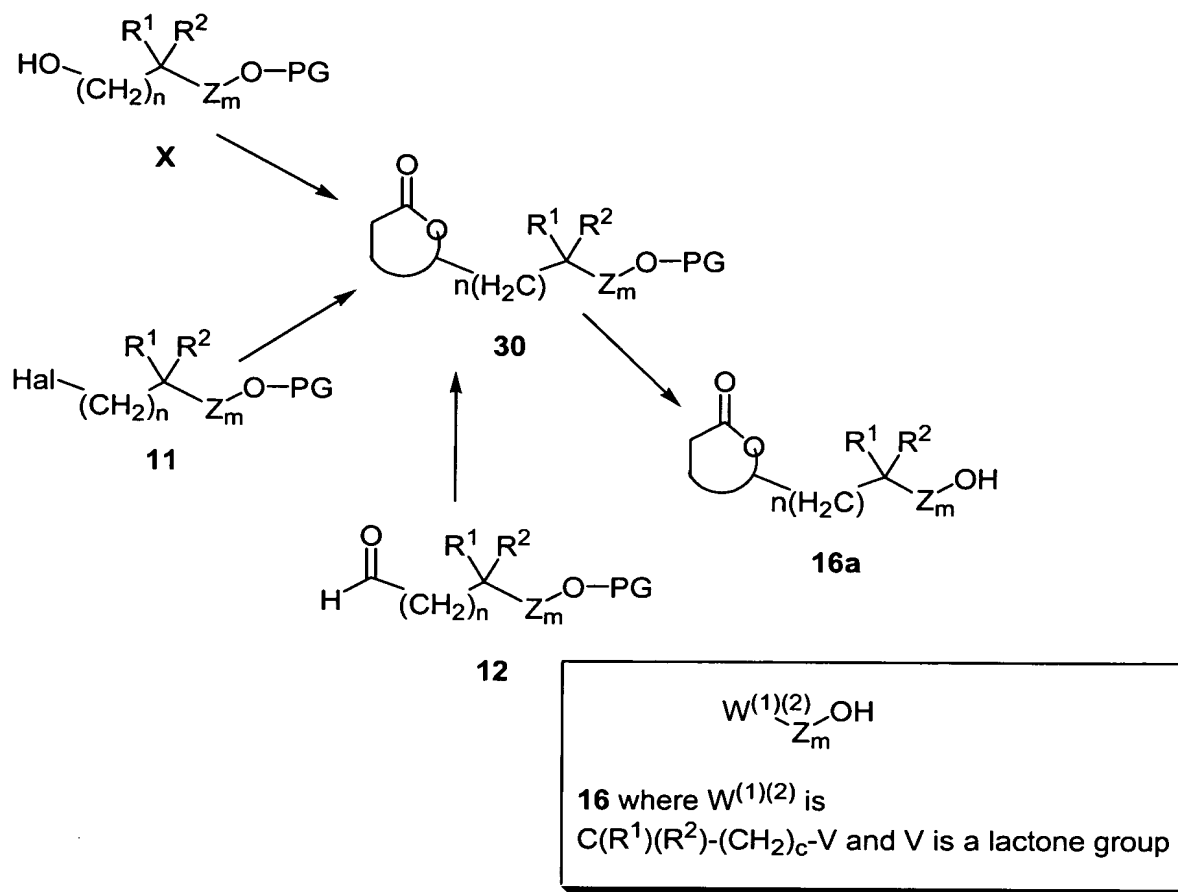
Next, Scheme 4 depicts the general strategy for the synthesis of compounds **14** wherein n is 0. First, Esters **27**, wherein R<sup>8</sup> is as defined above, are synthesized by oxidation of mono-protected diols **X** in the presence of R<sup>8</sup>OH (see generally, March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, p. 1196). An exemplary procedure for such an oxidation is described in Stevens *et al.*, 1982, *Tetrahedron Lett.* 23:4647 (HOCl); Sundararaman *et al.*, 1978, *Tetrahedron Lett.* 1627 (O<sub>3</sub>/KOH); Wilson *et al.*, 1982, *J. Org. Chem.* 47:1360 (*t*-BuOOH/Et<sub>3</sub>N); and Williams *et al.*, 1988, *Tetrahedron Lett.* 29:5087 (Br<sub>2</sub>), the four of which citations are hereby expressly incorporated herein by reference. Compounds **28** are converted to compounds **14** wherein n is 0 by adapting the synthetic procedures depicted in Scheme 1.

**Scheme 5: Synthesis of Compounds of Formula 15a, which correspond to compounds  $W^{(1)(2)}-Z_m-OH$ , Where  $W^{(1)(2)}$  is  $C(R^1)(R^2)-(CH_2)_cC(R^3)(R^4)-Y$**

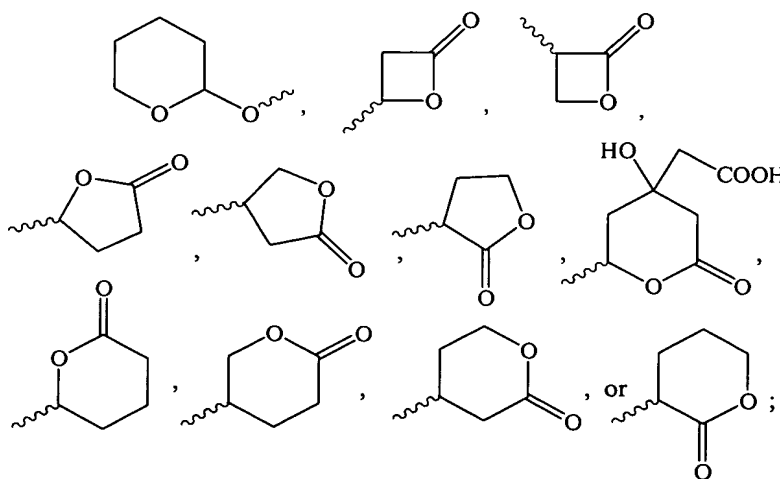


Scheme 5 outlines methodology for the synthesis of protected alcohols **29** and alcohols **15a**, which correspond to  $W^{(1)(2)}-Z_m-OPG$  and  $W^{(1)(2)}-Z_m-OH$ , respectively, wherein  $W^{(1)(2)}$  is  $C(R^1)(R^2)-(CH_2)_cC(R^3)(R^4)-Y$ . The synthesis of starting materials **14**, **26**, and **28** are depicted in Scheme 4 and the synthetic methods and procedures can be adapted from those described for Scheme 2.

**Scheme 6: Synthesis of Compounds of Formula 16, which correspond to compounds  $W^{(1)(2)}-Z_m-OH$ , Wherein  $W^{(1)(2)}$  is  $C(R^1)(R^2)(CH_2)_c-V$  where V is a Lactone Group**

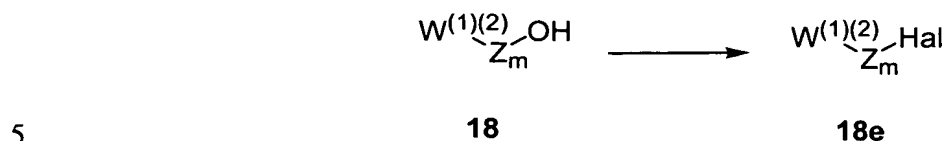


- Scheme 6 depicts the synthesis of protected lactone alcohols **30** and lactone alcohols **16a**. Compounds **30** and **16a** correspond to compounds of the formula, which correspond to compounds  $W^{(1)(2)}-Z_m-OH$ , wherein  $W^{(1)(2)}$  is  $C(R^1)(R^2)(CH_2)_c-V$  and V is a Group selected from:



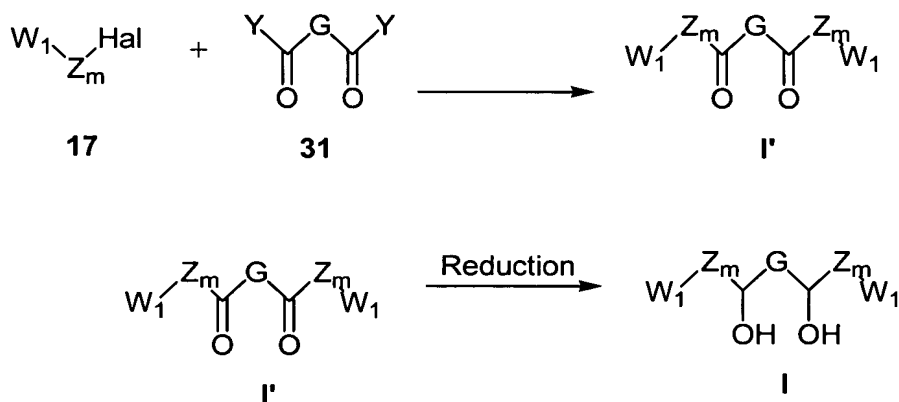
As shown in Scheme 6, protected lactone alcohols **30** and lactone alcohols **16a** can be synthesized from compounds of the formula **X**, **11**, or **12** by adaptation of the methods and procedures discussed above for Scheme 3.

**Scheme 7: Conversion of Alcohols 18 to Halides 18e**



Scheme 7 depicts the synthesis of halides **18e**. Halides **18** can be synthesized by a variety of methods. One method involves conversion of the alcohol to a leaving group such as a sulfonic ester, such as, for example, tosylate, brosylate, mesylate, or nosylate. This intermediate is then treated with a source of  $\text{X}^-$ , wherein  $\text{X}^-$  is  $\text{I}^-$ ,  $\text{Br}^-$ , or  $\text{Cl}^-$  in a solvent such as THF or ether. A general method for converting vinyl and phenyl alcohols to thiols involves initially converting the alcohol to a leaving group (*e.g.*, a tosylate) then treating with a halide nucleophile.

**Scheme 8: Synthesis of Compounds of Formula I**

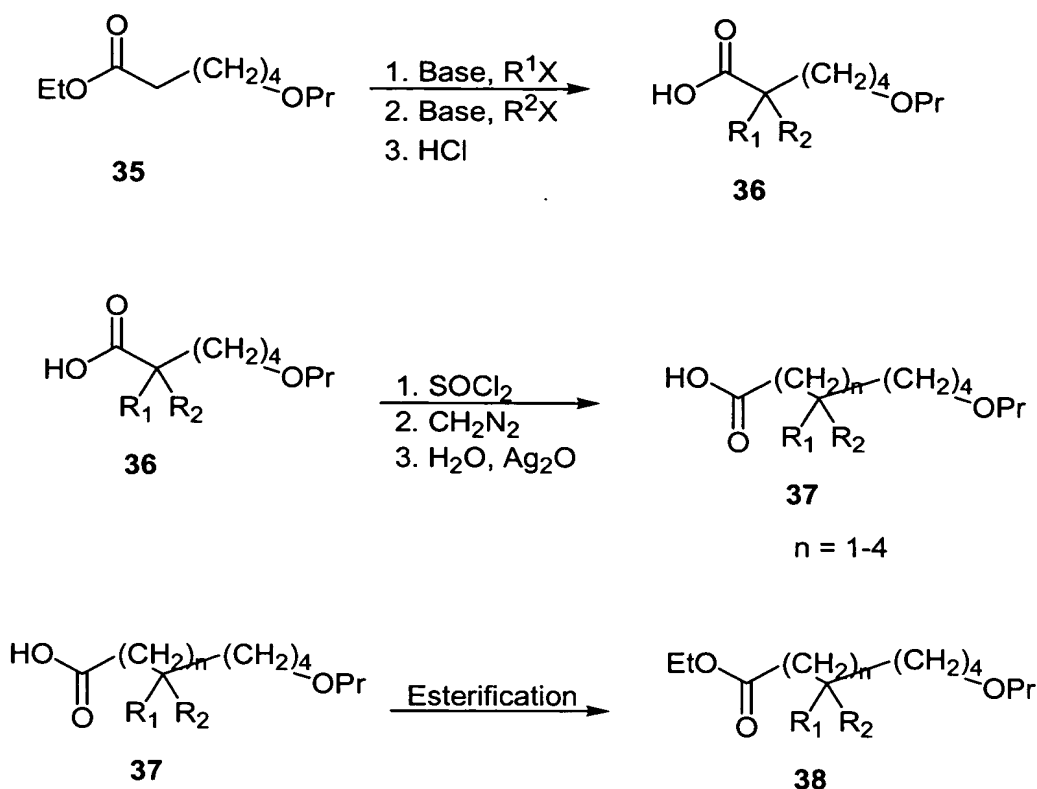


Scheme 8 outlines the synthesis of compounds **I**. In the first step, compounds **I** are synthesized by reacting compounds **17** (compounds **X** **11**, **12**, **13**, **14**, **15**, and **16** are encompassed by **17**) with compounds **31** under the conditions suitable for the formation of compounds **I'**. The conditions and methods discussed in Scheme 1 above for the synthesis of mono-protected diols **X** from alcohols **6** can be adapted for the synthesis of compounds **17**. Compounds **31**, wherein **Y** is a suitable leaving group as defined above, preferably an anhydride, an ester, or an amide group, are readily obtained commercially (*e.g.*, Aldrich Chemical Co. Milwaukee WI) or by well known synthetic methods. Compounds **I'** are



obtained by reacting compounds **31** with compounds **17** under the conditions suitable for alkyl-de-acyloxy substitution. (For a review, See Kharasch; Reinmuth, *Grignard Reactions of Nonmetallic Substances*; Prentice Hall: Englewood Cliffs, NJ, 1954, pp. 561-562 and 846-908). In a preferred procedure, the conversion of anhydrides, carboxylic esters, or amides to ketones can be accomplished with organometallic compounds. In a particular procedure, anhydrides and carboxylic esters give ketones when treated using inverse addition of Grignard reagents at low temperature with a solvent in the presence of HMPA. See Newman, *J. Org. Chem.* **1948**, *13*, 592; Huet; Empotz; Jubier *Tetrahedron* **1973**, *29*, 479; and Larock, *Comprehensive Organic Transformations*; VCH: New York, **1989**, pp. 685-686, 693-700. Ketones can also be prepared by the treatment of thioamides with organolithium compounds (alkyl or aryl). See Tominaga; Kohra; Hosomi *Tetrahedron Lett.* **1987**, *28*, 1529. Moreover, alkyllithium compounds have been used to give ketones from carboxylic esters. See Petrov; Kaplan; Tsir *J. Gen. Chem. USSR* **1962**, *32*, 691. The reaction must be carried out in a high-boiling solvent such as toluene. Di-substituted amides also can be used to synthesize ketones. See Evans *J. Chem. Soc.* 1956, 4691; and Wakefield *Organolithium Methods*; Academic Press: New York, **1988**, pp. 82-88. Finally, compounds **I'** are reduced using methods known to those of ordinary skill in the art to afford diol **I**. See *Comprehensive Organic Transformations*; VCH: New York, **1989**. It is readily recognized that the diol compound **I** are stereoisomeric and can therefore exist as enantiomers and diastereomers. Separation of the stereoisomers (*i.e.*, enantiomers or diastereomers) can be achieved by methods known in the art, for example, conversion to a chiral salt and crystallization, chiral chromatography, or chiral HPLC.

### Scheme 9: Synthesis of Compounds 37



Scheme 9 illustrates the alpha disubstitution of an ester containing a terminal protected hydroxyl moiety. Compounds that contain strong electron withdrawing groups are easily converted to the corresponding enolates. These enolate ions can readily attack an electrophile resulting in alpha substitution. For a review see *Some Modern Methods of Organic Synthesis*, 3<sup>rd</sup> Ed.; Cambridge University Press: Cambridge, 1986, pp. 1-26, incorporated herein by reference. Typical procedures are described in Juaristi *et al.*, *J. Org. Chem.*, 56, 1623 (1991) and Julia *et al.*, *Tetrahedron*, 41, 3717 (1985). The reaction is successful for primary and secondary alkyl, allylic, and benzylic. The use of polar aprotic solvents, *e.g.*, dimethylformamide or dimethylsulfoxide, are preferred. Phase transfer catalysts can also be used. See Tundo *et al.* *J. Chem. Soc., Perkin Trans. 1*, **1987**, 2159, which is hereby expressly incorporated herein by reference.

The conversion to a carboxylic acid with an additional carbon is achieved by treating an acyl halide with diazomethane to generate an intermediate diazo ketone, which in the presence of water and silver oxide rearranges through a ketene intermediate to a carboxylic acid with an additional carbon aton **37**. If the reaction is done in an alcohol instead of water an ester is recovered. See *Vogel's Textbook of Practical Chemistry*, Longman: London, 1978, pp. 483; Meier *et al.* *Angew. Chem. Int. Ed. Eng.* 1975, 14, 32-43, which are

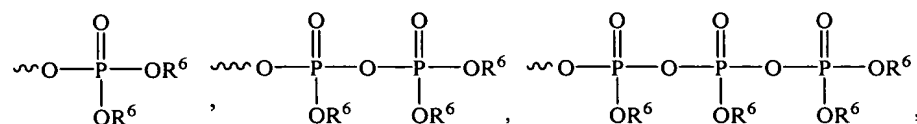


citations are hereby expressly incorporated herein by reference. Protected alcohols **42**, wherein Y comprises  $-C(O)OR^5$ , wherein  $R^5$  is as defined above, can be synthesized by oxidation of mono-protected diols **39** in the presence of  $R^5OH$  (see generally, March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, p. 1196). An exemplary procedure for such an oxidation is described in Stevens *et al.*, 1982, *Tetrahedron Lett.* 23:4647 (HOCl); Sundararaman *et al.*, 1978, *Tetrahedron Lett.* 1627 ( $O_3/KOH$ ); Wilson *et al.*, 1982, *J. Org. Chem.* 47:1360 ( $t-BuOOH/Et_3N$ ); and Williams *et al.*, 1988, *Tetrahedron Lett.* 29:5087 ( $Br_2$ ), the four of which citations are incorporated herein by reference. Preferably, protected alcohols **42**, wherein Y comprises a  $-C(O)OR^5$  group are synthesized from the corresponding carboxylic acid (*i.e.*, **42**, wherein Y comprises  $-C(O)OH$ ) by esterification with  $R^5OH$  (*e.g.*, see March, J., *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., Wiley, New York, 1992, p. 393-394, incorporated herein by reference). In another alternative synthesis, protected alcohols **42**, wherein Y comprises  $-C(O)OR^5$ , can be prepared from protected halo-alcohols **40** by carbonylation with transition metal complexes (*see e.g.*, March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., Wiley, New York, 1992, p. 484-486; Urata *et al.*, 1991, *Tetrahedron Lett.* 32:36, 4733); and Ogata *et al.*, 1969, *J. Org. Chem.* 39:85, the three of which citations are hereby expressly incorporated herein by reference).

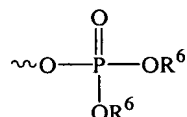
Protected alcohols **42**, wherein Y comprises  $-OC(O)R^5$ , wherein  $R^5$  is as defined above, can be prepared by acylation of mono-protected diols **39** with a carboxylate equivalent such as an acyl halide (*i.e.*,  $R^5C(O)-Hal$ , wherein Hal is iodo, bromo, or chloro, *see e.g.*, March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., Wiley, New York, 1992, p. 392 and *Org. Synth. Coll. Vol. III*, Wiley, NY, pp. 142, 144, 167, and 187 (1955)) or an anhydride (*i.e.*,  $R^5C(O)-O-(O)CR^5$ , *see e.g.*, March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, p. 392-393 and *Org. Synth. Coll. Vol. III*, Wiley, NY, pp. 11, 127, 141, 169, 237, 281, 428, 432, 690, and 833 (1955), all of which citations are incorporated herein by reference). Preferably, the reaction is conducted by adding a base to a solution comprising mono-protected diols **39**, a carboxylate equivalent, and an organic solvent, which solution is preferably maintained at a constant temperature within the range of 0 °C to about room temperature. Solvents suitable for reacting mono-protected diols **39** with a carboxylate equivalent include, but are not limited to, dichloromethane, toluene, and ether, preferably dichloromethane. Suitable bases include, but are not limited to, hydroxide sources, such as sodium hydroxide, potassium hydroxide, sodium carbonate, or potassium carbonate; or an amine such as triethylamine,

pyridine, or dimethylaminopyridine. The progress of the reaction can be followed by using an appropriate analytical technique, such as thin layer chromatography or high performance liquid chromatography and when substantially complete, the product can be isolated by workup and purified if desired.

- 5            Protected alcohols **42**, wherein Y comprises one of the following phosphate ester groups



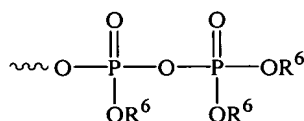
- wherein R<sup>6</sup> is defined as above, can be prepared by phosphorylation of mono-protected diols **X** according to well-known methods (for general reviews, see Corbridge *Phosphorus: An Outline of its Chemistry, Biochemistry, and Uses*, Studies in Inorganic Chemistry, 3rd ed., pp. 357-395 (1985); Ramirez *et al.*, 1978, *Acc. Chem. Res.* **11**:239; and Kalckare *Biological Phosphorylations*, Prentice-Hall, New York (1969); J. B. Sweeny in *Comprehensive Organic Functional Group Transformations*, A.R. Katritzky, O. Meth-Cohn and C.W. Rees, Eds. Pergamon: Oxford, 1995, vol 2, pp. 104-109, the four of which are
- 10            hereby expressly incorporated herein by reference). Protected alcohols **42** wherein Y
- 15            comprises a monophosphate group of the formula:



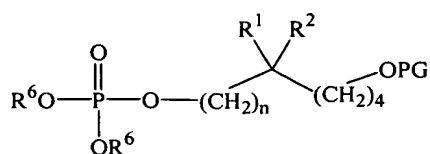
- 20            wherein R<sup>6</sup> is defined as above, can be prepared by treatment of mono-protected diol **39** with phosphorous oxychloride in a suitable solvent, such as xylene or toluene, at a constant temperature within the range of about 100°C to about 150°C for about 2 hours to about 24 hours. After the reaction is deemed substantially complete, by using an appropriate analytical method, the reaction mixture is hydrolyzed with R<sup>6</sup>-OH. Suitable procedures are
- 25            referenced in Houben-Weyl, *Methoden der Organische Chemie*, Georg Thieme Verlag Stuttgart: 1964, vol. XII/2, pp. 143-210 and 872-879, incorporated herein by reference. Alternatively, when both R<sup>6</sup> are hydrogen, can be synthesized by reacting mono-protected diols **X** with silyl polyphosphate (Okamoto *et al.*, 1985, *Bull Chem. Soc. Jpn.* **58**:3393,
- 30            hereby expressly incorporated herein by reference) or by hydrogenolysis of their benzyl or phenyl esters (Chen *et al.*, 1998, *J. Org. Chem.* **63**:6511, incorporated herein by reference).

In another alternative procedure, when R<sup>6</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, or (C<sub>2</sub>-C<sub>6</sub>)alkynyl, the monophosphate esters can be prepared by reacting mono-protected diols **39** with appropriately substituted phosphoramidites followed by oxidation of the intermediate with *m*-chloroperbenzoic acid (Yu *et al.*, 1988, *Tetrahedron Lett.* 29:979, incorporated herein by reference) or by reacting mono-protected diols **39** with dialkyl or diaryl substituted phosphorochloridates (Pop, *et al.*, 1997, *Org. Prep. and Proc. Int.* 29:341, incorporated herein by reference). The phosphoramidites are commercially available (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin) or readily prepared according to literature procedures (*see e.g.*, Uhlmann *et al.* 1986, *Tetrahedron Lett.* 27:1023 and Tanaka *et al.*, 1988, *Tetrahedron Lett.* 29:199, both of which are incorporated herein by reference). The phosphorochloridates are also commercially available (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin) or prepared according to literature methods (*e.g.*, Gajda *et al.*, 1995, *Synthesis* 25:4099. In still another alternative synthesis, protected alcohols **42**, wherein Y comprises a monophosphate group and R<sup>6</sup> is alkyl or aryl, can be prepared by reacting IP<sup>+</sup>(OR<sup>6</sup>)<sub>3</sub> with mono-protected diols **39** according to the procedure described in Stowell *et al.*, 1995, *Tetrahedron Lett.* 36:11, 1825 or by alkylation of protected halo alcohols **40** with the appropriate dialkyl or diaryl phosphates (*see e.g.*, Okamoto, 1985, *Bull Chem. Soc. Jpn.* 58:3393, incorporated herein by reference).

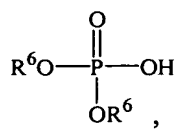
Protected alcohols **42** wherein Y comprises a diphosphate group of the formula



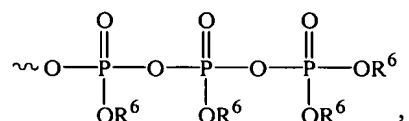
wherein R<sup>6</sup> is defined as above, can be synthesized by reacting the above-discussed monophosphates of the formula:



with a phosphate of the formula

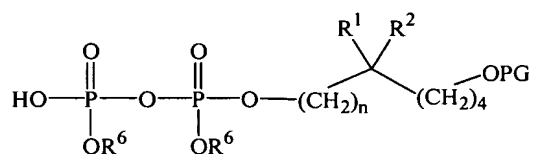


(commercially available, *e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin), in the presence of carbodiimide such as dicyclohexylcarbodiimide, as described in Houben-Weyl, *Methoden der Organische Chemie*, Georg Thieme Verlag Stuttgart 1964, vol. XII/2, pp. 881-885. In the same fashion, protected alcohols **42**, wherein Y comprises a triphosphate group of the formula:



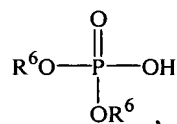
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can be synthesized by reacting the above-discussed diphosphate-protected alcohols, of the formula:



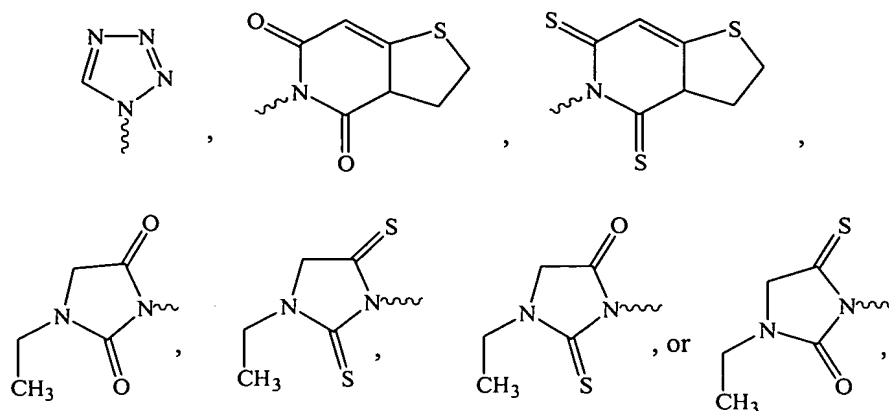
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with a phosphate of the formula:



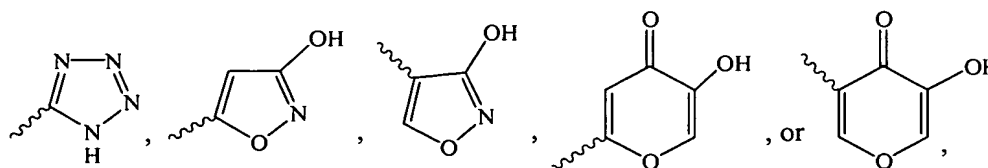
20 as described above. Alternatively, when R<sup>6</sup> is H, protected alcohols **42** wherein Y comprises the triphosphate group, can be prepared by reacting mono-protected diols **39** with salicyl phosphorochloridite and then pyrophosphate and subsequent cleavage of the adduct thus obtained with iodine in pyridine as described in Ludwig *et al.*, 1989, *J. Org. Chem.* 54:631, incorporated herein by reference.

25 Protected alcohols **42**, wherein Y is -SO<sub>3</sub>H or a heterocyclic group selected from the group consisting of:



can be prepared by halide displacement from protected halo-alcohols **40**. Thus, when Y is –SO<sub>3</sub>H, protected alcohols **42** can be synthesized by reacting protected halo-alcohols **40** with sodium sulfite as described in Gilbert *Sulfonation and Related Reactions*; Wiley: New York, 1965, pp. 136-148 and pp. 161-163; *Org. Synth. Coll.* Vol. II, Wiley, NY, 558, 564 (1943); and *Org. Synth. Coll.* Vol. IV, Wiley, NY, 529 (1963), all three of which are incorporated herein by reference. When Y is one of the above-mentioned heterocycles, protected alcohols **42** can be prepared by reacting protected halo-alcohols **40** with the corresponding heterocycle in the presence of a base. The heterocycles are available commercially (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin) or prepared by well-known synthetic methods (see the procedures described in Ware, 1950, *Chem. Rev.* **46**:403-470, incorporated herein by reference). Preferably, the reaction is conducted by stirring a mixture comprising **40**, the heterocycle, and a solvent at a constant temperature within the range of about room temperature to about 100°C, preferably within the range of about 50°C to about 70°C for about 10 to about 48 hours. Suitable bases include hydroxide bases such as sodium hydroxide, potassium hydroxide, sodium carbonate, or potassium carbonate. Preferably, the solvent used in forming protected alcohols **42** is selected from dimethylformamide; formamide; dimethyl sulfoxide; alcohols, such as methanol or ethanol; and mixtures thereof. The progress of the reaction can be followed by using an appropriate analytical technique, such as thin layer chromatography or high performance liquid chromatography and when substantially complete, the product can be isolated by workup and purified if desired.

Protected alcohols **42**, wherein Y is a heteroaryl ring selected from





can be prepared by metallating the suitable heteroaryl ring then reacting the resulting metallated heteroaryl ring with protected halo-alcohols **40** (for a review, see Katritzky *Handbook of Heterocyclic Chemistry*, Pergamon Press: Oxford 1985). The heteroaryl rings are available commercially or prepared by well-known synthetic methods (*see e.g.*, Joule *et al.*, *Heterocyclic Chemistry*, 3rd ed., 1995; De Sarlo *et al.*, 1971, *J. Chem. Soc. (C)* **86**; Oster *et al.*, 1983, *J. Org. Chem.* **48**:4307; Iwai *et al.*, 1966, *Chem. Pharm. Bull.* **14**:1277; and United States Patent No. 3,152,148, all of which citations are incorporated herein by reference). As used herein, the term “metallating” means the forming of a carbon-metal bond, which bond may be substantially ionic in character. Metallation can be accomplished by adding about 2 equivalents of strong organometallic base, preferably with a  $pK_a$  of about 25 or more, more preferably with a  $pK_a$  of greater than about 35, to a mixture comprising a suitable organic solvent and the heterocycle. Two equivalents of base are required: one equivalent of the base deprotonates the –OH group or the –NH group, and the second equivalent metallates the heteroaryl ring. Alternatively, the hydroxy group of the heteroaryl ring can be protected with a base-stable, acid-labile protecting group as described in Greene, T.W., *Protective Groups in Organic Synthesis*, 3rd edition 17-237 (1999), hereby expressly incorporated herein by reference. Where the hydroxy group is protected, only one equivalent of base is required. Examples of suitable base-stable, acid-labile hydroxyl-protecting groups, include but are not limited to, ethers, such as methyl, methoxy methyl, methylthiomethyl, methoxyethoxymethyl, *bis*(2-chloroethoxy)methyl, tetrahydropyranyl, tetrahydrothiopyranyl, tetrahydrofuran, tetrahydrothiofuran, 1-ethoxyethyl, 1-methyl-1-methoxyethyl, *t*-butyl, allyl, benzyl, *o*-nitrobenzyl, triphenylmethyl,  $\alpha$ -naphthyl, diphenylmethyl, *p*-methoxyphenyldiphenylmethyl, 9-(9-phenyl-10-oxo)anthranyl, trimethylsilyl, isopropyldimethylsilyl, *t*-butyldimethylsilyl, *t*-butyldiphenylsilyl, tribenzylsilyl, triisopropylsilyl; and esters, such as pivaloate, adamantate, and 2,4,6-trimethylbenzoate. Ethers are preferred, particularly straight chain ethers, such as methyl ether, methoxymethyl ether, methylthiomethyl ether, methoxyethoxymethyl ether, *bis*(2-chloroethoxy)methyl ether. Preferably, the  $pK_a$  of the base is higher than the  $pK_a$  of the proton of the heterocycle to be deprotonated. For a listing of  $pK_a$ s for various heteroaryl rings, see Fraser *et al.*, 1985, *Can. J. Chem.* **63**:3505, incorporated herein by reference. Suitable bases include, but are not limited to, alkylmetal bases such as methyllithium, *n*-butyllithium, *tert*-butyllithium, *sec*-butyllithium, phenyllithium, phenyl sodium, and phenyl potassium; metal amide bases such as lithium amide, sodium amide, potassium amide, lithium tetramethylpiperidide, lithium diisopropylamide, lithium diethylamide, lithium

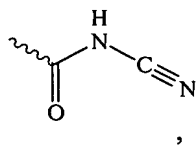
dicyclohexylamide, sodium hexamethyldisilazide, and lithium hexamethyldisilazide; and hydride bases such as sodium hydride and potassium hydride. If desired, the organometallic base can be activated with a complexing agent, such as *N,N,N',N'*-tetramethylethylenediamine or hexamethylphosphoramide (1970, *J. Am. Chem. Soc.*

5 92:4664, hereby expressly incorporated herein by reference). Solvents suitable for synthesizing protected alcohols **42**, wherein Y is a heteroaryl ring include, but are not limited to, diethyl ether; tetrahydrofuran; and hydrocarbons, such as pentane. Generally, metallation occurs alpha to the heteroatom due to the inductive effect of the heteroatom, however, modification of conditions, such as the identity of the base and solvents, order of  
10 reagent addition, reagent addition times, and reaction and addition temperatures can be modified by one of skill in the art to achieve the desired metallation position (*see e.g.*, Joule *et al.*, *Heterocyclic Chemistry*, 3rd ed., 1995, pp. 30-42, hereby expressly incorporated herein by reference) Alternatively, the position of metallation can be controlled by use of a halogenated heteroaryl group, wherein the halogen is located on the position of the  
15 heteroaryl ring where metallation is desired (*see e.g.*, Joule *et al.*, *Heterocyclic Chemistry*, 3rd ed., 1995, p. 33 and Saulnier *et al.*, 1982, *J. Org. Chem.* 47:757, the two of which citations are hereby expressly incorporated herein by reference). Halogenated heteroaryl groups are available commercially (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin) or can be prepared by well-known synthetic methods (*see e.g.*, Joule *et al.*, *Heterocyclic*  
20 *Chemistry*, 3rd ed., 1995, pp. 78, 85, 122, 193, 234, 261, 280, 308, hereby expressly incorporated herein by reference). After metallation, the reaction mixture comprising the metallated heteroaryl ring is adjusted to within a temperature range of about 0°C to about room temperature and protected halo-alcohols **40** (diluted with a solvent or in undiluted form) are added, preferably at a rate such that the reaction-mixture temperature remains  
25 within about one to two degrees of the initial reaction-mixture temperature. After addition of protected halo-alcohols **40**, the reaction mixture is stirred at a constant temperature within the range of about room temperature and about the solvent's boiling temperature and the reaction's progress can be monitored by the appropriate analytical technique, preferably thin-layer chromatography or high-performance liquid chromatography. After the reaction  
30 is substantially complete, protected alcohols **42** can be isolated by workup and purification. It is to be understood that conditions, such as the identity of protected halo-alcohol **40**, the base, solvents, orders of reagent addition, times, and temperatures, can be modified by one of skill in the art to optimize the yield and selectivity. Exemplary procedures that can be used in such a transformation are described in Shirley *et al.*, 1995, *J. Org. Chem.* 20:225;

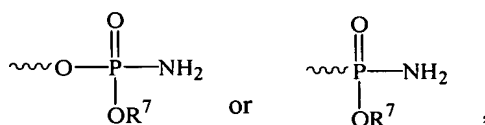
Chadwick *et al.*, 1979, *J. Chem. Soc., Perkin Trans. 1* 2845; Rewcastle, 1993, *Adv. Het. Chem.* 56:208; Katritzky *et al.*, 1993, *Adv. Het. Chem.* 56:155; and Kessar *et al.*, 1997, *Chem. Rev.* 97:721.

When Y is

5

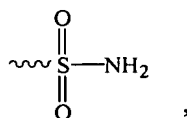


protected alcohols **42** can be prepared from their corresponding carboxylic acid derivatives (42, wherein Y is  $-\text{CO}_2\text{H}$ ) as described in Belletire *et al.*, 1988, *Synthetic Commun.* 18:2063 or from the corresponding acylchlorides (42, wherein Y is  $-\text{CO}-\text{halo}$ ) as described in Skinner *et al.*, 1995, *J. Am. Chem. Soc.* 77:5440, both citations are incorporated herein by reference. The acylhalides can be prepared from the carboxylic acids by well known procedures such as those described in March, J., *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, pp. 437-438, hereby expressly incorporated  
15 herein by reference. When Y is



wherein  $\text{R}^7$  is as defined above, protected alcohols **42** can be prepared by first reacting  
20 protected halo-alcohols **40** with a trialkyl phosphite according to the procedure described in Kosolapoff, 1951, *Org. React.* 6:273 followed by reacting the derived phosphonic diester with ammonia according to the procedure described in Smith *et al.*, 1957, *J. Org. Chem.* 22:265, incorporated herein by reference. When Y is

25

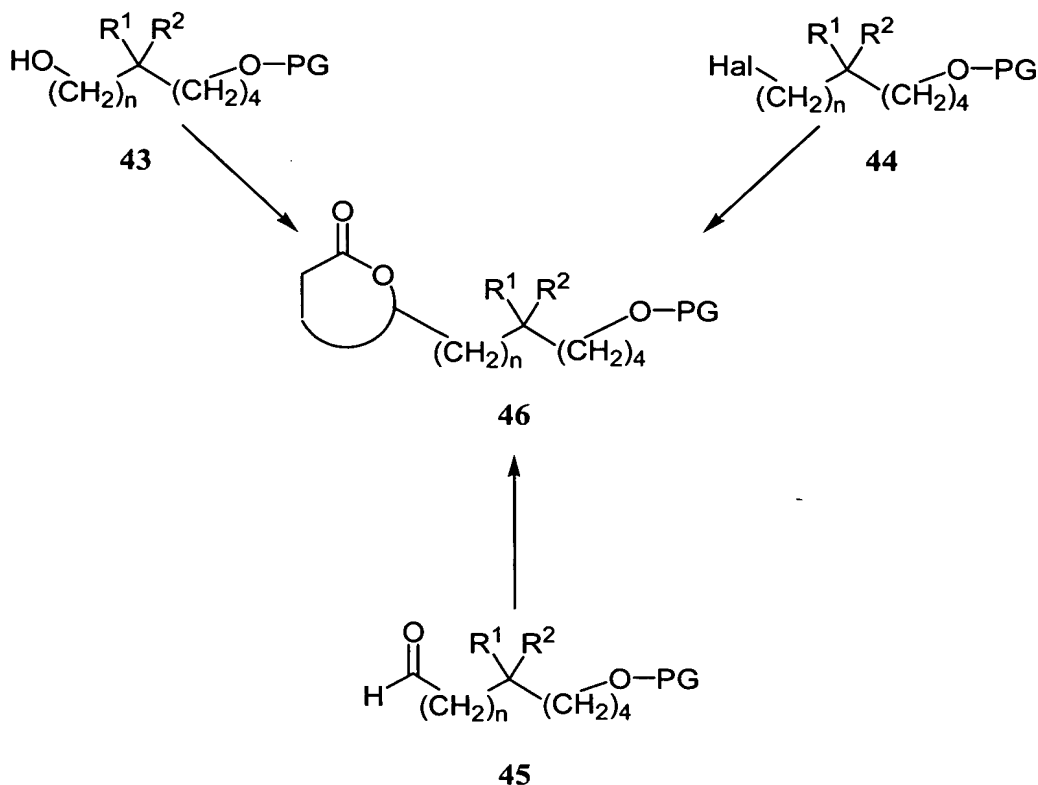


protected alcohols **42** can be prepared by reacting their sulphonic acid derivatives (*i.e.*, **42**, wherein Y is  $-\text{SO}_3\text{H}$ ) with ammonia as described in Sianesi *et al.*, 1971, *Chem. Ber.*

104:1880 and Campagna *et al.*, 1994, *Farmaco, Ed. Sci.* 49:653, both of which citations are incorporated herein by reference).

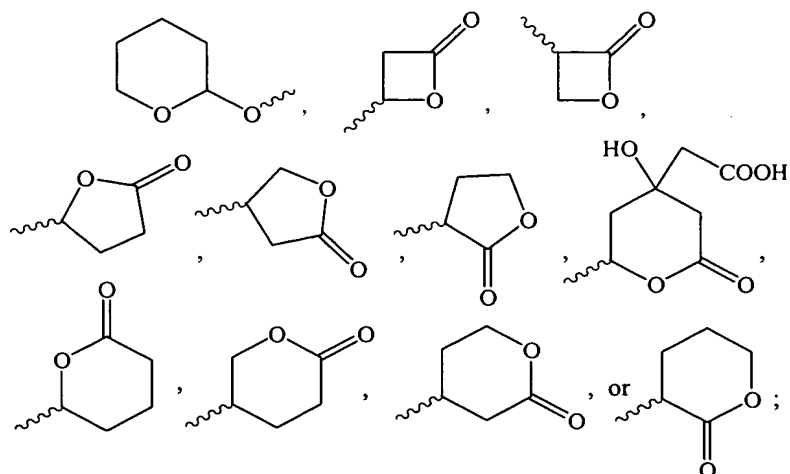
As further illustrated in Scheme 10, protected alcohols **42** can be deprotected providing alcohols **42a**. The deprotection method depends on the identity of the alcohol-protecting group, *see e.g.*, the procedures listed in Greene, T.W., *Protective Groups in Organic Synthesis*, 3rd edition 17-237 (1999), particularly see pages 48-49, incorporated herein by reference. One of skill in the art will readily be able to choose the appropriate deprotection procedure. When the alcohol is protected as an ether function (*e.g.*, methoxymethyl ether), the alcohol is preferably deprotected with aqueous or alcoholic acid. Suitable deprotection reagents include, but are not limited to, aqueous hydrochloric acid, *p*-toluenesulfonic acid in methanol, pyridinium-*p*-toluenesulfonate in ethanol, Amberlyst H-15 in methanol, boric acid in ethylene-glycol-monoethylether, acetic acid in a water-tetrahydrofuran mixture, aqueous hydrochloric acid is preferred. Examples of such procedures are described, respectively, in Bernady *et al.*, 1979, *J. Org. Chem.* 44:1438; Miyashita *et al.*, 1977, *J. Org. Chem.* 42:3772; Johnston *et al.*, 1988, *Synthesis* 393; Bongini *et al.*, 1979, *Synthesis* 618; and Hoyer *et al.*, 1986, *Synthesis* 655; Gigg *et al.*, 1967, *J. Chem. Soc. C*, 431; and Corey *et al.*, 1978, *J. Am. Chem. Soc.* 100:1942, all of which are incorporated herein by reference.

**Scheme 11: Synthesis of Compounds of Formula 46 which correspond to Compounds  $W^{(1)(2)}-(CH_2)_4-OH$ , wherein  $W^{(1)(2)}$  is  $C(R^1)(R^2)(CH_2)_4$ -Lactone**



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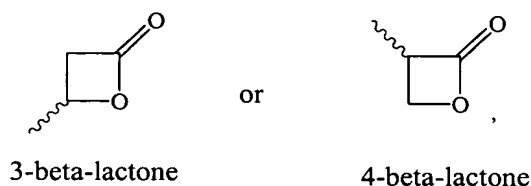
Scheme 11 depicts the synthesis of protected lactone alcohols **46** and lactone. Compound **46** corresponds to compounds of the formula  $W^{(1)(2)}-Zm-OPG$  and , wherein  $W^{(1)(2)}$  is a lactone group selected from:



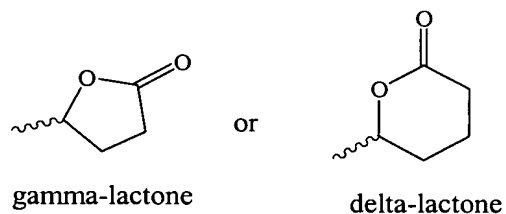
- 10 Protected lactone alcohols **46** can be prepared from compounds of the formula **43**, **44**, or **45** by using well-known condensation reactions and variations of the Michael reaction.

Methods for the synthesis of lactones are disclosed in Miltzer in *Comprehensive Organic Functional Group Transformations*, A.R. Katritzky, O. Meth-Cohn and C.W. Rees, Eds. Pergamon: Oxford, 1995, vol 5, pp. 161-173, incorporated herein by reference. Mono-protected diols **43**, electrophilic protected alcohols **44**, and aldehydes **45** are readily available either commercially (e.g., Aldrich Chemical Co., Milwaukee, WI) or can be prepared by well known synthetic procedures.

When  $W^{(1)(2)}$  is a beta-lactone group of the formula:

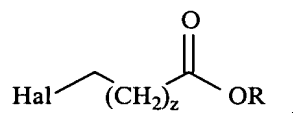


protected lactone alcohols **46** can be prepared from aldehydes **45** and electrophilic protected alcohols **44**, respectively, by a one-pot-addition-lactonization according to the procedure of Masamune *et al.*, 1976, *J. Am. Chem. Soc.* **98**:7874 and Danheiser *et al.*, 1991, *J. Org. Chem.* **56**:1176, both of which are incorporated herein by reference. This one-pot-addition-lactonization methodology has been reviewed by Miltzer in *Comprehensive Organic Functional Group Transformations*, A.R. Katritzky, O. Meth-Cohn and C.W. Rees, Eds. Pergamon: Oxford, 1995, vol 5, pp. 161, incorporated herein by reference. When  $W^{(1)(2)}$  is a gamma- or delta-lactone group of the formula:

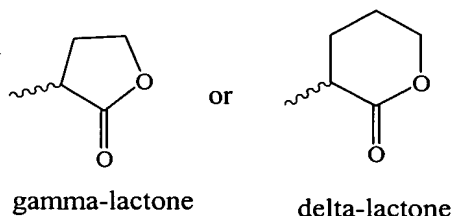


protected lactone alcohols **46** can be prepared from aldehydes **45** according to well known synthetic methodology. For example, the methodology described in Masuyama *et al.*, 2000, *J. Org. Chem.* **65**:494; Eisch *et al.*, 1978, *J. Organomet. Chem.* **C8** **160**; Eaton *et al.*, 1947, *J. Org. Chem.* **37**:1947; Yunker *et al.*, 1978, *Tetrahedron Lett.* **4651**; Bhanot *et al.*, 1977, *J. Org. Chem.* **42**:1623; Ehlinger *et al.*, 1980, *J. Am. Chem. Soc.* **102**:5004; and Raunio *et al.*, 1957, *J. Org. Chem.* **22**:570, all of which citations are incorporated herein by reference. For instance, as described in Masuyama *et al.*, 2000, *J. Org. Chem.* **65**:494, aldehydes **45** can be

treated with about 1 equivalent of a strong organometallic base, preferably with a  $pK_a$  of about 25 or more, more preferably with a  $pK_a$  of greater than about 35, in a suitable organic solvent to give a reaction mixture. Suitable bases include, but are not limited to, alkylmetal bases such as methyllithium, *n*-butyllithium, *tert*-butyllithium, *sec*-butyllithium, phenyllithium, phenyl sodium, and phenyl potassium; metal amide bases such as lithium amide, sodium amide, potassium amide, lithium tetramethylpiperidide, lithium diisopropylamide, lithium diethylamide, lithium dicyclohexylamide, sodium hexamethyldisilazide, and lithium hexamethyldisilazide; and hydride bases such as sodium hydride and potassium hydride, preferably lithium tetramethylpiperidide. Suitable solvents include, but are not limited to, diethyl ether and tetrahydrofuran. The reaction-mixture temperature is adjusted to within the range of about 0°C to about 100°C, preferably about room temperature to about 50°C, and a halide of the formula:

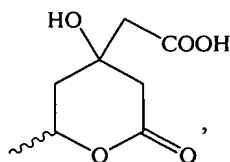


wherein *z* is 1 or 2 (diluted with a solvent or in undiluted form) is added. The reaction mixture is stirred for a period of about 2 hours to about 48 hours, preferably about 5 to about 10 hours, during which time the reaction's progress can be followed by using an appropriate analytical technique, such as thin layer chromatography or high performance liquid chromatography. When the reaction is deemed substantially complete, protected lactone alcohols **46** can be isolated by workup and purified if desired. When  $W^{(1)(2)}$  is a gamma- or delta-lactone group of the formula:



protected lactone alcohols **46** can be synthesized by deprotonating the corresponding lactone with a strong base providing the lactone enolate and reacting the enolate with electrophilic protected alcohols **44** (for a detailed discussion of enolate formation of active methylene compounds such as lactones, see House *Modern Synthetic Reactions*; W. A.

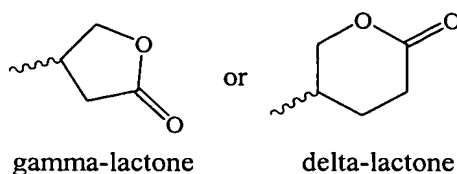
Benjamin, Inc. Philippines 1972 pp. 492-570, and for a discussion of reaction of lactone enolates with electrophiles such as carbonyl compounds, see March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, pp. 944-945, both of which are incorporated herein by reference). Lactone-enolate formation can be accomplished by adding about 1 equivalent of a strong organometallic base, preferably with a  $pK_a$  of about 25 or more, more preferably with a  $pK_a$  of greater than about 35, to a mixture comprising a suitable organic solvent and the lactone. Suitable bases include, but are not limited to, alkylmetal bases such as methyllithium, *n*-butyllithium, *tert*-butyllithium, *sec*-butyllithium, phenyllithium, phenyl sodium, and phenyl potassium; metal amide bases such as lithium amide, sodium amide, potassium amide, lithium tetramethylpiperidide, lithium diisopropylamide, lithium diethylamide, lithium dicyclohexylamide, sodium hexamethyldisilazide, and lithium hexamethyldisilazide; and hydride bases such as sodium hydride and potassium hydride, preferably lithium tetramethylpiperidide. Solvents suitable for lactone-enolate formation include, but are not limited to, diethyl ether and tetrahydrofuran. After enolate formation, the reaction-mixture temperature is adjusted to within the range of about  $-78^{\circ}\text{C}$  to about room temperature, preferably about  $-50^{\circ}\text{C}$  to about  $0^{\circ}\text{C}$ , and electrophilic protected alcohols **44** (diluted with a solvent or in undiluted form) are added, preferably at a rate such that the reaction-mixture temperature remains within about one to two degrees of the initial reaction-mixture temperature. The reaction mixture is stirred for a period of about 15 minutes to about 5 hours, during which time the reaction's progress can be followed by using an appropriate analytical technique, such as thin layer chromatography or high performance liquid chromatography. When the reaction is deemed substantially complete, protected lactone alcohols **46** can be isolated by workup and purified if desired. When  $W^{(1)(2)}$  is a lactone group of the formula:



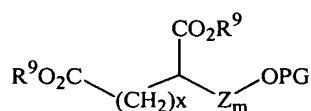
protected lactone alcohols **46** can be prepared from aldehydes **45** according to the procedure described in United States Patent No. 4,622,338, hereby expressly incorporated herein by reference.

When  $W^{(1)(2)}$  is a gamma- or delta-lactone group of the formula:





protected lactone alcohols **46** can be prepared according to a three step sequence. The first step comprises base-mediated reaction of electrophilic protected alcohols **44** with succinic acid esters (*i.e.*,  $R^9O_2CCH_2CH_2CO_2R^9$ , wherein  $R^9$  is alkyl) or glutaric acid esters (*i.e.*,  $R^9O_2CCH_2CH_2CH_2CO_2R^9$ , wherein  $R^9$  is alkyl) providing a diester intermediate of the formula **44i**:

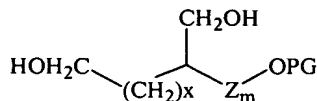


**44i**

wherein x is 1 or 2 depending on whether the gamma or delta lactone group is desired. The reaction can be performed by adding about 1 equivalent of a strong organometallic base, preferably with a  $pK_a$  of about 25 or more, more preferably with a  $pK_a$  of greater than about 35, to a mixture comprising a suitable organic solvent and the succinic or glutaric acid ester.

Suitable bases include, but are not limited to, alkylmetal bases such as methyllithium, *n*-butyllithium, *tert*-butyllithium, *sec*-butyllithium, phenyllithium, phenyl sodium, and phenyl potassium; metal amide bases such as lithium amide, sodium amide, potassium amide, lithium tetramethylpiperidide, lithium diisopropylamide, lithium diethylamide, lithium dicyclohexylamide, sodium hexamethyldisilazide, and lithium hexamethyldisilazide; and hydride bases such as sodium hydride and potassium hydride, preferably lithium tetramethylpiperidide. Suitable solvents include, but are not limited to, diethyl ether and tetrahydrofuran. After enolate formation, the reaction-mixture temperature is adjusted to within the range of about  $-78^\circ\text{C}$  to about room temperature, preferably about  $-50^\circ\text{C}$  to about  $0^\circ\text{C}$ , and electrophilic protected alcohols **44** (diluted with a solvent or in undiluted form) are added, preferably at a rate such that the reaction-mixture temperature remains within about one to two degrees of the initial reaction-mixture temperature. The reaction mixture is stirred for a period of about 15 minutes to about 5 hours, during which time the reaction's progress can be followed by using an appropriate analytical technique, such as thin layer chromatography or high performance liquid chromatography. When the reaction is deemed substantially complete, the diester intermediate can be isolated by work-up and purified if

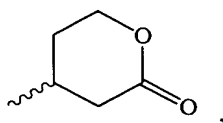
desired. In the second step, the intermediate diester can be reduced, with a hydride reducing agent, to yield a diol:



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The reduction can be performed according to the procedures referenced in March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, p. 1214, incorporated herein by reference). Suitable reducing agents include, but are not limited to, lithium aluminum hydride, diisobutylaluminum hydride, sodium borohydride, and lithium borohydride). In the third step, the diol can be oxidatively cyclized with  $\text{RuH}_2(\text{PPh}_3)_4$  to the product protected lactone alcohols **46** according to the procedure of Yoshikawa *et al.*, 1986, *J. Org. Chem.* 51:2034 and Yoshikawa *et al.*, 1983, *Tetrahedron Lett.* 26:2677, both of which citations are incorporated herein by reference. When  $\text{W}^{(1)(2)}$  is a lactone group of the formula:

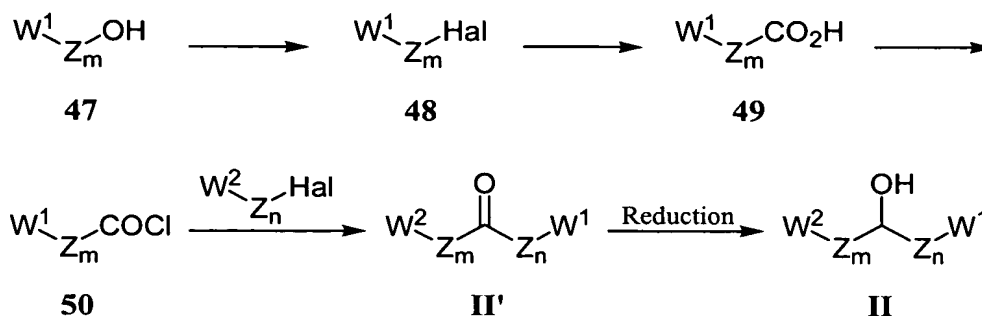
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protected lactone alcohols **46** can be synthesized by reacting the Grignard salts of electrophilic protected alcohols **44**, where E is a halide, with 5,6-dihydro-2H-pyran-2-one, commercially available (*e.g.*, Aldrich Chemical Co., Milwaukee, Wisconsin), in the presence of catalytic amounts of a 1-(dimethylaminoacetyl)pyrrolidine-2-yl)methyl-diarylphosphine-copper (I) iodide complex as described in Tomioka *et al.*, 1995, *Tetrahedron Lett.* 36:4275, incorporated herein by reference.

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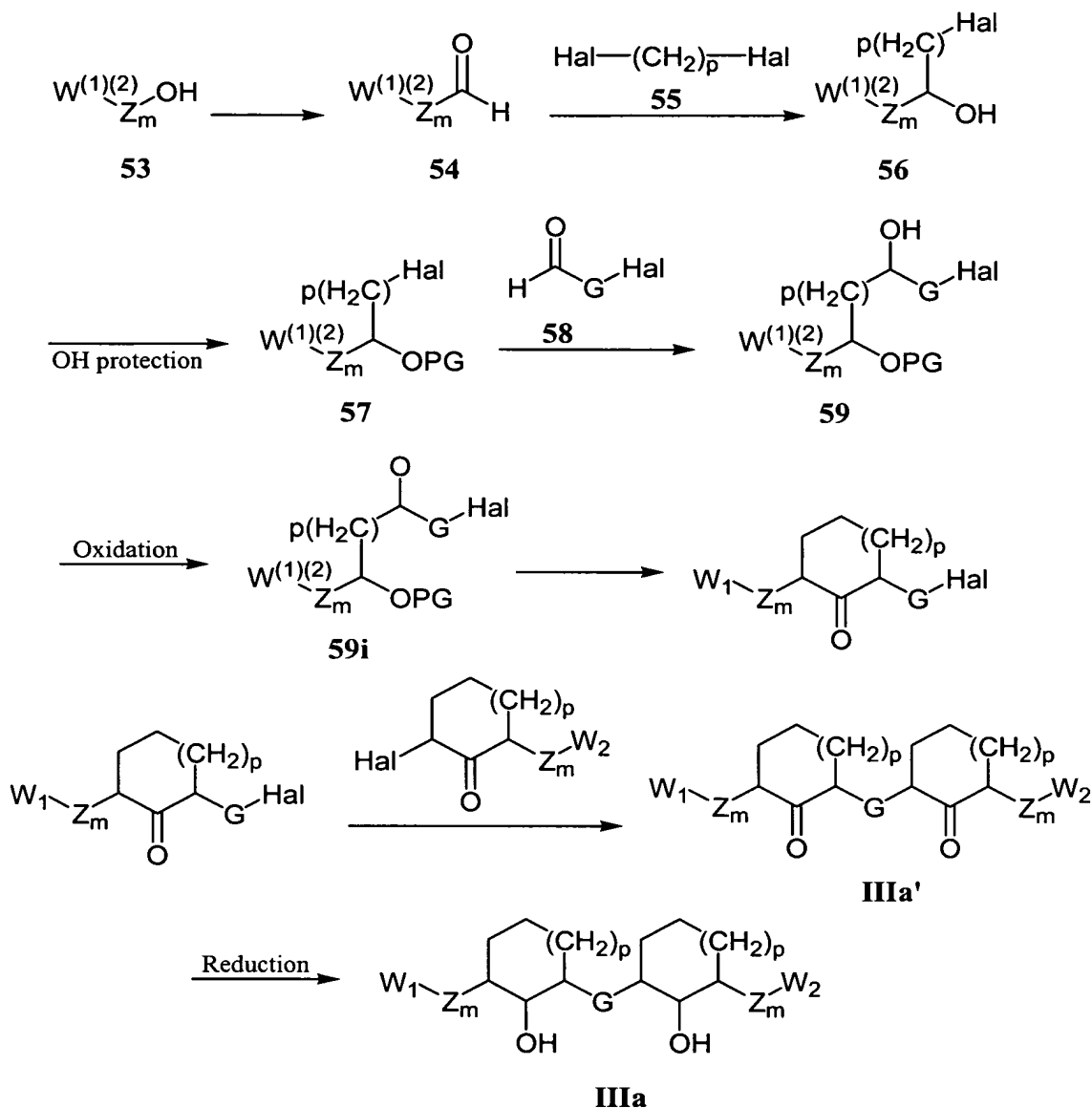
### Scheme 12: Synthesis of Compounds of Formula II



5            Scheme 12 illustrates the synthesis of alcohol **II**. The alcohol **47** is initially converted to a halogen **48**. See Larock, *Comprehensive Organic Transformations*, VCH: New York, **1989**, pp. 360-362; all references disclosed therein are incorporated herein by reference. The halide **48** is then converted to a carboxylic acid **49** with subsequent conversion to an acyl halide **50**. See Larock, *Comprehensive Organic Transformations*, VCH: New York, **1989**, pp. 850-851, 855-856, 859-860, 977, 980, and 985; all references disclosed therein are incorporated herein by reference. The acyl halide **50** is then coupled with the halide to afford compound **II'**. See Rappaport, *The Chemistry of the Functional Groups, Supp. D*, pt. 2; Wiley: New York, **1983**; House, *Modern Synthetic Reactions*, 2<sup>nd</sup> Ed. Benjamin: New York, **1972**, pp. 691-694, 734-765, which are incorporated herein by reference. Finally, compounds **II'** are reduced using methods known to those of ordinary skill in the art to afford alcohol **II**. See Larock, *Comprehensive Organic Transformations*; VCH: New York, **1989**.

20            In a typical procedure, the ketone **II'** is dissolved in an organic solvent such as, but not limited to, toluene, xylene, diethyl ether, t-butyl methyl ether, diglyme, methanol, ethanol, dichloromethane, chloroform, dichloroethane, preferably diethyl ether, and it is then treated with a reducing agent such as, but not limited to, lithium aluminum hydride, sodium borohydride, lithium borohydride, preferably sodium borohydride. When the reaction is complete, as determined by an analytical method such as HPLC, gas chromatography, thin layer chromatography, or NMR, the mixture is subjected to work-up. 25            The compound thus obtained can be purified by various purification methods known in the field, such as chromatography or recrystallization. It is readily recognized that the alcohol compound **II** can exist as enantiomers. Separation of the stereoisomers (*i.e.*, enantiomers) can be achieved by methods known in the art, for example, conversion to a chiral salt and crystallization, chiral chromatography, or chiral HPLC.

### Scheme 13: Synthesis of Compounds III



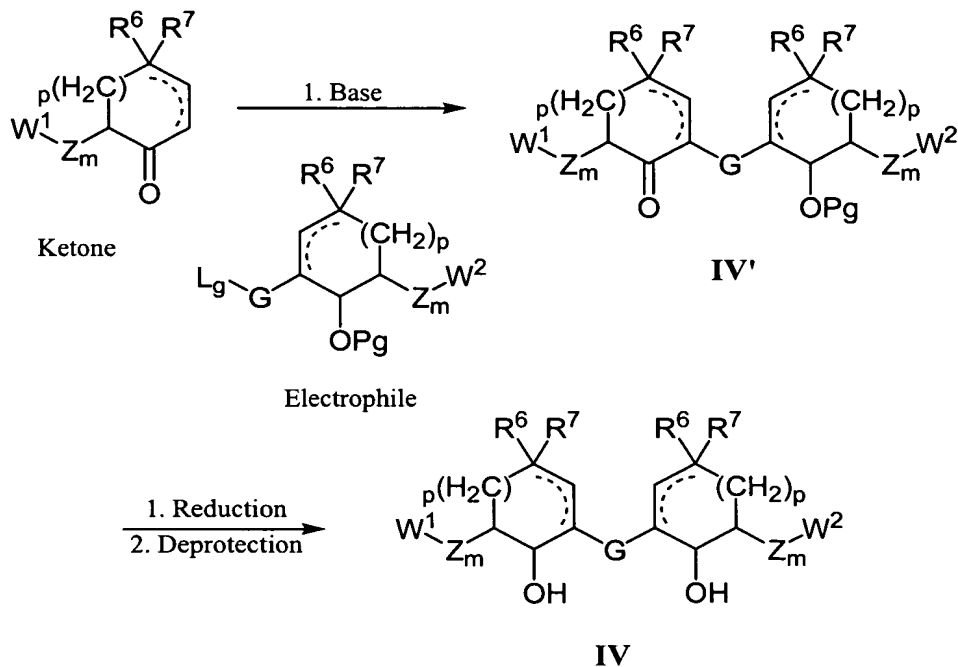
Scheme 13 depicts the synthesis of compounds **IIIa**, that is, compounds **III** where a double bond is not present in the ring. In the first step, compounds **53**, prepared as discussed in Schemes 1 to 6 above, can be converted to compounds **54** by standard oxidation of the primary alcohol to an aldehyde group. Such oxidations are described in M. Hudlicky, *Oxidations in Organic Chemistry*, ACS Monograph 186, 1990, pp. 114-127, hereby expressly incorporated herein by reference. In the next step Grignard reaction of **54** with **55** followed by standard OH protection gives **57**. Compounds **55** are commercially available (e.g., from Aldrich Chemical Co. Milwaukee, WI) or can be readily prepared by standard synthetic methodology. For exemplary procedures for Grignard reactions see March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, pp. 920-929, incorporated herein by reference. Similarly, in the next step, the

Grignard salt of **57** is condensed with **58** to provide **59**. Next **59** is oxidized and then cyclized to **60**. When p is one, exemplary cyclization procedures are found in Friedrichsen, W. in *Comprehensive Heterocyclic Chemistry II*; Katritzky, A. R.; Rees, W. C.; Scriven, E. F. V. Eds.; Pergamon Press: Oxford, 1996; Vol.2, p 351, and *Comprehensive Heterocyclic Chemistry*; Katritzky, A. R.; Rees, W. C. Eds.; Pergamon Press: Oxford, 1986; Vol.3.  
When p is 0, cyclization procedures are found in Hepworth, J. D. in *Comprehensive Heterocyclic Chemistry II*; Katritzky, A. R.; Rees, W. C.; Scriven, E. F. V. Eds.; Pergamon Press: Oxford, 1996; Vol.5, p 351 and *Comprehensive Heterocyclic Chemistry*; Katritzky, A. R.; Rees, W. C. Eds.; Pergamon Press: Oxford, 1986; Vol.3, all of which citations are hereby expressly incorporated herein by reference.

The hydroxy ketone is subjected to cyclization, as described in the above Hepworth, J. D. in *Comprehensive Heterocyclic Chemistry II*; Katritzky, A. R.; Rees, W. C.; Scriven, E. F. V. Eds.; Pergamon Press: Oxford, 1996; Vol.5, p 386. For compounds **III** where  $W^{(1)(2)}$  is  $HO(CH_2)_n-R^1R^2$ : The hydroxy group is first deprotected as described in Greene, T.W., *Protective Groups in Organic Synthesis*, 3rd edition (1999). For other structures, where Y is a group such as an acid, aldehydes, etc., protection is needed (acids as esters, preferably pivaloyl, aldehydes as silyl derivatives such as TIPS, stable in both basic and acidic conditions). When  $W^{(1)(2)}$  is a lactone it can be introduced as discussed in Scheme 3 above. The compounds are then coupled to afford compound of the formula **IIIa**.

The reactions are performed under similar conditions for substituted cyclic compounds. After the formation of the monocyclic compounds, they are reacted *in situ* with electrophiles (e.g., MeI) at temperatures between  $-40\text{ }^{\circ}\text{C}$  to  $+60\text{ }^{\circ}\text{C}$ , for a reaction time of 1 hr to 5 days. In addition, double bonds can be selectively added or reduced or otherwise manipulated by well known synthetic methods to give compounds **III** having one or two selectively-placed double bonds (i.e., the double bond(s) can be positioned in the desired location within the ring), for example, the methods disclosed in March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, pp. 771-780, incorporated herein by reference. Finally, compounds **IIIa** are reduced using methods known to those of ordinary skill in the art to afford alcohol **IIIa**. See *Comprehensive Organic Transformations*; VCH: New York, 1989. It is readily recognized that the alcohol compound **IIIa** is stereoisomeric and can therefore exist as enantiomers and diastereomer. Separation of the stereoisomers (i.e., enantiomers or diastereomers) can be achieved by methods known in the art, for example, conversion to a chiral salt and crystallization, chiral chromatography, or chiral HPLC.

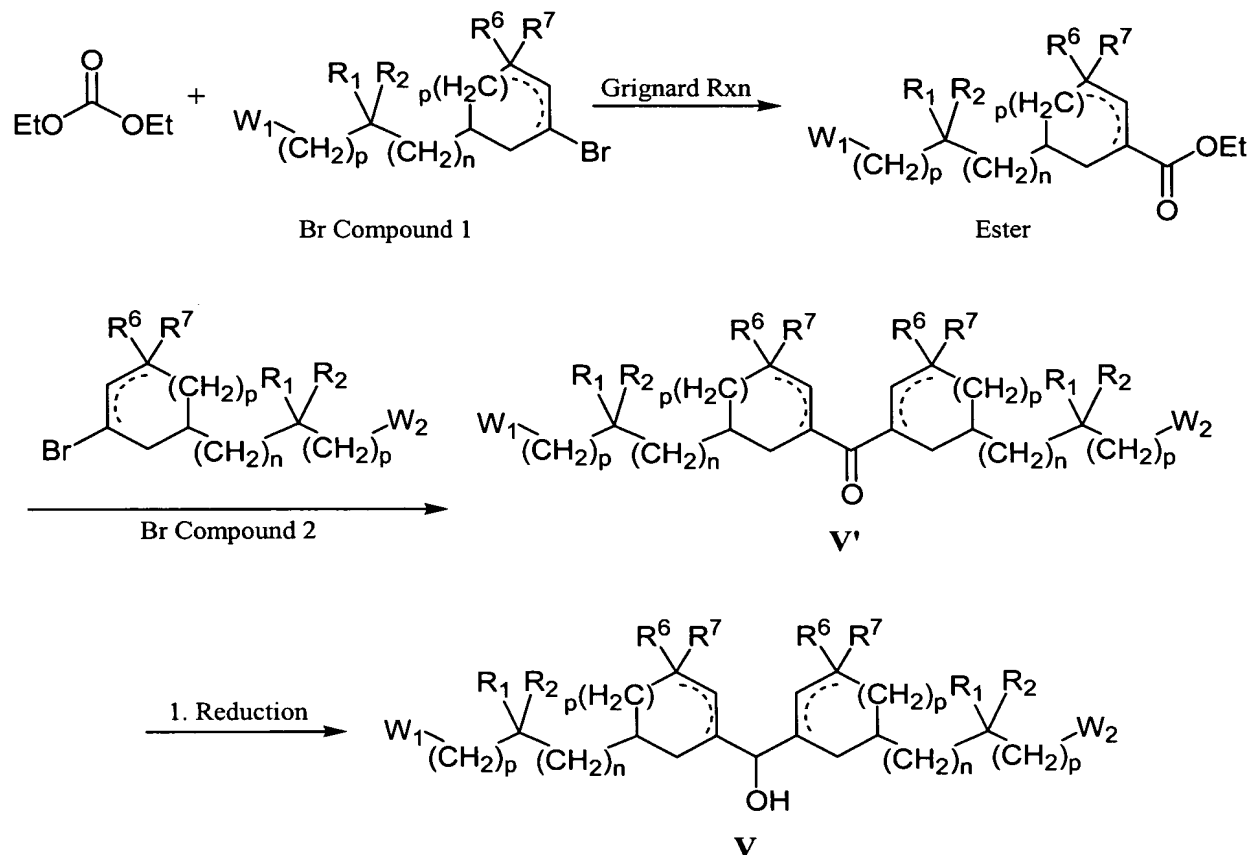
### Scheme 14: Synthesis of Compounds IV



Scheme 14 depicts the synthesis of compounds **IV**. In the first step, ketone compounds can be converted to compounds **IV'** by treating with a strong base (*e.g.*, LiHMDS, LDA) to generate the kinetic enolate followed by addition of the electrophile. In the next step, the ketone moiety of compound **IV'** is reduced using standard methods known to those of ordinary skill in the art. For exemplary procedures for Grignard reaction see March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, incorporated herein by reference. See also *Comprehensive Heterocyclic Chemistry II*; Katritzky, A. R.; Rees, W. C.; Scriven, E. F. V. Eds.; Pergamon Press: Oxford, 1996; Vol. 2, and *Comprehensive Heterocyclic Chemistry*; Katritzky, A. R.; Rees, W. C. Eds.; Pergamon Press: Oxford, 1986; Vol. 3. Press: Oxford, 1996; Vol. 5.

It is readily recognized that the diol compound **IV** is stereoisomeric and can therefore exist as enantiomers and diastereomers. Separation of the stereoisomers (*i.e.*, enantiomers or diastereomers) can be achieved by methods known in the art, for example, conversion to a chiral salt and crystallization, chiral chromatography, or chiral HPLC.

### Scheme 15: Synthesis of Compounds V



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Scheme 15 depicts the synthesis of compounds IV. In the first step, compounds of the type Br-compound 1, undergoes a Grignard reaction with diethylorthoformate to give the ester compound. For exemplary procedures for Grignard reaction see March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, pp. 920-929, incorporated herein by reference. Similarly, in the next step, the Grignard salt of Br-compound 2 is condensed with the ester compound to provide ketone V'.

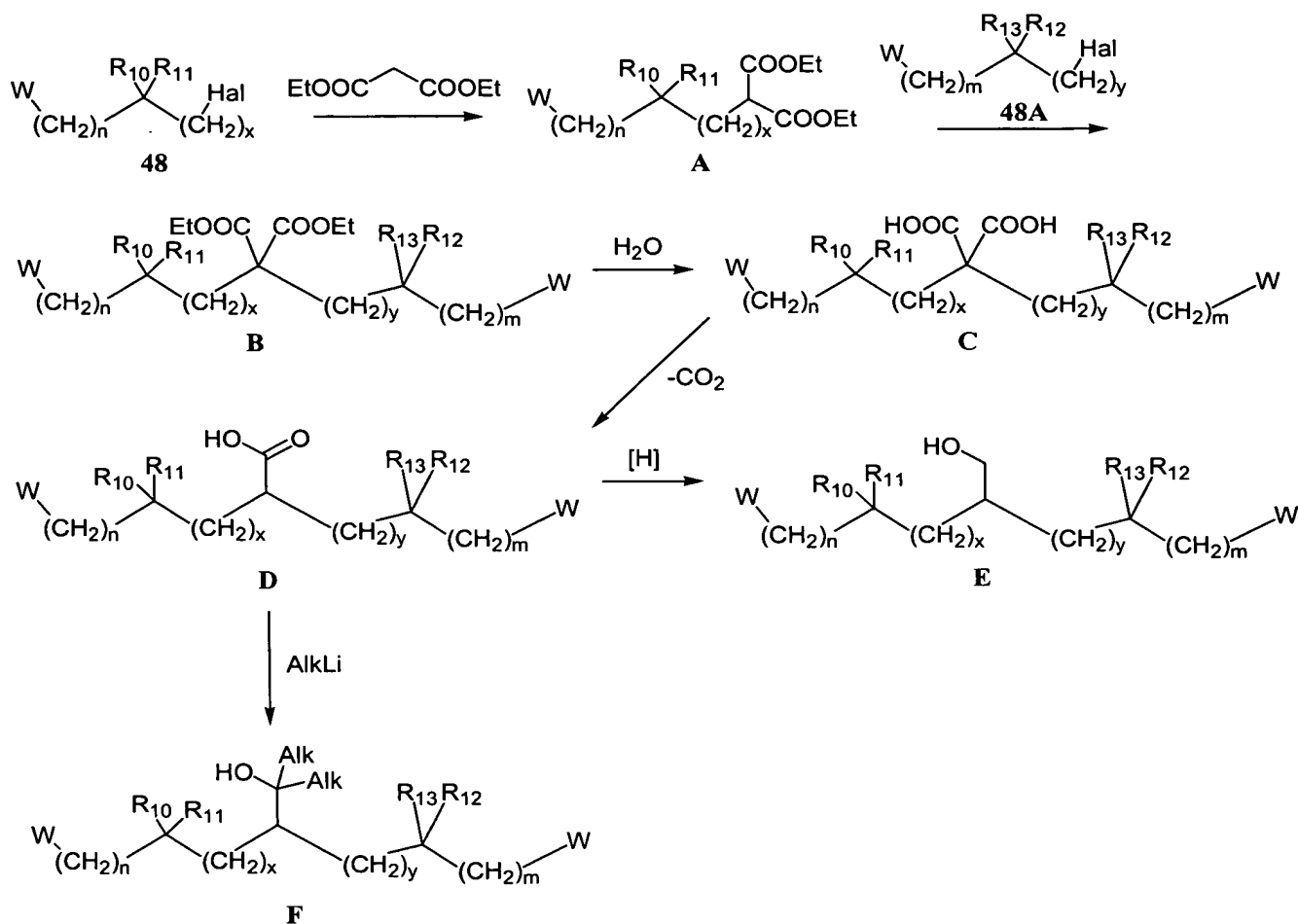
The ketone is then reduced under standard conditions known in the art to afford compound V, for example, the methods disclosed in *Organikum, Organisch-Chemisches Grundpraktikum*, VEB Deutscher Verlag der Wissenschaften, Berlin, 1984, p. 616; March, J. *Advanced Organic Chemistry; Reactions Mechanisms, and Structure*, 4th ed., 1992, and Larock *Comprehensive Organic Transformations*; VCH: New York, 1989, each of which are incorporated herein by reference. It is readily recognized that the alcohol compound is

stereoisomeric and can therefore exist as enantiomers and diastereomer. Separation of the stereoisomers (*i.e.*, enantiomers or diastereomers) can be achieved by methods known in the art, for example, conversion to a chiral salt and crystallization, chiral chromatography, or chiral HPLC. In a typical procedure, the alcohol compounds are dissolved in the

5 appropriate solvent, such as methanol, ethanol, isopropanol, preferably isopropanol, and is treated with a reducing agent, preferably sodium borohydride at temperatures between about -20 °C and solvent reflux, preferably at about -5 °C to about 10 °C. When the reaction is considered complete by an analytical method such as HPLC, GC, TLC, or NMR, the reaction is subject to work-up known in the art.



Scheme 16



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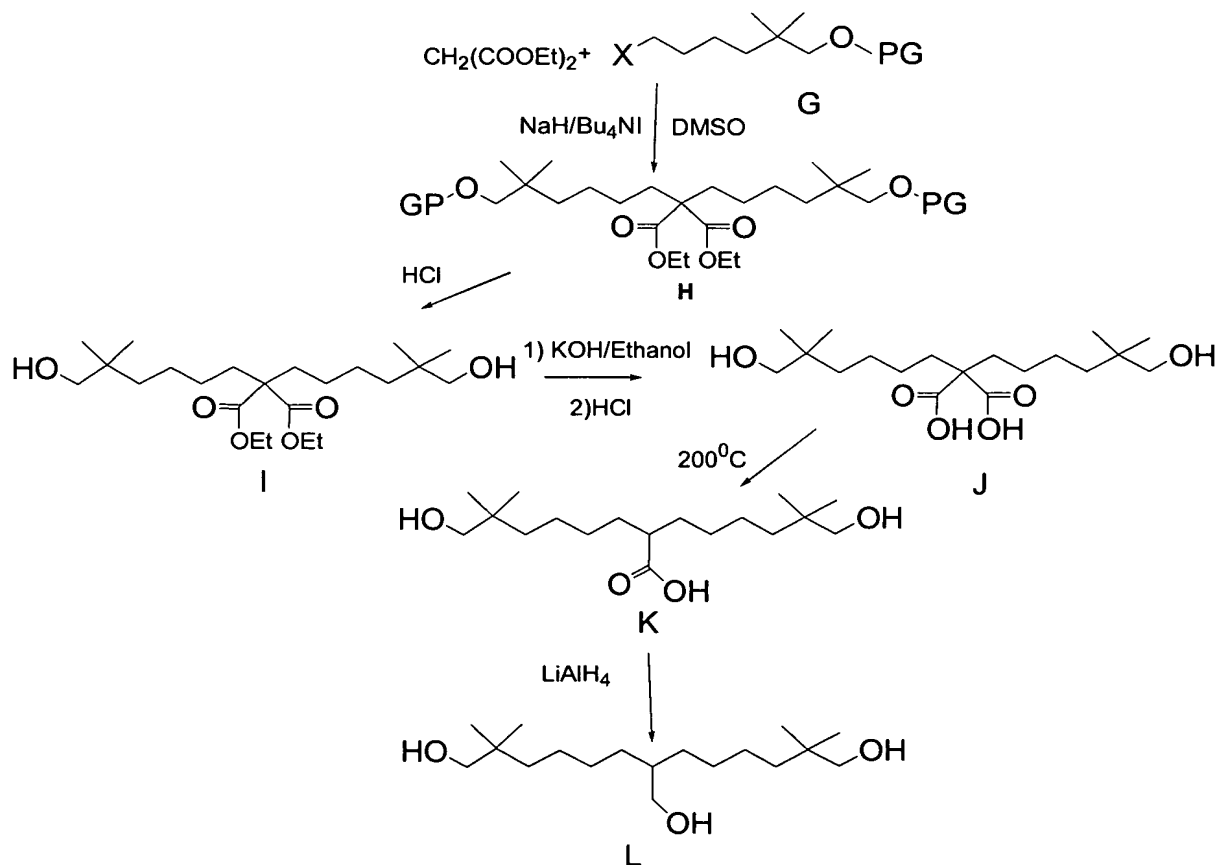
Halides **48** (prepared as described in Dasseux and Oniciu, US 6,410,802, 2002) are treated with diethyl malonate anion (obtained from diethyl malonate and a dehydration agent such as sodium hydride, sodium or potassium methoxide, ethoxide or t-butoxide) in an anhydrous solvent such as DMSO, alcohol (methanol, ethanol or t-butanol) or a hydrocarbon (heptane, xylene, toluene) or an ether (THF, diethyl ether), preferably sodium hydride in DMSO, at room temperature or at temperatures up to the reflux of the solvent, for two hours up to 72 hours. The reaction is monitored by usual analytical methods such as tlc, GC and HPLC, and it is stopped when no significant change of the reaction mixture is seen by one of these methods. The reaction is performed sequentially when an unsymmetrical derivative is desired. Intermediates of type **A** are prepared as described above by using 1 to 1.2 equivalents of dehydrating agent and halide **48**; in order to afford

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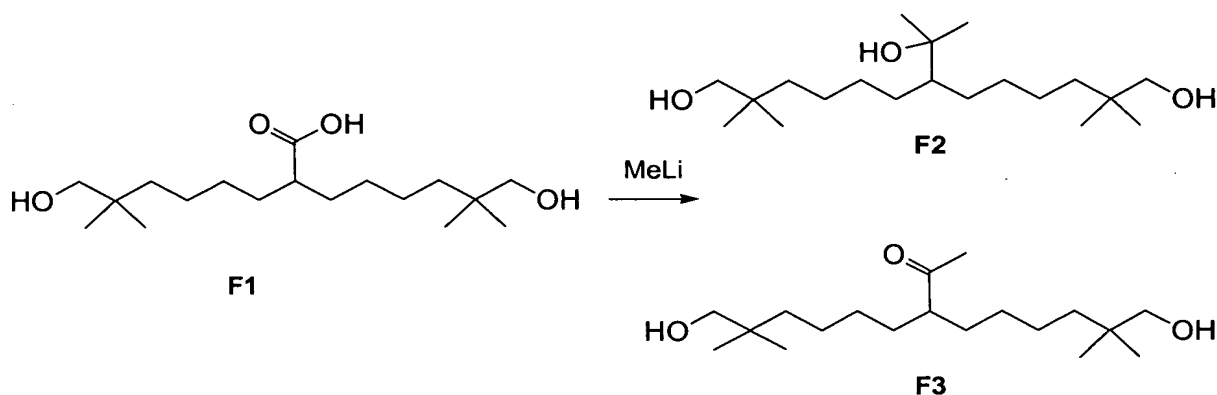
compounds of type **B**, when this reaction is deemed complete by one of the analytical methods mentioned above, a second mole of dehydrating agent is added followed by 1 to 1.2 equivalents of the second halide **48A**. This type of compounds may be purified by column chromatography or by HPLC, or use as crude in the next step. The ester moieties in intermediate **B** thus obtained are hydrolysed by usual methods such as basic hydrolysis, to afford diacids of type **C** that could be either purified by usual methods, e.g. column chromatography or preparative HPLC, or decarboxilated as crude. The decarboxilation is performed either neat at temperatures from 150 to 220 °C, or in a solvent. Monoacid **D** thus obtained is finally reduced more commonly with metals and proton donors or with reducing agents such as lithium aluminum hydride in ether or tetrahydrofuran to give the desired compounds of type **E**. Symmetrical derivatives may be prepared similarly, by using 2.2 to 3 equivalents halide **48**, when intermediate **B** is formed directly. If intermediate **D** is treated with an alkyl lithium alcohol **F** is then obtained.

**Scheme 17: Synthesis of 7-hydroxymethyl-2,2,12,12-tetramethyl-tridecane-1,13-diol**



Diethyl malonate and G were treated with NaH/Bu<sub>4</sub>NI in anhydrous DMSO (as described in Possel, O.; van Leusen, A. M. Tetrahedron Lett. 1977, 18, 4229-4232; Kurosawa, K.; Suenaga, M.; Inazu, T.; Yoshino, T. Tetrahedron Lett. 1982, 23, 5335-5338) at room temperature for 16 h to afford H (99%, crude); this intermediate was hydrolysed in acid conditions (e.g. hydrochloric acid) to give intermediate I (for a general description of the method see Vogel's Practical Organic Chemistry, 4th Edition, Longman Inc.: New York 1978, pp. 494). Subsequent hydrolysis of the ester groups in the presence of potassium hydroxide affords intermediate J [for a general method see Vogel's Practical Organic Chemistry, 4th Edition, Longman Inc.: New York 1978, pp 491). Decarboxylation of the above compound is accomplished by heating neat at 200°C to yield the monoacid intermediate K that is reduced by LiAlH<sub>4</sub> in tetrahydrofuran to the target compound L.

### Scheme 18

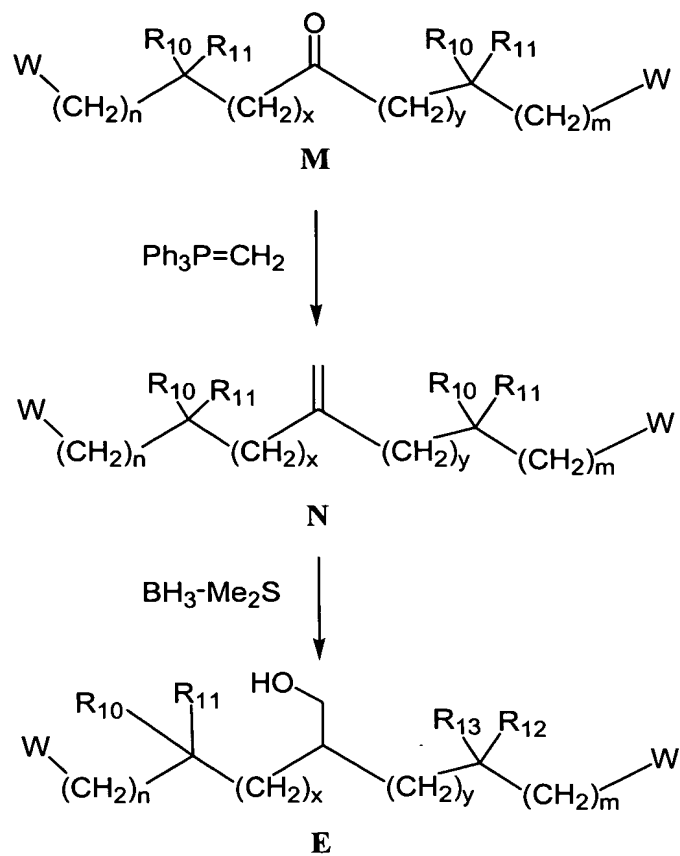


The intermediate F1 synthesized as described above was reacted with methyl lithium to give 7-(1-hydroxy-1-methyl-ethyl)-2,2,12,12-tetramethyl-tridecane-1,13-diol (F2) together with F3. The two compounds were separated by column chromatography.

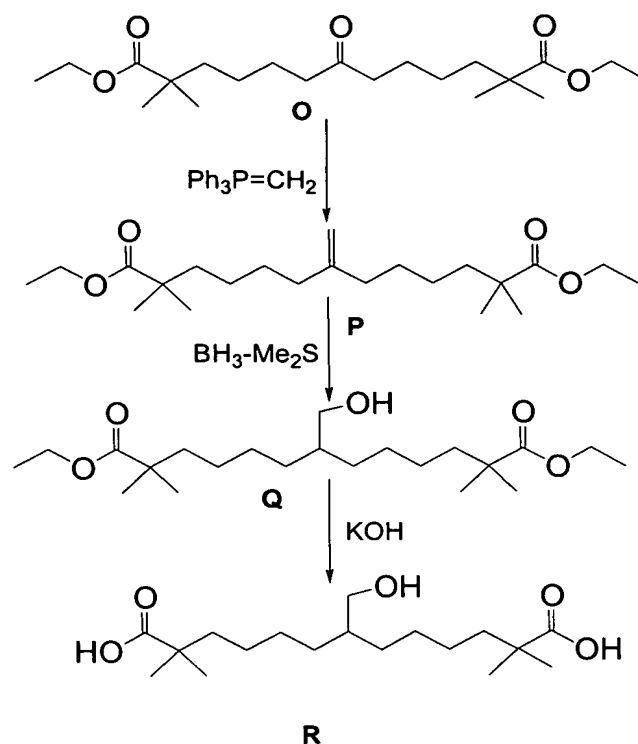
Compounds of type E are also obtained as described in Scheme 19 by treating the ketones of type M with a Wittig reagent, followed by an anti-Markovnikov addition of water to the marginal double bond thus created or by hydroboration with a Brown reagent.

Scheme 20 presents an example for the above method in the synthesis of 7-hydroxymethyl-2,2,12,12-tetramethyl-tridecanedioic acid (R).

**Scheme 19**



Scheme 20



5            2,2,12,12-Tetramethyl-7-oxo-tridecanedioic acid diethyl ester **O** (Dasseux and Oniciu, US Pat. Appl. 09/976,938, October 11, 2001) was treated with Wittig reagent (methyltriphenylphosphonium iodide and phenyllithium) [Leopold, E. J. Organic Syntheses Collective Volume VII, Wiley: New York 1986, pp 258.] to produce **P**. Compound **Q** was prepared by treatment of compound **P** with  $\text{BH}_3\text{-Me}_2\text{S}$  [Dalko, P.I.; Langlois, Y. J. Org. Chem. 1998, 63, 8107]. Other selective hydroboration method for the preparation of

10            primary alcohol **Q** is performed by treating the alkene with disiamylborane (prepared from diborane and 2-methyl-2-butene in THF at  $-30^\circ\text{C}$ ) in THF, as reported in Leopold, E. J. Organic Syntheses Collective Volume VII, Wiley: New York 1986, pp 258. Hydrolysis of **Q** by treatment with KOH in ethanol gave the final compound **R** [Vogel's Practical Organic

15            Chemistry, 4th Edition, Longman Inc.: New York 1978, pp 492].

## 5.2 Therapeutic Uses of Compounds or Compositions of the Invention

In accordance with the invention, a compound of the invention or a composition of the invention, comprising a compound of the invention and a pharmaceutically acceptable vehicle, is administered to a patient, preferably a human, with or at risk of aging,

20            Alzheimer's Disease, cancer, cardiovascular disease, diabetic nephropathy, diabetic

retinopathy, a disorder of glucose metabolism, dyslipidemia, dyslipoproteinemia, enhancing bile production, enhancing reverse lipid transport, hypertension, impotence, inflammation, insulin resistance, lipid elimination in bile, modulating C reactive protein, obesity, oxysterol elimination in bile, pancreatitis, Parkinson's disease, a peroxisome proliferator activated receptor-associated disorder, phospholipid elimination in bile, renal disease, septicemia, metabolic syndrome disorders (e.g., Syndrome X), a thrombotic disorder, gastrointestinal disease, irritable bowel syndrome (IBS), inflammatory bowel disease (e.g., Crohn's Disease, ulcerative colitis), arthritis (e.g., rheumatoid arthritis, osteoarthritis), autoimmune disease (e.g., systemic lupus erythematosus), scleroderma, ankylosing spondylitis, gout and pseudogout, muscle pain: polymyositis/polymyalgia rheumatica/fibrositis; infection and arthritis, juvenile rheumatoid arthritis, tendonitis, bursitis and other soft tissue rheumatism. In one embodiment, "treatment" or "treating" refers to an amelioration of a disease or disorder, or at least one discernible symptom thereof. In another embodiment, "treatment" or "treating" refers to inhibiting the progression of a disease or disorder, either physically, e.g., stabilization of a discernible symptom, physiologically, e.g., stabilization of a physical parameter, or both.

In certain embodiments, the compounds of the invention or the compositions of the invention are administered to a patient, preferably a human, as a preventative measure against such diseases. As used herein, "prevention" or "preventing" refers to a reduction of the risk of acquiring a given disease or disorder. In a preferred mode of the embodiment, the compositions of the present invention are administered as a preventative measure to a patient, preferably a human having a genetic predisposition to a aging, Alzheimer's Disease, cancer, cardiovascular disease, diabetic nephropathy, diabetic retinopathy, a disorder of glucose metabolism, dyslipidemia, dyslipoproteinemia, enhancing bile production, enhancing reverse lipid transport, hypertension, impotence, inflammation, insulin resistance, lipid elimination in bile, modulating C reactive protein, obesity, oxysterol elimination in bile, pancreatitis, Parkinson's disease, a peroxisome proliferator activated receptor-associated disorder, phospholipid elimination in bile, renal disease, septicemia, metabolic syndrome disorders (e.g., Syndrome X), a thrombotic disorder, inflammatory processes and diseases like gastrointestinal disease, irritable bowel syndrome (IBS), inflammatory bowel disease (e.g., Crohn's Disease, ulcerative colitis), arthritis (e.g., rheumatoid arthritis, osteoarthritis), autoimmune disease (e.g., systemic lupus erythematosus), scleroderma, ankylosing spondylitis, gout and pseudogout, muscle pain: polymyositis/polymyalgia rheumatica/fibrositis; infection and arthritis, juvenile rheumatoid arthritis, tendonitis,

bursitis and other soft tissue rheumatism. Examples of such genetic predispositions include but are not limited to the  $\epsilon 4$  allele of apolipoprotein E, which increases the likelihood of Alzheimer's Disease; a loss of function or null mutation in the lipoprotein lipase gene coding region or promoter (e.g., mutations in the coding regions resulting in the substitutions D9N and N291S; for a review of genetic mutations in the lipoprotein lipase gene that increase the risk of cardiovascular diseases, dyslipidemias and dyslipoproteinemias, see Hayden and Ma, 1992, Mol. Cell Biochem. 113:171-176); and familial combined hyperlipidemia and familial hypercholesterolemia .

In another preferred mode of the embodiment, the compounds of the invention or compositions of the invention are administered as a preventative measure to a patient having a non-genetic predisposition to a aging, Alzheimer's Disease, cancer, cardiovascular disease, diabetic nephropathy, diabetic retinopathy, a disorder of glucose metabolism, dyslipidemia, dyslipoproteinemia, enhancing bile production, enhancing reverse lipid transport, hypertension, impotence, inflammation, insulin resistance, lipid elimination in bile, modulating C reactive protein, obesity, oxysterol elimination in bile, pancreatitis, Parkinson's disease, a peroxisome proliferator activated receptor-associated disorder, phospholipid elimination in bile, renal disease, septicemia, metabolic syndrome disorders (e.g., Syndrome X), a thrombotic disorder, inflammatory processes and diseases like gastrointestinal disease, irritable bowel syndrome (IBS), inflammatory bowel disease (e.g., Crohn's Disease, ulcerative colitis), arthritis (e.g., rheumatoid arthritis, osteoarthritis), autoimmune disease (e.g., systemic lupus erythematosus), scleroderma, ankylosing spondylitis, gout and pseudogout, muscle pain: polymyositis/polymyalgia rheumatica/fibrositis; infection and arthritis, juvenile rheumatoid arthritis, tendonitis, bursitis and other soft tissue rheumatism.. Examples of such non-genetic predispositions include but are not limited to cardiac bypass surgery and percutaneous transluminal coronary angioplasty, which often lead to restenosis, an accelerated form of atherosclerosis; diabetes in women, which often leads to polycystic ovarian disease; and cardiovascular disease, which often leads to impotence. Accordingly, the compositions of the invention may be used for the prevention of one disease or disorder and concurrently treating another (e.g., prevention of polycystic ovarian disease while treating diabetes; prevention of impotence while treating a cardiovascular disease).

### **5.2.1 Treatment of Cardiovascular Diseases**

The present invention provides methods for the treatment or prevention of a cardiovascular disease, comprising administering to a patient a therapeutically effective

amount of a compound or a composition comprising a compound of the invention and a pharmaceutically acceptable vehicle. As used herein, the term "cardiovascular diseases" refers to diseases of the heart and circulatory system. These diseases are often associated with dyslipoproteinemias and/or dyslipidemias. Cardiovascular diseases which the compositions of the present invention are useful for preventing or treating include but are not limited to arteriosclerosis; atherosclerosis; stroke; ischemia; endothelium dysfunctions, in particular those dysfunctions affecting blood vessel elasticity; peripheral vascular disease; coronary heart disease; myocardial infarction; cerebral infarction and restenosis.

### 5.2.2 Treatment of Dyslipidemias

The present invention provides methods for the treatment or prevention of a dyslipidemia comprising administering to a patient a therapeutically effective amount of a compound or a composition comprising a compound of the invention and a pharmaceutically acceptable vehicle.

As used herein, the term "dyslipidemias" refers to disorders that lead to or are manifested by aberrant levels of circulating lipids. To the extent that levels of lipids in the blood are too high, the compositions of the invention are administered to a patient to restore normal levels. Normal levels of lipids are reported in medical treatises known to those of skill in the art. For example, recommended blood levels of LDL, HDL, free triglycerides and others parameters relating to lipid metabolism can be found at the web site of the American Heart Association and that of the National Cholesterol Education Program of the National Heart, Lung and Blood Institute ([http://www.americanheart.org/cholesterol/about\\_level.html](http://www.americanheart.org/cholesterol/about_level.html) and [http://www.nhlbi.nih.gov/health/public/heart/chol/hbc\\_what.html](http://www.nhlbi.nih.gov/health/public/heart/chol/hbc_what.html), respectively). At the present time, the recommended level of HDL cholesterol in the blood is above 35 mg/dL; the recommended level of LDL cholesterol in the blood is below 130 mg/dL; the recommended LDL:HDL cholesterol ratio in the blood is below 5:1, ideally 3.5:1; and the recommended level of free triglycerides in the blood is less than 200 mg/dL.

Dyslipidemias which the compositions of the present invention are useful for preventing or treating include but are not limited to hyperlipidemia and low blood levels of high density lipoprotein (HDL) cholesterol. In certain embodiments, the hyperlipidemia for prevention or treatment by the compounds of the present invention is familial hypercholesterolemia; familial combined hyperlipidemia; reduced or deficient lipoprotein lipase levels or activity, including reductions or deficiencies resulting from lipoprotein lipase mutations; hypertriglyceridemia; hypercholesterolemia; high blood levels of urea bodies (e.g.  $\beta$ -OH butyric acid); high blood levels of Lp(a) cholesterol; high blood levels of



low density lipoprotein (LDL) cholesterol; high blood levels of very low density lipoprotein (VLDL) cholesterol and high blood levels of non-esterified fatty acids.

The present invention further provides methods for altering lipid metabolism in a patient, e.g., reducing LDL in the blood of a patient, reducing free triglycerides in the blood of a patient, increasing the ratio of HDL to LDL in the blood of a patient, and inhibiting saponified and/or non-saponified fatty acid synthesis, said methods comprising administering to the patient a compound or a composition comprising a compound of the invention in an amount effective alter lipid metabolism.

### 5.2.3 Treatment of Dyslipoproteinemias

The present invention provides methods for the treatment or prevention of a dyslipoproteinemia comprising administering to a patient a therapeutically effective amount of a compound or a composition comprising a compound of the invention and a pharmaceutically acceptable vehicle.

As used herein, the term "dyslipoproteinemias" refers to disorders that lead to or are manifested by aberrant levels of circulating lipoproteins. To the extent that levels of lipoproteins in the blood are too high, the compositions of the invention are administered to a patient to restore normal levels. Conversely, to the extent that levels of lipoproteins in the blood are too low, the compositions of the invention are administered to a patient to restore normal levels. Normal levels of lipoproteins are reported in medical treatises known to those of skill in the art.

Dyslipoproteinemias which the compositions of the present invention are useful for preventing or treating include but are not limited to high blood levels of LDL; high blood levels of apolipoprotein B (apo B); high blood levels of Lp(a); high blood levels of apo(a); high blood levels of VLDL; low blood levels of HDL; reduced or deficient lipoprotein lipase levels or activity, including reductions or deficiencies resulting from lipoprotein lipase mutations; hypoalphalipoproteinemia; lipoprotein abnormalities associated with diabetes; lipoprotein abnormalities associated with obesity; lipoprotein abnormalities associated with Alzheimer's Disease; and familial combined hyperlipidemia.

The present invention further provides methods for reducing apo C-II levels in the blood of a patient; reducing apo C-III levels in the blood of a patient; elevating the levels of HDL associated proteins, including but not limited to apo A-I, apo A-II, apo A-IV and apo E in the blood of a patient; elevating the levels of apo E in the blood of a patient, and promoting clearance of triglycerides from the blood of a patient, said methods comprising administering to the patient a compound or a composition comprising a compound of the

invention in an amount effective to bring about said reduction, elevation or promotion, respectively.

#### **5.2.4 Treatment of Glucose Metabolism Disorders**

5           The present invention provides methods for the treatment or prevention of a glucose metabolism disorder, comprising administering to a patient a therapeutically effective amount of a compound or a composition comprising a compound of the invention and a pharmaceutically acceptable vehicle. As used herein, the term "glucose metabolism disorders" refers to disorders that lead to or are manifested by aberrant glucose storage and/or utilization. To the extent that indicia of glucose metabolism (i.e., blood insulin, blood glucose) are too high, the compositions of the invention are administered to a patient to restore normal levels. Conversely, to the extent that indicia of glucose metabolism are too low, the compositions of the invention are administered to a patient to restore normal levels. Normal indicia of glucose metabolism are reported in medical treatises known to those of skill in the art.

          Glucose metabolism disorders which the compositions of the present invention are useful for preventing or treating include but are not limited to impaired glucose tolerance; insulin resistance; insulin resistance related breast, colon or prostate cancer; diabetes, including but not limited to non-insulin dependent diabetes mellitus (NIDDM), insulin dependent diabetes mellitus (IDDM), gestational diabetes mellitus (GDM), and maturity onset diabetes of the young (MODY); pancreatitis; hypertension; polycystic ovarian disease; and high levels of blood insulin and/or glucose.

          The present invention further provides methods for altering glucose metabolism in a patient, for example to increase insulin sensitivity and/or oxygen consumption of a patient, said methods comprising administering to the patient a compound or a composition comprising a compound of the invention in an amount effective to alter glucose metabolism.

#### **5.2.5 Treatment of PPAR-Associated Disorders**

          The present invention provides methods for the treatment or prevention of a PPAR-associated disorder, comprising administering to a patient a therapeutically effective amount of a compound or a composition comprising a compound of the invention and a pharmaceutically acceptable vehicle. As used herein, "treatment or prevention of PPAR associated disorders" encompasses treatment or prevention of rheumatoid arthritis; multiple sclerosis; psoriasis; inflammatory bowel diseases; breast; colon or prostate cancer; low levels of blood HDL; low levels of blood, lymph and/or cerebrospinal fluid apo E; low

blood, lymph and/or cerebrospinal fluid levels of apo A-I; high levels of blood VLDL; high levels of blood LDL; high levels of blood triglyceride; high levels of blood apo B; high levels of blood apo C-III and reduced ratio of post-heparin hepatic lipase to lipoprotein lipase activity. HDL may be elevated in lymph and/or cerebral fluid.

5

#### **5.2.6 Treatment of Renal Diseases**

The present invention provides methods for the treatment or prevention of a renal disease, comprising administering to a patient a therapeutically effective amount of a compound or a composition comprising a compound of the invention and a pharmaceutically acceptable vehicle. Renal diseases that can be treated by the compounds of the present invention include glomerular diseases (including but not limited to acute and chronic glomerulonephritis, rapidly progressive glomerulonephritis, nephrotic syndrome, focal proliferative glomerulonephritis, glomerular lesions associated with systemic disease, such as systemic lupus erythematosus, Goodpasture's syndrome, multiple myeloma, diabetes, neoplasia, sickle cell disease, and chronic inflammatory diseases), tubular diseases (including but not limited to acute tubular necrosis and acute renal failure, polycystic renal diseasemedullary sponge kidney, medullary cystic disease, nephrogenic diabetes, and renal tubular acidosis), tubulointerstitial diseases (including but not limited to pyelonephritis, drug and toxin induced tubulointerstitial nephritis, hypercalcemic nephropathy, and hypokalemic nephropathy) acute and rapidly progressive renal failure, chronic renal failure, nephrolithiasis, or tumors (including but not limited to renal cell carcinoma and nephroblastoma). In a most preferred embodiment, renal diseases that are treated by the compounds of the present invention are vascular diseases, including but not limited to hypertension, nephrosclerosis, microangiopathic hemolytic anemia, atheroembolic renal disease, diffuse cortical necrosis, and renal infarcts.

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#### **5.2.7 Treatment of Cancer**

The present invention provides methods for the treatment or prevention of cancer, comprising administering to a patient a therapeutically effective amount of a compound or a composition comprising a compound of the invention and a pharmaceutically acceptable vehicle. Types of cancer that can be treated using a Compound of the Invention include, but are not limited to, those listed in Table 2.

30

## **TABLE 2**

Solid tumors, including but not limited to

fibrosarcoma  
myxosarcoma  
liposarcoma  
chondrosarcoma  
osteogenic sarcoma  
chordoma  
angiosarcoma  
endotheliosarcoma  
lymphangiosarcoma  
lymphangioendotheliosarcoma  
synovioma  
mesothelioma  
Ewing's tumor  
leiomyosarcoma  
rhabdomyosarcoma  
colon cancer  
colorectal cancer  
kidney cancer  
pancreatic cancer  
bone cancer  
breast cancer  
ovarian cancer  
prostate cancer  
esophageal cancer  
stomach cancer  
oral cancer  
nasal cancer  
throat cancer  
squamous cell carcinoma  
basal cell carcinoma  
adenocarcinoma  
sweat gland carcinoma

sebaceous gland carcinoma  
papillary carcinoma  
papillary adenocarcinomas  
cystadenocarcinoma  
medullary carcinoma  
bronchogenic carcinoma  
renal cell carcinoma  
hepatoma  
bile duct carcinoma  
choriocarcinoma  
seminoma  
embryonal carcinoma  
Wilms' tumor  
cervical cancer  
uterine cancer  
testicular cancer  
small cell lung carcinoma  
bladder carcinoma  
lung cancer  
epithelial carcinoma  
glioma  
glioblastoma multiforme  
astrocytoma  
medulloblastoma  
craniopharyngioma  
ependymoma  
pinealoma  
hemangioblastoma  
acoustic neuroma  
oligodendroglioma  
meningioma  
skin cancer  
melanoma  
neuroblastoma

retinoblastoma

Blood-borne cancers, including but not limited to:

acute lymphoblastic B-cell leukemia  
acute lymphoblastic T-cell leukemia  
acute myeloblastic leukemia "AML"  
acute promyelocytic leukemia "APL"  
acute monoblastic leukemia  
acute erythroleukemic leukemia  
acute megakaryoblastic leukemia  
acute myelomonocytic leukemia  
acute nonlymphocytic leukemia  
acute undifferentiated leukemia  
chronic myelocytic leukemia "CML"  
chronic lymphocytic leukemia "CLL"  
hairy cell leukemia  
multiple myeloma

Acute and chronic leukemias

Lymphoblastic  
myelogenous  
lymphocytic  
myelocytic leukemias

Lymphomas:

Hodgkin's disease  
non-Hodgkin's Lymphoma  
Multiple myeloma  
Waldenström's macroglobulinemia  
Heavy chain disease  
Polycythemia vera

Cancer, including, but not limited to, a tumor, metastasis, or any disease or disorder characterized by uncontrolled cell growth, can be treated or prevented by administration of a Compound of the Invention.

### 5.2.8 Treatment of Other Diseases

The present invention provides methods for the treatment or prevention of Alzheimer's Disease, Syndrome X, septicemia, thrombotic disorders, obesity, pancreatitis, hypertension, inflammation, and impotence, comprising administering to a patient a therapeutically effective amount of a compound or a composition comprising a compound of the invention and a pharmaceutically acceptable vehicle.

As used herein, "treatment or prevention of Alzheimer's Disease" encompasses treatment or prevention of lipoprotein abnormalities associated with Alzheimer's Disease.

As used herein, "treatment or prevention of Syndrome X or Metabolic Syndrome" encompasses treatment or prevention of a symptom thereof, including but not limited to impaired glucose tolerance, hypertension and dyslipidemia/dyslipoproteinemia.

As used herein, "treatment or prevention of septicemia" encompasses treatment or prevention of septic shock.

As used herein, "treatment or prevention of thrombotic disorders" encompasses treatment or prevention of high blood levels of fibrinogen and promotion of fibrinolysis.

In addition to treating or preventing obesity, the compositions of the invention can be administered to an individual to promote weight reduction of the individual.

As used herein, "treatment or prevention of diabetic nephropathy" encompasses treating or preventing kidney disease that develops as a result of diabetes mellitus (DM).

Diabetes mellitus is a disorder in which the body is unable to metabolize carbohydrates (e.g., food starches, sugars, cellulose) properly. The disease is characterized by excessive amounts of sugar in the blood (hyperglycemia) and urine; inadequate production and/or utilization of insulin; and by thirst, hunger, and loss of weight. Thus, the compounds of the invention can also be used to treat or prevent diabetes mellitus.

As used herein, "treatment or prevention of diabetic retinopathy" encompasses treating or preventing complications of diabetes that lead to or cause blindness. Diabetic retinopathy occurs when diabetes damages the tiny blood vessels inside the retina, the light-sensitive tissue at the back of the eye.

As used herein, "treatment or prevention of impotence" includes treating or preventing erectile dysfunction, which encompasses the repeated inability to get or keep an erection firm enough for sexual intercourse. The word "impotence" may also be used to describe other problems that interfere with sexual intercourse and reproduction, such as lack of sexual desire and problems with ejaculation or orgasm. The term "treatment or prevention of impotence includes, but is not limited to impotence that results as a result of damage to nerves, arteries, smooth muscles, and fibrous tissues, or as a result of disease,

such as, but not limited to, diabetes, kidney disease, chronic alcoholism, multiple sclerosis, atherosclerosis, vascular disease, and neurologic disease.

As used herein, “treatment or prevention of hypertension” encompasses treating or preventing blood flow through the vessels at a greater than normal force, which strains the heart; harms the arteries; and increases the risk of heart attack, stroke, and kidney problems. The term hypertension includes, but is not limited to, cardiovascular disease, essential hypertension, hyperpiesia, hyperpiesis, malignant hypertension, secondary hypertension, or white-coat hypertension.

As used herein, “treatment or prevention of inflammation” encompasses treating or preventing inflammation diseases including, but not limited to, chronic inflammatory disorders of the joints including arthritis, e.g., rheumatoid arthritis and osteoarthritis; respiratory distress syndrome, inflammatory bowel diseases such as ileitis, ulcerative colitis and Crohn’s disease; and inflammatory lung disorders such as asthma and chronic obstructive airway disease, inflammatory disorders of the eye such as corneal dystrophy, trachoma, onchocerciasis, uveitis, sympathetic ophthalmitis, and endophthalmitis; inflammatory disorders of the gum, e.g., periodontitis and gingivitis; tuberculosis; leprosy; inflammatory diseases of the kidney including glomerulonephritis and nephrosis; inflammatory disorders of the skin including acne, sclerodermatitis, psoriasis, eczema, photoaging and wrinkles; inflammatory diseases of the central nervous system, including AIDS-related neurodegeneration, stroke, neurotrauma, Alzheimer’s disease, encephalomyelitis and viral or autoimmune encephalitis; autoimmune diseases including immune-complex vasculitis, systemic lupus and erythematodes; systemic lupus erythematosus (SLE); and inflammatory diseases of the heart such as cardiomyopathy.

### **5.3 Combination Therapy**

In certain embodiments of the present invention, the compounds and compositions of the invention can be used in combination therapy with at least one other therapeutic agent. The compound of the invention and the therapeutic agent can act additively or, more preferably, synergistically. In a preferred embodiment, a compound or a composition comprising a compound of the invention is administered concurrently with the administration of another therapeutic agent, which can be part of the same composition as the compound of the invention or a different composition. In another embodiment, a compound or a composition comprising a compound of the invention is administered prior or subsequent to administration of another therapeutic agent. As many of the disorders for



which the compounds and compositions of the invention are useful in treating are chronic disorders, in one embodiment combination therapy involves alternating between administering a compound or a composition comprising a compound of the invention and a composition comprising another therapeutic agent, e.g., to minimize the toxicity associated with a particular drug. The duration of administration of each drug or therapeutic agent can be, e.g., one month, three months, six months, or a year. In certain embodiments, when a composition of the invention is administered concurrently with another therapeutic agent that potentially produces adverse side effects including but not limited to toxicity, the therapeutic agent can advantageously be administered at a dose that falls below the threshold at which the adverse side is elicited.

The present compositions can be administered together with a statin. Statins for use in combination with the compounds and compositions of the invention include but are not limited to atorvastatin, pravastatin, fluvastatin, lovastatin, simvastatin, and cerivastatin.

The present compositions can also be administered together with a PPAR agonist, for example a thiazolidinedione or a fibrate. Thiazolidinediones for use in combination with the compounds and compositions of the invention include but are not limited to 5 ((4 (2 (methyl 2 pyridinylamino)ethoxy)phenyl)methyl) 2,4 thiazolidinedione, troglitazone, pioglitazone, ciglitazone, WAY 120,744, englitazone, AD 5075, darglitazone, and rosiglitazone. Fibrates for use in combination with the compounds and compositions of the invention include but are not limited to gemfibrozil, fenofibrate, clofibrate, or ciprofibrate. As mentioned previously, a therapeutically effective amount of a fibrate or thiazolidinedione often has toxic side effects. Accordingly, in a preferred embodiment of the present invention, when a composition of the invention is administered in combination with a PPAR agonist, the dosage of the PPAR agonist is below that which is accompanied by toxic side effects.

The present compositions can also be administered together with a bile acid binding resin. Bile acid binding resins for use in combination with the compounds and compositions of the invention include but are not limited to cholestyramine and colestipol hydrochloride. The present compositions can also be administered together with niacin or nicotinic acid. The present compositions can also be administered together with a RXR agonist. RXR agonists for use in combination with the compounds of the invention include but are not limited to LG 100268, LGD 1069, 9-cis retinoic acid, 2 (1 (3,5,5,8,8 pentamethyl 5,6,7,8 tetrahydro 2 naphthyl) cyclopropyl) pyridine 5 carboxylic acid, or 4 ((3,5,5,8,8 pentamethyl 5,6,7,8 tetrahydro 2 naphthyl)2 carbonyl) benzoic acid. The present

compositions can also be administered together with an anti-obesity drug. Anti-obesity drugs for use in combination with the compounds of the invention include but are not limited to  $\beta$ -adrenergic receptor agonists, preferably  $\beta$ -3 receptor agonists, fenfluramine, dexfenfluramine, sibutramine, bupropion, fluoxetine, and phentermine. The present  
5 compositions can also be administered together with a hormone. Hormones for use in combination with the compounds of the invention include but are not limited to thyroid hormone, estrogen and insulin. Preferred insulins include but are not limited to injectable insulin, transdermal insulin, inhaled insulin, or any combination thereof. As an alternative to insulin, an insulin derivative, secretagogue, sensitizer or mimetic may be used. Insulin  
10 secretagogues for use in combination with the compounds of the invention include but are not limited to forskolin, dibutryl cAMP or isobutylmethylxanthine (IBMX).

The present compositions can also be administered together with a phosphodiesterase type 5 ("PDE5") inhibitor to treat or prevent disorders, such as but not limited to, impotence. In a particular, embodiment the combination is a synergistic  
15 combination of a composition of the invention and a PDE5 inhibitor.

The present compositions can also be administered together with a tyrophostine or an analog thereof. Tyrophostines for use in combination with the compounds of the invention include but are not limited to tryphostine 51.

The present compositions can also be administered together with sulfonylurea-based drugs. Sulfonylurea-based drugs for use in combination with the compounds of the invention include, but are not limited to, glisoxepid, glyburide, acetohexamide, chlorpropamide, glibornuride, tolbutamide, tolazamide, glipizide, gliclazide, gliquidone, glyhexamide, phenbutamide, and tolcyclamide. The present compositions can also be administered together with a biguanide. Biguanides for use in combination with the  
20 compounds of the invention include but are not limited to metformin, phenformin and buformin.

The present compositions can also be administered together with an  $\alpha$ -glucosidase inhibitor.  $\alpha$ -glucosidase inhibitors for use in combination with the compounds of the invention include but are not limited to acarbose and miglitol.

30 The present compositions can also be administered together with an apo A-I agonist. In one embodiment, the apo A-I agonist is the Milano form of apo A-I (apo A-IM). In a preferred mode of the embodiment, the apo A-IM for administration in conjunction with the compounds of the invention is produced by the method of U.S. Patent No. 5,721,114 to Abrahamsen. In a more preferred embodiment, the apo A-I agonist is a peptide agonist. In

a preferred mode of the embodiment, the apo A-I peptide agonist for administration in conjunction with the compounds of the invention is a peptide of U.S. Patent No. 6,004,925 or 6,037,323 to Dasseux.

5 The present compositions can also be administered together with apolipoprotein E (apo E). In a preferred mode of the embodiment, the apoE for administration in conjunction with the compounds of the invention is produced by the method of U.S. Patent No. 5,834,596 to Ageland.

10 In yet other embodiments, the present compositions can be administered together with an HDL-raising drug; an HDL enhancer; or a regulator of the apolipoprotein A-I, apolipoprotein A-IV and/or apolipoprotein genes.

In one embodiment, the other therapeutic agent can be an antiemetic agent. Suitable antiemetic agents include, but are not limited to, metoclopramide, domperidone, prochlorperazine, promethazine, chlorpromazine, trimethobenzamide, ondansetron, granisetron, hydroxyzine, acetylleucine monoethanolamine, alizapride, azasetron,  
15 benzquinamide, bietanautine, bromopride, buclizine, clebopride, cyclizine, dimenhydrinate, diphenidol, dolasetron, meclizine, methallatal, metopimazine, nabilone, oxypendyl, pipamazine, scopolamine, sulpiride, tetrahydrocannabinols, thiethylperazine, thioproperazine and tropisetron.

In another embodiment, the other therapeutic agent can be an hematopoietic colony  
20 stimulating factor. Suitable hematopoietic colony stimulating factors include, but are not limited to, filgrastim, sargramostim, molgramostim and erythropoietin alfa.

In still another embodiment, the other therapeutic agent can be an opioid or non-opioid analgesic agent. Suitable opioid analgesic agents include, but are not limited to, morphine, heroin, hydromorphone, hydrocodone, oxymorphone, oxycodone, metopon,  
25 apomorphine, normorphine, etorphine, buprenorphine, meperidine, lopermide, anileridine, ethoheptazine, piminidine, betaprodine, diphenoxylate, fentanil, sufentanil, alfentanil, remifentanil, levorphanol, dextromethorphan, phenazocine, pentazocine, cyclazocine, methadone, isomethadone and propoxyphene. Suitable non-opioid analgesic agents include, but are not limited to, aspirin, celecoxib, rofecoxib, diclofinac, diflusal, etodolac,  
30 fenoprofen, flurbiprofen, ibuprofen, ketoprofen, indomethacin, ketorolac, meclofenamate, mefanamic acid, nabumetone, naproxen, piroxicam and sulindac.

### **5.3.1 Combination Therapy of Cardiovascular Diseases**

35 The present compositions can be administered together with a known cardiovascular drug. Cardiovascular drugs for use in combination with the compounds of the invention to

prevent or treat cardiovascular diseases include but are not limited to peripheral antiadrenergic drugs, centrally acting antihypertensive drugs (e.g., methyldopa, methyldopa HCl), antihypertensive direct vasodilators (e.g., diazoxide, hydralazine HCl), drugs affecting renin-angiotensin system, peripheral vasodilators, phentolamine, antianginal drugs, cardiac glycosides, inodilators (e.g., amrinone, milrinone, enoximone, fenoximone, imazodan, sulmazole), antidysrhythmic drugs, calcium entry blockers, ranitine, bosentan, and rezulin.

### 5.3.2 Combination Therapy of Cancer

The present invention includes methods for treating cancer, comprising administering to an animal in need thereof an effective amount of a Compound of the Invention and another therapeutic agent that is an anti-cancer agent. Suitable anticancer agents include, but are not limited to, those listed in Table 3.

15

**TABLE 3**

#### Alkylating agents

Nitrogen mustards:

Cyclophosphamide

Ifosfamide

trofosfamide

Chlorambucil

Treos

Nitrosoureas:

carbustine (BCNU)

Lomustine (CCNU)

Alkylsulphonates

Busulfan

Treosulfan

Triazenes:

Dacarbazine

Platinum containing compounds:

Cisplatin

carboplatin

#### Plant Alkaloids

Vinca alkaloids:

Vicristine

Vinblastine

Vindesine

Vinorelbine

Taxoids:

paclitaxel

## DNA Topoisomerase Inhibitors

Epipodophyllins:

Docetaxol

Etoposide

Teniposide

Topotecan

9-aminocamptothecin

camptothecin

crisnatol

Mitomycin C

mitomycins:

Anti-metabolites

Anti-folates:

DHFR inhibitors:

METHOTREXATE

Trimetrexate

IMP dehydrogenase Inhibitors:

Mycophenolic acid

Tiazofurin

Ribavirin

EICAR

Ribonucleotide reductase Inhibitors:

Hydroxyurea

deferrioxamine

Pyrimidine analogs:

Uracil analogs

5-Fluorouracil

Floxuridine

Doxifluridine

Ratitrexed

Cytosine analogs

cytarabine (ara C)

Cytosine arabinoside

fludarabine

Purine analogs:

mercaptopurine

Thioguanine

Hormonal therapies:

Receptor antagonists:

Anti-estrogen

Tamoxifen

Raloxifene

megestrol

	goserelin
	Leuprolide acetate
LHRH agonists:	flutamide
	bicalutamide
<u>Retinoids/Retinoids</u>	
<u>Vitamin D3 analogs:</u>	EB 1089
	CB 1093
	KH 1060
<u>Photodynamic therapies:</u>	verteporfin (BPD-MA)
	Phthalocyanine
	photosensitizer Pc4
	Demethoxy-hypocrellin A
	(2BA-2-DMHA)
<u>Cytokines:</u>	Interferon- $\alpha$
	Interferon- $\gamma$
	Tumor necrosis factor
<u>Others:</u>	
Isoprenylation inhibitors:	Lovastatin
Dopaminergic neurotoxins:	1-methyl-4-phenylpyridinium ion
Cell cycle inhibitors:	staurosporine
Actinomycines:	Actinomycin D
	Dactinomycin
Bleomycins:	bleomycin A2
	Bleomycin B2
	Peplomycin
Anthracyclines:	daunorubicin
	Doxorubicin (adriamycin)
	Idarubicin
	Epirubicin
	Pirarubicin
	Zorubicin
	Mitoxantrone
MDR inhibitors	verapamil
Ca <sup>2+</sup> ATPase inhibitors:	thapsigargin

In a specific embodiment, a composition of the invention further comprises one or more chemotherapeutic agents and/or is administered concurrently with radiation therapy. In another specific embodiment, chemotherapy or radiation therapy is administered prior or subsequent to administration of a present composition, preferably at least an hour, five  
5 hours, 12 hours, a day, a week, a month, more preferably several months (e.g., up to three months), subsequent to administration of a composition of the invention.

In other embodiments, the invention provides methods for treating or preventing cancer, comprising administering to an animal in need thereof an effective amount of a Compound of the Invention and a chemotherapeutic agent. In one embodiment the  
10 chemotherapeutic agent is that with which treatment of the cancer has not been found to be refractory. In another embodiment, the chemotherapeutic agent is that with which the treatment of cancer has been found to be refractory. The Compounds of the Invention can be administered to an animal that has also undergone surgery as treatment for the cancer.

In one embodiment, the additional method of treatment is radiation therapy.

15 In a specific embodiment, the Compound of the Invention is administered concurrently with the chemotherapeutic agent or with radiation therapy. In another specific embodiment, the chemotherapeutic agent or radiation therapy is administered prior or subsequent to administration of a Compound of the Invention, preferably at least an hour, five hours, 12 hours, a day, a week, a month, more preferably several months (e.g., up to  
20 three months), prior or subsequent to administration of a Compound of the Invention.

A chemotherapeutic agent can be administered over a series of sessions, any one or a combination of the chemotherapeutic agents listed in Table 3 can be administered. With respect to radiation, any radiation therapy protocol can be used depending upon the type of cancer to be treated. For example, but not by way of limitation, x-ray radiation can be  
25 administered; in particular, high-energy megavoltage (radiation of greater than 1 MeV energy) can be used for deep tumors, and electron beam and orthovoltage x-ray radiation can be used for skin cancers. Gamma-ray emitting radioisotopes, such as radioactive isotopes of radium, cobalt and other elements, can also be administered.

30 Additionally, the invention provides methods of treatment of cancer with a Compound of the Invention as an alternative to chemotherapy or radiation therapy where the chemotherapy or the radiation therapy has proven or can prove too toxic, e.g., results in unacceptable or unbearable side effects, for the subject being treated. The animal being treated can, optionally, be treated with another cancer treatment such as surgery, radiation

therapy or chemotherapy, depending on which treatment is found to be acceptable or bearable.

5 The Compounds of the Invention can also be used in an in vitro or ex vivo fashion, such as for the treatment of certain cancers, including, but not limited to leukemias and lymphomas, such treatment involving autologous stem cell transplants. This can involve a multi-step process in which the animal's autologous hematopoietic stem cells are harvested and purged of all cancer cells, the patient's remaining bone-marrow cell population is then eradicated via the administration of a high dose of a Compound of the Invention with or without accompanying high dose radiation therapy, and the stem cell graft is infused back  
10 into the animal. Supportive care is then provided while bone marrow function is restored and the animal recovers.

#### **5.4 Surgical Uses**

Cardiovascular diseases such as atherosclerosis often require surgical procedures such as angioplasty. Angioplasty is often accompanied by the placement of a reinforcing a  
15 metallic tube shaped structure known as a "stent" into a damaged coronary artery. For more serious conditions, open heart surgery such as coronary bypass surgery may be required. These surgical procedures entail using invasive surgical devices and/or implants, and are associated with a high risk of restenosis and thrombosis. Accordingly, the compounds and compositions of the invention may be used as coatings on surgical devices (e.g., catheters)  
20 and implants (e.g., stents) to reduce the risk of restenosis and thrombosis associated with invasive procedures used in the treatment of cardiovascular diseases.

#### **5.5 Veterinary and Livestock Uses**

A composition of the invention can be administered to a non-human animal for a veterinary use for treating or preventing a disease or disorder disclosed herein.

25 In a specific embodiment, the non-human animal is a household pet. In another specific embodiment, the non-human animal is a livestock animal. In a preferred embodiment, the non-human animal is a mammal, most preferably a cow, horse, sheep, pig, cat, dog, mouse, rat, rabbit, or guinea pig. In another preferred embodiment, the non-human animal is a fowl species, most preferably a chicken, turkey, duck, goose, or quail.

30 In addition to veterinary uses, the compounds and compositions of the invention can be used to reduce the fat content of livestock to produce leaner meats. Alternatively, the compounds and compositions of the invention can be used to reduce the cholesterol content of eggs by administering the compounds to a chicken, quail, or duck hen. For non-human



animal uses, the compounds and compositions of the invention can be administered via the animals' feed or orally as a drench composition.

## **5.6 Therapeutic/Prophylactic Administration and Compositions**

Due to the activity of the compounds and compositions of the invention, they are  
5 useful in veterinary and human medicine. As described above, the compounds and  
compositions of the invention are useful for the treatment or prevention of aging,  
Alzheimer's Disease, cancer, cardiovascular disease, diabetic nephropathy, diabetic  
retinopathy, a disorder of glucose metabolism, dyslipidemia, dyslipoproteinemia,  
hypertension, impotence, inflammation, insulin resistance, lipid elimination in bile,  
10 modulating C reactive protein, obesity, oxysterol elimination in bile, pancreatitis,  
Parkinson's disease, a peroxisome proliferator activated receptor-associated disorder,  
phospholipid elimination in bile, renal disease, septicemia, metabolic syndrome disorders  
(e.g., Syndrome X), a thrombotic disorder, enhancing bile production, enhancing reverse  
lipid transport, inflammatory processes and diseases like gastrointestinal disease, irritable  
15 bowel syndrome (IBS), inflammatory bowel disease (e.g., Crohn's Disease, ulcerative  
colitis), arthritis (e.g., rheumatoid arthritis, osteoarthritis), autoimmune disease (e.g.,  
systemic lupus erythematosus), scleroderma, ankylosing spondylitis, gout and pseudogout,  
muscle pain: polymyositis/polymyalgia rheumatica/fibrositis; infection and arthritis,  
juvenile rheumatoid arthritis, tendonitis, bursitis and other soft tissue rheumatism.

20 The invention provides methods of treatment and prophylaxis by administration to a  
patient of a therapeutically effective amount of a compound or a composition comprising a  
compound of the invention. The patient is an animal, including, but not limited, to an  
animal such a cow, horse, sheep, pig, chicken, turkey, quail, cat, dog, mouse, rat, rabbit,  
guinea pig, etc., and is more preferably a mammal, and most preferably a human.

25 The compounds and compositions of the invention, are preferably administered  
orally. The compounds and compositions of the invention may also be administered by any  
other convenient route, for example, by intravenous infusion or bolus injection, by  
absorption through epithelial or mucocutaneous linings (e.g., oral mucosa, rectal and  
intestinal mucosa, etc.) and may be administered together with another biologically active  
30 agent. Administration can be systemic or local. Various delivery systems are known, e.g.,  
encapsulation in liposomes, microparticles, microcapsules, capsules, etc., and can be used to  
administer a compound of the invention. In certain embodiments, more than one compound  
of the invention is administered to a patient. Methods of administration include but are not  
limited to intradermal, intramuscular, intraperitoneal, intravenous, subcutaneous, intranasal,

epidural, oral, sublingual, intranasal, intracerebral, intravaginal, transdermal, rectally, by inhalation, or topically, particularly to the ears, nose, eyes, or skin. The preferred mode of administration is left to the discretion of the practitioner, and will depend in-part upon the site of the medical condition. In most instances, administration will result in the release of the compounds of the invention into the bloodstream.

In specific embodiments, it may be desirable to administer one or more compounds of the invention locally to the area in need of treatment. This may be achieved, for example, and not by way of limitation, by local infusion during surgery, topical application, e.g., in conjunction with a wound dressing after surgery, by injection, by means of a catheter, by means of a suppository, or by means of an implant, said implant being of a porous, non-porous, or gelatinous material, including membranes, such as sialastic membranes, or fibers. In one embodiment, administration can be by direct injection at the site (or former site) of an atherosclerotic plaque tissue.

In certain embodiments, for example, for the treatment of Alzheimer's Disease, it may be desirable to introduce one or more compounds of the invention into the central nervous system by any suitable route, including intraventricular, intrathecal and epidural injection. Intraventricular injection may be facilitated by an intraventricular catheter, for example, attached to a reservoir, such as an Ommaya reservoir.

Pulmonary administration can also be employed, e.g., by use of an inhaler or nebulizer, and formulation with an aerosolizing agent, or via perfusion in a fluorocarbon or synthetic pulmonary surfactant. In certain embodiments, the compounds of the invention can be formulated as a suppository, with traditional binders and vehicles such as triglycerides.

In another embodiment, the compounds and compositions of the invention can be delivered in a vesicle, in particular a liposome (see Langer, 1990, *Science* 249:1527-1533; Treat et al., in *Liposomes in the Therapy of Infectious Disease and Cancer*, Lopez-Berestein and Fidler (eds.), Liss, New York, pp. 353-365 (1989); Lopez Berestein, *ibid.*, pp. 317-327; see generally *ibid.*).

In yet another embodiment, the compounds and compositions of the invention can be delivered in a controlled release system. In one embodiment, a pump may be used (see Langer, *supra*; Sefton, 1987, *CRC Crit. Rev. Biomed. Eng.* 14:201; Buchwald et al., 1980, *Surgery* 88:507; Saudek et al., 1989, *N. Engl. J. Med.* 321:574). In another embodiment, polymeric materials can be used (see *Medical Applications of Controlled Release*, Langer and Wise (eds.), CRC Pres., Boca Raton, Florida (1974); *Controlled Drug Bioavailability*,

Drug Product Design and Performance, Smolen and Ball (eds.), Wiley, New York (1984); Ranger and Peppas, 1983, J. Macromol. Sci. Rev. Macromol. Chem. 23:61; see also Levy et al., 1985, Science 228:190; During et al., 1989, Ann. Neurol. 25:351; Howard et al., 1989, J. Neurosurg. 71:105). In yet another embodiment, a controlled-release system can be placed in proximity of the target area to be treated, e.g., the liver, thus requiring only a fraction of the systemic dose (see, e.g., Goodson, in Medical Applications of Controlled Release, supra, vol. 2, pp. 115 138 (1984)). Other controlled-release systems discussed in the review by Langer, 1990, Science 249:1527 1533) may be used.

The present compositions will contain a therapeutically effective amount of a compound of the invention, optionally more than one compound of the invention, preferably in purified form, together with a suitable amount of a pharmaceutically acceptable vehicle so as to provide the form for proper administration to the patient.

In a specific embodiment, the term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly in humans. The term "vehicle" refers to a diluent, adjuvant, excipient, or carrier with which a compound of the invention is administered. Such pharmaceutical vehicles can be liquids, such as water and oils, including those of petroleum, animal, vegetable or synthetic origin, such as peanut oil, soybean oil, mineral oil, sesame oil and the like. The pharmaceutical vehicles can be saline, gum acacia, gelatin, starch paste, talc, keratin, colloidal silica, urea, and the like. In addition, auxiliary, stabilizing, thickening, lubricating and coloring agents may be used. When administered to a patient, the compounds and compositions of the invention and pharmaceutically acceptable vehicles are preferably sterile. Water is a preferred vehicle when the compound of the invention is administered intravenously. Saline solutions and aqueous dextrose and glycerol solutions can also be employed as liquid vehicles, particularly for injectable solutions. Suitable pharmaceutical vehicles also include excipients such as starch, glucose, lactose, sucrose, gelatin, malt, rice, flour, chalk, silica gel, sodium stearate, glycerol monostearate, talc, sodium chloride, dried skim milk, glycerol, propylene, glycol, water, ethanol and the like. The present compositions, if desired, can also contain minor amounts of wetting or emulsifying agents, or pH buffering agents.

The present compositions can take the form of solutions, suspensions, emulsion, tablets, pills, pellets, capsules, capsules containing liquids, powders, sustained-release formulations, suppositories, emulsions, aerosols, sprays, suspensions, or any other form

suitable for use. In one embodiment, the pharmaceutically acceptable vehicle is a capsule (see e.g., U.S. Patent No. 5,698,155). Other examples of suitable pharmaceutical vehicles are described in "Remington's Pharmaceutical Sciences" by E.W. Martin.

5 In a preferred embodiment, the compounds and compositions of the invention are formulated in accordance with routine procedures as a pharmaceutical composition adapted for intravenous administration to human beings. Typically, compounds and compositions of the invention for intravenous administration are solutions in sterile isotonic aqueous buffer. Where necessary, the compositions may also include a solubilizing agent. Compositions for intravenous administration may optionally include a local anesthetic such as lignocaine to ease pain at the site of the injection. Generally, the ingredients are supplied  
10 either separately or mixed together in unit dosage form, for example, as a dry lyophilized powder or water free concentrate in a hermetically sealed container such as an ampoule or sachette indicating the quantity of active agent. Where the compound of the invention is to be administered by intravenous infusion, it can be dispensed, for example, with an infusion  
15 bottle containing sterile pharmaceutical grade water or saline. Where the compound of the invention is administered by injection, an ampoule of sterile water for injection or saline can be provided so that the ingredients may be mixed prior to administration.

Compounds and compositions of the invention for oral delivery may be in the form of tablets, lozenges, aqueous or oily suspensions, granules, powders, emulsions, capsules,  
20 syrups, or elixirs. Compounds and compositions of the invention for oral delivery can also be formulated in foods and food mixes. Orally administered compositions may contain one or more optionally agents, for example, sweetening agents such as fructose, aspartame or saccharin; flavoring agents such as peppermint, oil of wintergreen, or cherry; coloring agents; and preserving agents, to provide a pharmaceutically palatable preparation. Moreover, where in tablet or pill form, the compositions may be coated to delay  
25 disintegration and absorption in the gastrointestinal tract thereby providing a sustained action over an extended period of time. Selectively permeable membranes surrounding an osmotically active driving compound are also suitable for orally administered compounds and compositions of the invention. In these later platforms, fluid from the environment  
30 surrounding the capsule is imbibed by the driving compound, which swells to displace the agent or agent composition through an aperture. These delivery platforms can provide an essentially zero order delivery profile as opposed to the spiked profiles of immediate release formulations. A time delay material such as glycerol monostearate or glycerol stearate may also be used. Oral compositions can include standard vehicles such as mannitol, lactose,

starch, magnesium stearate, sodium saccharine, cellulose, magnesium carbonate, etc. Such vehicles are preferably of pharmaceutical grade.

The amount of a compound of the invention that will be effective in the treatment of a particular disorder or condition disclosed herein will depend on the nature of the disorder or condition, and can be determined by standard clinical techniques. In addition, in vitro or in vivo assays may optionally be employed to help identify optimal dosage ranges. The precise dose to be employed in the compositions will also depend on the route of administration, and the seriousness of the disease or disorder, and should be decided according to the judgment of the practitioner and each patient's circumstances. However, suitable dosage ranges for oral administration are generally about 0.001 milligram to 2000 milligrams of a compound of the invention per kilogram body weight. In specific preferred embodiments of the invention, the oral dose is 0.01 milligram to 1000 milligrams per kilogram body weight, more preferably 0.1 milligram to 100 milligrams per kilogram body weight, more preferably 0.5 milligram to 25 milligrams per kilogram body weight, and yet more preferably 1 milligram to 10 milligrams per kilogram body weight. In a most preferred embodiment, the oral dose is 5 milligrams of a compound of the invention per kilogram body weight. The dosage amounts described herein refer to total amounts administered; that is, if more than one compound of the invention is administered, the preferred dosages correspond to the total amount of the compounds of the invention administered. Oral compositions preferably contain 10% to 95% active ingredient by weight..

Suitable dosage ranges for intravenous (i.v.) administration are 0.01 milligram to 1000 milligrams per kilogram body weight, 0.1 milligram to 350 milligrams per kilogram body weight, and 1 milligram to 100 milligrams per kilogram body weight. Suitable dosage ranges for intranasal administration are generally about 0.01 pg/kg body weight to 1 mg/kg body weight. Suppositories generally contain 0.01 milligram to 50 milligrams of a compound of the invention per kilogram body weight and comprise active ingredient in the range of 0.5% to 10% by weight. Recommended dosages for intradermal, intramuscular, intraperitoneal, subcutaneous, epidural, sublingual, intracerebral, intravaginal, transdermal administration or administration by inhalation are in the range of 0.001 milligram to 200 milligrams per kilogram of body weight. Suitable doses of the compounds of the invention for topical administration are in the range of 0.001 milligram to 1 milligram, depending on the area to which the compound is administered. Effective doses may be extrapolated from

dose-response curves derived from in vitro or animal model test systems. Such animal models and systems are well known in the art.

The invention also provides pharmaceutical packs or kits comprising one or more containers filled with one or more compounds of the invention. Optionally associated with such container(s) can be a notice in the form prescribed by a governmental agency regulating the manufacture, use or sale of pharmaceuticals or biological products, which notice reflects approval by the agency of manufacture, use or sale for human administration. In a certain embodiment, the kit contains more than one compound of the invention. In another embodiment, the kit comprises a compound of the invention and another lipid-mediating compound, including but not limited to a statin, a thiazolidinedione, or a fibrate.

The compounds of the invention are preferably assayed in vitro and in vivo, for the desired therapeutic or prophylactic activity, prior to use in humans. For example, in vitro assays can be used to determine whether administration of a specific compound of the invention or a combination of compounds of the invention is preferred for lowering fatty acid synthesis. The compounds and compositions of the invention may also be demonstrated to be effective and safe using animal model systems.

Other methods will be known to the skilled artisan and are within the scope of the invention.

The following examples are provided by way of illustration and not limitation.

## 6. SYNTHETIC EXAMPLES

### 6.1 2,2,12,12-Tetramethyltridecane-1,7,13-triol

Under nitrogen atmosphere, to a suspension of lithium borohydride (2.65 g, 122 mmol) in dichloromethane (60 mL) was added methanol (4.0 g, 125 mmol) dropwise at room temperature over 30 min. The reaction mixture was heated at reflux and 2,2,12,12-tetramethyl-7-oxo-tridecanedioic acid diethyl ester (10.0 g, 27 mmol) was introduced. Heating at reflux temperature was continued overnight. The reaction mixture was cooled to room temperature and hydrolyzed with saturated ammonium chloride solution (100 mL). The layers were separated and the aqueous layer was extracted with dichloromethane (3 x 50 mL). The combined organic layers were washed with 2 N hydrochloric acid (100 mL) and saturated sodium chloride solution (100 mL), dried over anhydrous sodium sulfate and concentrated in vacuo to afford the crude product. The crude compound was purified by chromatography on silica (hexanes : ethyl acetate = 40 : 60) to yield the pure product (5.8 g, 74 %) as a white solid. M.p.: 72 – 74 °C. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 3.58

(br. m, 1 H), 3.30 (s, 4 H), 1.80 - 1.64 (m, 3 H), 1.56 - 1.15 (m, 16 H), 0.86 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 71.87, 71.72, 38.71, 37.46, 35.11, 26.66, 24.18, 24.05, 23.97. HRMS (LSIMS, gly): Calcd. for C<sub>17</sub>H<sub>37</sub>O<sub>3</sub> (MH<sup>+</sup>): 289.2743, found: 289.2756. HPLC: 90.6 % purity.

5                      **6.2     2,2-Bis[5,5-dimethyl-6-(tetrahydropyran-2-yloxy)-hexyl]malonic acid diethyl ester**

Under nitrogen atmosphere, to a solution of 2-(6-bromo-2,2-dimethylhexyloxy)-tetrahydropyran (17.6 g, 60 mmol) and diethyl malonate (4.8 g, 30 mmol) in anhydrous dimethyl sulfoxide (145 mL) was added sodium hydride (60 % dispersion in mineral oil, 2.9 g, 72 mmol) under cooling with a water bath. Tetra-n-butylammonium iodide (2.1 g, 3.6 mmol) was added and the mixture was stirred for 16 h at room temperature. The reaction mixture was carefully hydrolyzed with water (140 mL) under cooling with a water bath. The mixture was extracted with diethyl ether (3 × 60 mL). The combined organic layers were washed with water (4 × 50 mL) and brine (50 mL), dried over sodium sulfate, and concentrated in vacuo, affording 2,2-bis[5,5-dimethyl-6-(tetrahydropyran-2-yloxy)-hexyl]malonic acid diethyl ester (17.3 g, 82 %) as an oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.41 (t, 2 H, J = 3.1 Hz), 4.01 (q, 4 H, J = 7.0 Hz), 3.82 - 3.70 (m, 2 H), 3.50 - 3.30 (m, 4 H), 2.87 (d, 2 H, J = 9.1 Hz), 1.80 - 1.35 (m, 16 H), 1.30 - 0.95 (m, 18 H), 0.88 - 0.74 (m, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 172.0, 99.1, 76.6, 61.9, 60.9, 57.6, 39.2, 34.3, 32.3, 30.7, 25.7, 25.0, 24.6, 24.6, 24.3, 19.5, 14.2.

**6.3     2,2-Bis(6-hydroxy-5,5-dimethylhexyl)malonic acid diethyl ester**

A solution of 2,2-bis[5,5-dimethyl-6-(tetrahydropyran-2-yloxy)-hexyl]malonic acid diethyl ester (2.92 g, 5.0 mmol) in concentrated hydrochloric acid (2.4 mL) and water (1.6 mL) was heated at reflux for 1 h. Ethanol (8.2 mL) was added and the reaction mixture was heated at reflux for 3 h. The reaction mixture was diluted with water (20 mL) and extracted with diethyl ether (3 × 20 mL). The combined organic layers were washed with water (20 mL) and brine (20 mL), dried over sodium sulfate, and concentrated in vacuo to afford 2,2-bis(6-hydroxy-5,5-dimethylhexyl)malonic acid diethyl ester (1.74 g, 84 %) as an oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.13 (q, 4 H, J = 7.2 Hz), 3.25 (s, 4 H), 2.42 (s, 2 H), 1.90 - 1.75 (m, 4 H), 1.30 - 1.12 (m, 18 H), 0.84 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 172.0, 71.7, 60.9, 57.4, 38.2, 34.9, 32.1, 24.8, 24.0, 23.7, 14.0. HRMS (LSIMS, gly): Calcd. for C<sub>23</sub>H<sub>45</sub>O<sub>6</sub> (MH<sup>+</sup>): 417.3216, found: 417.3210.

#### 6.4 2,2-Bis(6-hydroxy-5,5-dimethylhexyl)malonic acid

To a stirred solution of potassium hydroxide (4.83 g, 75 mmol) in water (4.2 mL) and ethanol (15 mL) was added 2,2-bis(6-hydroxy-5,5-dimethylhexyl)malonic acid diethyl ester (15.0 g). The reaction mixture was heated at reflux for 14 h. The ethanol was removed under reduced pressure and the aqueous solution was extracted with chloroform (2 x 50 mL). The aqueous layer was acidified with hydrochloric acid to pH 1 and extracted with diethyl ether (3 x 50 mL). The ethereal phases were dried over magnesium sulfate and concentrated in vacuo to yield 2,2-bis(6-hydroxy-5,5-dimethylhexyl)malonic acid (7.8 g, 82 %) as a yellow solid. M.P.: 178 – 180 °C. <sup>1</sup>H NMR (300 MHz, CD<sub>3</sub>OD/TMS): δ (ppm): 4.86 (s br., 4 H), 3.22 (s, 4 H), 1.9 - 1.8 (m, 4 H), 1.36 - 1.10 (m, 12 H), 0.84 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CD<sub>3</sub>OD/TMS): δ (ppm): 176.0, 72.0, 58.7, 39.8, 36.0, 34.1, 26.5, 25.5, 24.5. HRMS (LSIMS, gly): Calcd. for C<sub>19</sub>H<sub>37</sub>O<sub>6</sub> (MH<sup>+</sup>): 361.2590, found: 361.2582.

#### 6.5 8-Hydroxy-2-(6-hydroxy-5,5-dimethylhexyl)-7,7-dimethyloctanoic acid

Using an oil-bath, 2,2-bis(6-hydroxy-5,5-dimethylhexyl)malonic acid was heated to 200 °C for 30 min until the effervescence ceased. The product (4.04 g, 98 %) was obtained as an oil. <sup>1</sup>H NMR (300 MHz, CD<sub>3</sub>OD/TMS): δ (ppm): 4.88 (s br., 3 H), 3.22 (s, 4 H), 2.29 (m, 1 H), 1.70 - 1.40 (m, 4 H), 1.4 - 1.1 (m, 12 H), 0.84 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CD<sub>3</sub>OD/TMS): δ (ppm): 180.5, 72.1, 47.1, 39.9, 36.0, 33.8, 29.7, 25.0, 24.6. HRMS (LSIMS, gly): Calcd. for C<sub>18</sub>H<sub>37</sub>O<sub>4</sub> (MH<sup>+</sup>): 317.2692, found: 317.2689.

#### 6.6 7-Hydroxymethyl-2,2,12,12-tetramethyltridecane-1,13-diol

Under nitrogen atmosphere, to a solution of lithium aluminum hydride (1.09 g, 28.8 mmol) in anhydrous THF (100 mL) was added dropwise a solution of 8-hydroxy-2-(6-hydroxy-5,5-dimethylhexyl)-7,7-dimethyloctanoic acid (3.64 g, 11.5 mmol) in THF (40 mL) at room temperature. The reaction mixture was heated at reflux for 5 h and kept at room temperature overnight. Water (100 mL) was added carefully to the reaction mixture under cooling with a water bath. The pH was adjusted to 1 with 2 N hydrochloric acid. The product was extracted with diethyl ether (3 x 60 mL). The combined organic layers were washed with water (2 x 50 mL) and brine (50 mL). The ethereal solution was dried over sodium sulfate and concentrated in vacuo to furnish the crude product (3.2 g), which was purified by chromatography on silica (hexanes : ethyl acetate = 50 : 50) to yield 7-hydroxymethyl-2,2,12,12-tetramethyltridecane-1,13-diol (3.0 g, 86 %) as a yellow oil. <sup>1</sup>H NMR (300 MHz, CD<sub>3</sub>OD/TMS): δ (ppm): 4.88 (s, 3 H), 3.44 (d, 2 H, J = 4.8 Hz), 3.23 (s, 4 H), 1.5 - 1.1 (m, 17 H), 0.85 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CD<sub>3</sub>OD/TMS): δ (ppm): 72.0,



65.7, 41.7, 40.0, 36.0, 32.2, 29.0, 25.4, 24.7, 24.6. HRMS (LSIMS, gly): Calcd. for  $C_{18}H_{39}O_3$  (MH<sup>+</sup>): 303.2899, found 303.2901. HPLC: 94.6 % purity.

#### 6.7 7-Hydroxy-2,2,12,12-tetramethyltridecanedioic acid diethyl ester

7-Oxo-2,2,12,12-tetramethyltridecanedioic acid diethyl ester (9.2 g, 25 mmol) was dissolved in methanol (200 mL) and the solution was cooled in an ice-water bath. Sodium borohydride (0.95 g, 25 mmol) was added. After 2 h, another portion of sodium borohydride (0.95 g, 25 mmol) was added and stirring was continued for 2 h. The reaction mixture was hydrolyzed with water (200 mL). The aqueous solution was extracted with dichloromethane (3 x 150 mL). The combined organic layers were dried over magnesium sulfate and concentrated in vacuo to give the product (8.5 g, 92 %) as an oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.11 (q, 4 H, J = 7.0 Hz), 3.60 - 3.50 (m, 1 H), 1.66 - 1.32 (m, 11 H), 1.24 (pseudo-t, 12 H, J = 7.0 Hz), 1.15 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 178.0, 71.7, 60.1, 42.1, 40.6, 37.3, 26.0, 25.1, 24.9, 14.2. HRMS (LSIMS, nba): Calcd. for  $C_{21}H_{41}O_3$  (MH<sup>+</sup>): 373.2954, found: 373.2936. HPLC: 88.90 % purity.

#### 6.8 7-Hydroxy-2,2,12,12-tetramethyltridecanedioic acid

To a homogeneous solution of potassium hydroxide (3.45 g, 61 mmol) in water (3.3 mL) and ethanol (11.1 mL) was added 7-hydroxy-2,2,12,12-tetramethyltridecanedioic acid diethyl ester (8.2 g, 22 mmol) and the mixture was heated at reflux for 4 h. The mixture was concentrated in vacuo and the residue was extracted with diethyl ether (3 x 50 mL). The water layer was acidified with concentrated hydrochloric acid (6 mL) to pH 1. The product was extracted with diethyl ether (3 x 100 mL). The combined organic layers were dried over sodium sulfate and concentrated in vacuo. The crude product was purified by column chromatography (silica, dichloromethane : methanol = 90 : 10) to give the pure product (6.6 g, 95 %) as a colorless oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 8.10 (br., 3 H), 3.58 (br., 1 H), 1.62 - 1.22 (m, 16 H), 1.18 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 184.3, 71.8, 42.1, 40.5, 36.9, 25.9, 25.0, 24.9. HRMS (LSIMS, gly): Calcd. for  $C_{17}H_{33}O_5$  (MH<sup>+</sup>): 317.2328; found 317.2330. HPLC: 90.4 % purity.

#### 6.9 2,2,12,12-Tetramethyl-7-methylene-tridecanedioic acid diethyl ester

Under nitrogen atmosphere, a solution of phenyllithium (in diethyl ether : cyclohexane = 30 : 70, 7.06 mL, 1.8 M, 12.7 mmol) was added dropwise over 10 min to a solution of methyltriphenylphosphonium iodide (5.52 g, 13.3 mmol) in anhydrous THF (40

mL) at room temperature. The reaction mixture was stirred at room temperature for 30 min, before 2,2,12,12-tetramethyl-7-oxo-tridecanedioic acid diethyl ester (4.5 g, 12.2 mmol) was added and the reaction mixture was stirred for 5 h at 50 °C. The resulting light-orange mixture was quenched by adding methanol (0.3 mL), and most of the solvent was removed on a rotary evaporator. The residue was purified by chromatography on silica (hexanes : ethyl acetate = 95 : 5) to furnish the product (2.1 g, 47 %) as an oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.67 (s, 2 H), 4.10 (q, 4 H, J = 7.3 Hz), 1.97 (t, 4 H, J = 7.6 Hz), 1.6 - 1.3 (m, 8 H), 1.30 - 1.15 (m, 10 H), 1.15 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 178.0, 149.6, 108.6, 60.1, 42.1, 40.6, 35.8, 28.2, 25.1, 24.7, 14.2. HRMS (LSIMS, nba): Calcd. for C<sub>22</sub>H<sub>41</sub>O<sub>4</sub> (MH<sup>+</sup>): 369.3004, found 369.3009.

#### 6.10 7-Hydroxymethyl-2,2,12,12-tetramethyltridecanedioic acid diethyl ester

Into a stirred solution of 2,2,12,12-tetramethyl-7-methylene-tridecanedioic acid diethyl ester (3.7 g, 10 mmol) in anhydrous THF (50 mL) was added borane-methyl sulfide complex (2.0 M in THF, 6 mL, 12 mmol) at room temperature and the solution was stirred for 6 h under argon atmosphere. Hydrogen peroxide (50 wt. % solution in water, 9 mL, 144 mmol) and an aqueous solution of sodium hydroxide (30 mL, 2.5 M, 75 mmol) were slowly introduced at 0 – 5 °C. The reaction mixture was stirred for an additional h at room temperature and then extracted with dichloromethane (3 x 100 mL). The combined organic layers were dried over sodium sulfate, filtered, evaporated, and purified by column chromatography on silica (hexanes : ethyl acetate = 95 : 5, then 90 : 10) to furnish the product (2.9 g, 77 %) as an oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.11 (q, 4 H, J = 7.0 Hz), 3.51 (d, 2 H, J = 5.4 Hz), 1.60 - 1.16 (m, 18 H), 1.25 (t, 6 H, J = 7.0 Hz), 1.15 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 178.0, 65.3, 60.1, 42.0, 40.6, 40.4, 30.7, 27.2, 25.4, 25.1, 14.2. HRMS (LSIMS, nba): Calcd. for C<sub>22</sub>H<sub>43</sub>O<sub>5</sub> (MH<sup>+</sup>): 387.3110, found 387.3108.

#### 6.11 7-Hydroxymethyl-2,2,12,12-tetramethyltridecanedioic acid

To a homogeneous solution of potassium hydroxide (1.18 g, 21 mmol) in water (1.12 mL) and ethanol (3.8 mL) was added 7-hydroxymethyl-2,2,12,12-tetramethyltridecanedioic acid diethyl ester (2.9 g, 7.5 mmol) and the reaction mixture was heated at reflux for 4 h. The mixture was concentrated in vacuo, cooled to room temperature, and the residue was extracted with diethyl ether (2 x 50 mL). The pH of the aqueous layer was adjusted to 1 by addition of hydrochloric acid. The product was extracted with diethyl ether (3 x 50 mL). The combined organic layers were dried over sodium sulfate and concentrated in vacuo to afford the crude product which was purified by column

chromatography on silica (hexanes : ethyl acetate = 60 : 40) to yield 7-hydroxymethyl-2,2,12,12-tetramethyltridecanedioic acid (2.0 g, 81 %) as an oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.64 (br., 3 H), 3.50 (d, 2 H, J = 4.4 Hz), 1.60 - 1.20 (m, 17 H), 1.16 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 184.3, 65.2, 42.1, 40.5, 40.1, 30.6, 27.1, 25.2, 25.0. HRMS (LSIMS, nba): Calcd. for C<sub>18</sub>H<sub>35</sub>O<sub>5</sub> (MH<sup>+</sup>): 331.2484, found 331.2484.

#### 6.12 7-(1-Hydroxy-1-methylethyl)-2,2,12,12-tetramethyltridecane-1,13-diol

A solution of 8-hydroxy-2-(6-hydroxy-5,5-dimethylhexyl)-7,7-dimethyloctanoic acid (1.0 g, 3.16 mmol) in THF (40 mL) was cooled in an ice-water bath and methyl lithium (1.4 M in diethyl ether, 27 mL, 37.8 mmol) was added in one portion. The reaction mixture was stirred for 2 h at 0 °C, then poured into dilute hydrochloric acid (5 mL concentrated hydrochloric acid/60 mL water). The organic layer was separated and the aqueous layer was extracted with diethyl ether (2 x 50 mL). The combined organic layers were dried over sodium sulfate and concentrated in vacuo to get the crude product (1.0 g). The crude product was purified by column chromatography on silica (hexanes : ethyl acetate = 80 : 20, then 50 : 50) to give 7-(1-hydroxy-1-methylethyl)-2,2,12,12-tetramethyltridecane-1,13-diol (0.40 g, 38 %) as a white solid (together with 7-acetyl-2,2,12,12-tetramethyltridecan-1,13-diol, 0.41 g, 41 %). M.p.: 72 - 74 °C. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 3.24 (s, 4 H), 2.59 (br., 3 H), 1.55 - 0.95 (m, 23 H), 0.81 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 74.0, 71.5, 49.6, 38.4, 34.9, 31.2, 30.3, 27.1, 24.3, 23.9, 23.8. HRMS (LSIMS, gly): Calcd. for C<sub>20</sub>H<sub>43</sub>O<sub>3</sub> (MH<sup>+</sup>): 331.3212, found: 331.3205. HPLC: 96.4 % purity.

#### 6.13 7-Bromo-2,2-dimethylheptanoic acid ethyl ester

Under argon atmosphere and cooling with an ice-bath, a solution of lithium diisopropylamide in THF (1.7 L, 2.0 M, 3.4 mol) was slowly dropped into a solution of 1,5-dibromopentane (950 g, 4.0 mol) and ethyl isobutyrate (396 g, 3.4 mol) in THF (5 L) while keeping the temperature below +5 °C. The reaction mixture was stirred at room temperature for 20 h and quenched by slow addition of saturated ammonium chloride solution (3 L). The resulting solution was divided into three 4-L portions. Each portion was diluted with saturated ammonium chloride solution (5 L) and extracted with ethyl acetate (2 x 2 L). Each 4-L portion of ethyl acetate was washed with saturated sodium chloride solution (2 L), 1 N hydrochloric acid (2 L), saturated sodium chloride solution (2 L), saturated sodium bicarbonate solution (2 L), and saturated sodium chloride solution (2 L). The three separate ethyl acetate layers were combined into a single 12-L portion, dried over

magnesium sulfate, and concentrated in vacuo to give the crude material (1.7 L) which was purified by vacuum distillation. Two fractions were obtained: the first boiling at 88 – 104 °C / 0.6 torr (184.2 g), the second at 105 – 120 °C / 1.4 torr (409.6 g) for a total yield of 60 %. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.11 (q, 2 H, J = 7.2 Hz), 3.39 (t, 2 H, J = 6.8 Hz), 1.85 (m, 2 H), 1.56 - 1.35 (m, 4 H), 1.24 (t, 3 H, J = 7.2 Hz), 1.31 - 1.19 (m, 2 H), 1.16 (s, 6 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 177.9, 60.2, 42.1, 40.5, 33.8, 32.6, 28.6, 25.2, 24.2, 14.3. HRMS (EI, pos): Calcd. for C<sub>11</sub>H<sub>22</sub>BrO<sub>2</sub> (MH<sup>+</sup>): 265.0803, found: 265.0810.

#### 6.14 7-Bromo-2,2-dimethylheptan-1-ol

Under Ar atmosphere, to a stirred suspension solution of LiBH<sub>4</sub> (5.55 g, 95 %, 0.24 mol) in dichloromethane (80 mL) was added dropwise methanol (9.8 mL, 0.24 mol), keeping a gentle reflux while hydrogen gas was formed. The mixture was stirred for 30 min at 45 °C. To this solution was added dropwise a solution of 7-bromo-2,2-dimethylheptanoic acid ethyl ester (43 g, 0.15 mol) in dichloromethane (120 mL) at such a rate as to maintain a gentle reflux. The reaction mixture was heated at reflux for 20 h, cooled to room temperature and carefully hydrolyzed with 6 N hydrochloric acid (30 mL) and saturated ammonium chloride solution (360 mL). The aqueous layer was extracted with dichloromethane (3 x 50 mL). The combined organic layers were washed with water (2 x 100 mL) and dried over anhydrous MgSO<sub>4</sub>. The reaction mixture was evaporated to yield crude 7-bromo-2,2-dimethylheptan-1-ol (36.2 g, 88 %) as a colorless, viscous oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 3.41 (t, 2 H, J = 6.9 Hz), 3.30 (br. s, 2 H), 1.90 - 1.84 (m, 3 H), 1.42 - 1.22 (m, 6 H), 0.86 (s, 6 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 71.9, 38.6, 35.1, 34.1, 32.9, 29.2, 24.0, 23.2. HRMS (LSIMS, nba): Calcd. for C<sub>9</sub>H<sub>18</sub>Br (MH<sup>+</sup>-H<sub>2</sub>O): 205.0592, found: 205.0563.

#### 6.15 2-(7-Bromo-2,2-dimethylheptyloxy)-tetrahydropyran

To a solution of 7-bromo-2,2-dimethylheptan-1-ol (36.0 g, 133.0 mmol) in dichloromethane (60 mL) was added p-toluenesulfonic acid (0.28 g, 1.3 mmol) and 3,4-dihydro-2H-pyran (18.54 g, 213 mmol) at 5 - 10 °C under cooling with an ice-water bath. The mixture was stirred and allowed to warm to room temperature overnight. The reaction solution was filtered through neutral alumina (200 g), which was rinsed with dichloromethane (500 mL). Concentration of the solvent gave the crude product as a brown oil, which was subjected to column chromatography on silica gel (240 g) using hexanes : ethyl acetate (50 : 1) as eluent to yield 2-(7-bromo-2,2-dimethylheptyloxy)-tetrahydropyran as a colorless oil (23.0 g, 48 %). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.54 (t, 1 H, J

= 3.0 Hz), 3.84 (m, 1 H), 3.51 - 3.39 (m, 4 H), 2.98 (d, 1 H, J = 9.3 Hz), 1.89 - 1.80 (m, 3 H), 1.70 - 1.40 (m, 7 H), 1.29 - 1.22 (m, 4 H), 0.89 (s, 6 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 99.3, 76.6, 62.1, 39.3, 34.3, 34.2, 33.0, 30.8, 29.2, 25.7, 24.7, 23.2, 19.6. HRMS (LSIMS, nba): Calcd. for C<sub>14</sub>H<sub>27</sub>BrO<sub>2</sub>: 307.1272, found: 307.1245.

#### 5                      **6.16    8-Oxo-2,2,14,14-tetramethylpentadecane-1,15-diol**

Under nitrogen atmosphere, to a solution of 2-(7-bromo-2,2-dimethylheptyloxy)-tetrahydropyran (26.0 g, 39.4 mmol), tetra-n-butylammonium iodide (3.0g, 8.1 mmol) and p-toluenesulfonyl methyl isocyanide (7.80g, 39.4 mmol) in anhydrous DMSO (200 mL) was added sodium hydride (3.80 g, 20.5 mmol, 60 % dispersion in mineral oil) in portions at 5 - 10 °C. The reaction mixture was stirred at room temperature for 20 h and quenched with ice-water (400 mL). The product was extracted with diethyl ether (3 × 100 mL). The combined organic layers were washed with water (200 mL) and saturated sodium chloride solution (2 x 200 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuo to get crude 2-[8-isocyano-2,2,14,14-tetramethyl-15-(tetrahydropyran-2-yloxy)-8-(toluene-4-sulfonyl)-pentadecyloxy]-tetrahydropyran (28.2 g) as an orange oil, which was used without purification. A solution of this crude product (28.0 g) and 48 % sulfuric acid (46 g, from 12 mL of concentrated sulfuric acid and 24 mL of water) in methanol (115 mL) was stirred for 80 min at room temperature. The solution was diluted with ice-water (120 mL). The aqueous layer was extracted with dichloromethane (3 x 100 mL). The combined organic layers were washed with saturated Na<sub>2</sub>CO<sub>3</sub> solution (2 x 150 mL) and saturated NaCl solution (150 mL). The organic solution was dried over MgSO<sub>4</sub> and concentrated in vacuo. The residue was purified by column chromatography (silica gel, hexanes : ethyl acetate = 2 : 1) to give 8-oxo-2,2,14,14-tetramethylpentadecane-1,15-diol (9.97 g, 80 % over two steps) as a colorless oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 3.30 (s, 4 H), 2.39 (t, 4 H, J = 7.2 Hz), 2.07 (br. s, 2 H), 1.60 - 1.55 (m, 4 H), 1.28 - 1.17 (m, 12 H), 0.85 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 212.0, 72.0, 43.0, 38.6, 35.2, 30.3, 24.0, 23.8. HRMS (LSIMS, gly): Calcd. for C<sub>19</sub>H<sub>39</sub>O<sub>3</sub> (MH<sup>+</sup>): 315.2899, found: 315.2886. HPLC: 94.7 % purity.

#### 30                      **6.17    2,2,14,14-Tetramethylpentadecane-1,8,15-triol**

Under nitrogen atmosphere, a solution of 8-oxo-2,2,14,14-tetramethylpentadecane-1,15-diol (0.9 g, 2.5 mmol) in iso-propanol (10 mL) was added dropwise to a stirred suspension of sodium borohydride (0.1 g, 2.7 mmol) in iso-propanol (10 mL) at room temperature. The reaction progress was monitored by thin layer chromatography (silica, hexanes : ethyl acetate = 1 : 1). Additional sodium borohydride was

added after each hour (0.36 g, 10 mmol, six times). The reaction mixture was stirred for additional 20 h, hydrolyzed with water (10 mL), acidified with 1 N hydrochloric acid (25 mL) to pH 1, and extracted with dichloromethane (4 x 15 mL). The combined organic phases were washed with saturated sodium chloride solution (15 mL), dried over

5 magnesium sulfate, and concentrated in vacuo to furnish the crude product (1.0 g) as a white solid in oil, which was purified by column chromatography (silica; hexanes, then hexanes : ethyl acetate = 2 : 1 to 1 : 2) to give the pure product (0.35 g, 43 %) as nice white crystals. M.p.: 71 – 75 °C. <sup>1</sup>H NMR (300 MHz, CD<sub>3</sub>COCD<sub>3</sub>/CD<sub>3</sub>OD/TMS): δ (ppm): 4.32 - 4.03 (m, 3 H), 3.52 (s, 1 H), 3.22 (s, 4 H), 1.63 - 1.20 (m, 20 H), 0.83 (s, 12 H). <sup>13</sup>C NMR (75 MHz, 10 CD<sub>3</sub>COCD<sub>3</sub>/CD<sub>3</sub>OD/TMS): δ (ppm): 72.0, 71.7, 39.8, 38.4, 35.8, 31.8, 26.7, 24.8, 24.6. HRMS (LSIMS, gly): Calcd. for C<sub>19</sub>H<sub>41</sub>O<sub>3</sub> (MH<sup>+</sup>): 317.3056, found: 317.3026. HPLC: 97.1 % purity.

#### 6.18 2,2,14,14-Tetramethyl-8-oxo-pentadecanedioic acid diethyl ester

Under Ar atmosphere, to a solution of 7-bromo-2,2-dimethylheptanoic acid 15 ethyl ester (26.50 g, 100 mmol), tetra-n-butylammonium iodide (3.69 g, 10 mmol) and p-toluenesulfonyl methyl isocyanide (9.80 g, 50 mmol) in anhydrous DMSO (300 mL) was added sodium hydride (4.80 g, 20.5 mmol, 60 % dispersion in mineral oil) at 5 - 10 oC. The reaction mixture was stirred at room temperature for 20 h and quenched with ice-water (300 mL). The product was extracted with dichloromethane (3 x 100 mL). The combined 20 organic layers were washed with water (200 mL), half-saturated NaCl solution (2 x 200 mL), and saturated NaCl solution (200 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuo to get the crude 8-isocyano-2,2,14,14-tetramethyl-8-(toluene-4-sulfonyl)-pentadecanedioic acid diethyl ester (36.8 g) as an orange oil, which was used in the next step without purification. To a solution of this crude product (36.8 g) in dichloromethane (450 mL) was 25 added concentrated hydrochloric acid (110 mL) and the mixture was stirred at room temperature for 1 h. The solution was diluted with water (400 mL) and the aqueous layer was extracted with dichloromethane (200 mL). The combined organic layers were washed with saturated NaHCO<sub>3</sub> solution (2 x 150 mL) and saturated NaCl solution (150 mL). The organic solution was dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated in vacuo. The residue was 30 subjected to column chromatography (silica gel, hexanes : ethyl acetate = 11 : 1) to give 2,2,14,14-tetramethyl-8-oxo-pentadecanedioic acid diethyl ester (12.20 g, 66 % over two steps) as a colorless oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.11 (q, 4 H, J = 6.9 Hz), 2.37 (t, 4 H, J = 7.5 Hz), 1.58 - 1.47 (m, 8 H), 1.35 - 1.10 (m, 8 H), 1.24 (t, 6 H, J = 7.2 Hz), 1.15 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 211.6, 178.3, 60.5, 43.1,

42.5, 40.9, 30.1, 25.5, 25.1, 24.1, 14.7. HRMS (LSIMS, nba): Calcd. for  $C_{23}H_{43}O_5$  (MH<sup>+</sup>): 399.3110, found: 399.3129.

#### 6.19 8-Oxo-2,2,14,14-tetramethylpentadecanedioic acid

A solution of KOH (25 g) in water (50 mL) was added to a solution of  
5 2,2,14,14-tetramethyl-8-oxo-pentadecanedioic acid diethyl ester (10.69 g, 155 mmol) in ethanol (400 mL), then heated at reflux for 4 h. After cooling, the solution was evaporated to a volume of ca. 50 mL and diluted with water (800 mL). The organic impurities were removed by extracting with dichloromethane (2 x 200 mL). The aqueous layer was acidified to pH 2 with concentrated hydrochloric acid (50 mL) and extracted with methyl tert.-butyl  
10 ether (MTBE, 3 x 200 mL). The combined organic layers were dried over magnesium sulfate and concentrated in vacuo to give the crude product (9.51 g) as an oil. Crystallization from hexanes / MTBE (50 mL : 25 mL) afforded 8-oxo-2,2,14,14-tetramethylpentadecanedioic acid (6.92 g, 79 %) as waxy, white crystals. M.p.: 83 - 84 °C. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 12.03 (s, 2 H), 2.37 (t, 4 H, J = 7.3 Hz), 1.52 –  
15 1.34 (m, 8 H), 1.28 – 1.10 (m, 8 H), 1.06 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 210.5, 178.8, 41.7, 41.2, 29.1, 25.0, 24.4, 23.1. HRMS (LSIMS, gly): Calcd. for  $C_{19}H_{35}O_5$  (MH<sup>+</sup>): 343.2484, found: 343.2485.

#### 6.20 8-Hydroxy-2,2,14,14-tetramethylpentadecanedioic acid

Under nitrogen atmosphere, sodium borohydride (0.06 g, 1.6 mmol) was  
20 added to a stirred solution of 8-oxo-2,2,14,14-tetramethylpentadecanedioic acid (1.18 g, 3.4 mmol) in methanol (50 mL) at 0 °C. The reaction progress was monitored by thin layer chromatography (silica; hexanes : ethyl acetate = 50 : 50). Additional sodium borohydride was added after 1 h (0.48 g, 13 mmol). After 8 h, the reaction mixture was hydrolyzed with water (50 mL) and acidified with concentrated hydrochloric acid (3 mL) to pH 1. The  
25 solution was diluted with water (50 mL) and extracted with dichloromethane (4 x 25 mL). The combined organic layers were washed with saturated sodium chloride solution (2 x 30 mL), dried over magnesium sulfate, concentrated in vacuo, and dried in high vacuo to give 8-hydroxy-2,2,14,14-tetramethylpentadecanedioic acid (0.7 g, 60 %) as a very viscous oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.42 (br. s, 3 H), 3.59 (br. s, 1 H), 1.65 - 1.00  
30 (m, 20 H), 1.18 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 184.5, 71.8, 42.1, 40.5, 37.0, 29.8, 25.2, 25.1, 24.9, 24.8. HRMS (FAB): Calcd. for  $C_{19}H_{37}O_5$  (MH<sup>+</sup>): 345.2635, found: 345.2646. HPLC: 83.8 % purity.

### 6.21 7-Isocyano-2,2-dimethyl-7-(toluene-4-sulfonyl)-heptanoic acid ethyl ester

Under nitrogen atmosphere, to a solution of ethyl 6-bromo-2,2-dimethylhexanoate (Ackerley, N. J. Med. Chem. 1995, 38, 1608 - 1628) (36.60 g, 140 mmol), tetra-n-butylammonium iodide (4.23g, 11 mmol) and p-toluenesulfonyl methyl isocyanide (27.56 g, 140 mmol) in anhydrous DMSO (500 mL) was added sodium hydride (5.80 g, 146 mmol, 60 % dispersion in mineral oil) at 5 - 10 °C. The reaction mixture was stirred at room temperature for 20 h. The cooled solution was carefully quenched by addition of ice-water (1000 mL). The product was extracted with dichloromethane (3 x 150 mL). The combined organic layers were washed with water (200 mL) and saturated NaCl solution (2 x 200 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuo to obtain the crude product mixture (40.9 g) as orange oil. The crude product (10.22 g) was subjected to column chromatography on silica gel eluting with hexanes/ethyl acetate (10 : 1) to give 7-isocyano-2,2-dimethyl-7-(toluene-4-sulfonyl)-heptanoic acid ethyl ester (2.05 g, 15 %) as a pale yellow oil and 7-isocyano-2,2,12,12-tetramethyl-7-(toluene-4-sulfonyl)-tridecanedioic acid diethyl ester (1.60 g, 8 %) as a colorless oil, together with a mixture of both (2.50 g, 7-isocyano-2,2,12,12-tetramethyl-7-(toluene-4-sulfonyl)-tridecanedioic acid diethyl ester = 90 : 10). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.86 (d, 2 H, J = 8.1 Hz), 7.43 (d, 2 H, J = 8.1 Hz), 4.48 (dd, 1 H, J = 7.2, 3.6 Hz), 4.11 (q, 2 H, J = 7.2 Hz), 2.49 (s, 3 H), 2.21 - 2.16 (m, 1 H), 1.90 - 1.78 (m, 1 H), 1.56 - 1.50 (m, 4 H), 1.25 (t, 5 H, J = 7.2 Hz), 1.16 (s, 6 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 177.8, 165.0, 146.7, 131.3, 130.3, 130.2, 72.9, 60.5, 42.2, 40.2, 28.3, 25.8, 25.3, 25.2, 24.2, 21.9, 14.4. HRMS (LSIMS, nba): Calcd. for C<sub>19</sub>H<sub>28</sub>NO<sub>4</sub>S (MH<sup>+</sup>): 366.1739, found: 366.1746.

### 6.22 Ethyl 12-hydroxy-2,2,11,11-tetramethyl-7-oxo-dodecanoate

Under nitrogen atmosphere, to a solution of 7-isocyano-2,2-dimethyl-7-(toluene-4-sulfonyl)-heptanoic acid ethyl ester (1.72 g, 4.71 mmol), tetra-n-butylammonium iodide (0.17g, 0.47 mmol) and 2-(5-bromo-2,2-dimethylpentyl)-tetrahydropyran (1.45 g, 4.95 mmol) in anhydrous DMSO (20 mL) was added sodium hydride (0.20 g, 4.75 mmol, 60 % dispersion in mineral oil) at 5 - 10 °C. The reaction mixture was stirred for 20 h at room temperature, and the cooled solution was carefully quenched by addition of ice-water (1000 mL). The product was extracted with dichloromethane (3 x 15 mL). The combined organic layers were washed with water (40 mL) and saturated sodium chloride solution (2 x 20 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuo to obtain the crude intermediate (3.50 g) as a brown oil. This intermediate was dissolved in 48 % aqueous sulfuric acid (6



mL) and methanol (12 mL), stirred 100 min at room temperature, and diluted with water (50 mL). The product was extracted with dichloromethane (3 x 15 mL). The combined organic layers were washed with water (100 mL) and saturated NaCl solution (100 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuo to obtain crude ethyl 12-hydroxy-2,2,11,11-tetramethyl-7-oxo-dodecanoate (2.70 g) as a yellow oil. The crude product (2.5 g) was subjected to column chromatography on silica gel eluting with hexanes/ethyl acetate (4 : 1, then 3 : 1) to give the pure product (0.82 g, 55 %) as a pale yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.14 - 4.03 (m, 2 H), 3.31 (br. s, 2 H), 2.42 (br. s, 1 H), 2.39 (m, 4 H), 1.54 - 1.48 (m, 6 H), 1.24 - 1.18 (m, 7 H), 1.14 (s, 6 H), 0.86 (s, 6 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 211.7, 178.0, 71.2, 60.3, 43.2, 42.7, 42.1, 40.4, 37.9, 35.1, 25.2, 24.6, 24.2, 24.1, 18.0, 14.3. HRMS (LSIMS, gly): Calcd. for C<sub>18</sub>H<sub>35</sub>O<sub>4</sub> (MH<sup>+</sup>): 315.2535, found: 315.2541.

#### 6.23 2,2,11,11-Tetramethyl-7-oxo-dodecanedioic acid 1-ethyl ester

A mixture of ethyl 12-hydroxy-2,2,11,11-tetramethyl-7-oxo-dodecanoate (3.26 g, 10 mmol) and pyridinium dichromate (14.0 g, 36 mmol) in DMF (45 mL) was stirred at room temperature for 46 h. The solution was diluted with 48 % aqueous sulfuric acid (30 mL) and water (300 mL). The product was extracted with ethyl acetate (5 x 100 mL). The combined organic layers were washed with saturated NaCl solution (5 x 100 mL), dried over MgSO<sub>4</sub>, and concentrated to give the crude product (3.19 g) as a green oil. The crude product (3.1 g) was subjected to column chromatography on silica gel eluting with hexanes/ethyl acetate (3 : 1, then 2 : 1) to give pure 2,2,11,11-tetramethyl-7-oxo-dodecanedioic acid 1-ethyl ester (2.69 g, 82 %) as a pale yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 11.30 (br. s, 1 H), 4.10 (q, 2 H, J = 7.2 Hz), 2.39 (t, 4 H, J = 7.2 Hz), 1.56 - 1.48 (m, 8 H), 1.24 (t, 5H, J = 7.2 Hz), 1.20 (s, 6 H), 1.15 (s, 6 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 210.9, 184.4, 178.1, 60.4, 43.1, 42.7, 42.2, 40.5, 39.8, 25.3, 25.0, 24.7, 24.3, 19.3, 14.4. HRMS (LSIMS, gly): Calcd. for C<sub>18</sub>H<sub>33</sub>O<sub>5</sub> (MH<sup>+</sup>): 329.2328, found: 329.2330.

#### 6.24 2,2,11,11-Tetramethyl-6-oxo-dodecanedioic acid

A solution of 2,2,11,11-tetramethyl-7-oxo-dodecanedioic acid 1-ethyl ester (2.5 g, 7.2 mmol) and potassium hydroxide (1.8 g, 27.3 mmol) in water (3 mL) and ethanol (8 mL) was heated at reflux for 4 h. Ethanol was evaporated under reduced pressure and the residue was dissolved in water (10 mL). The solution was extracted with diethyl ether (50 mL) and then acidified with 6 N hydrochloric acid to pH 1. The product was extracted with diethyl ether (4 x 40 mL). The combined organic layers were washed with saturated NaCl

solution (2 x 100 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuo to give the crude product (2.17 g) as a white solid. The crude product (2.05 g) was recrystallized from diethyl ether/hexanes (30 mL/10 mL) to obtain pure 2,2,11,11-tetramethyl-6-oxo-dodecanedioic acid (1.94 g, 88 %) as white needles. M.p.: 72 – 73 °C. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 11.67 (br. s, 2 H), 2.41 (m, 4 H), 1.60 - 1.52 (m, 8 H), 1.29 - 1.24 (m, 2 H), 1.20 (s, 6 H), 1.18 (s, 6 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 211.2, 185.1, 184.9, 43.9, 42.7, 42.2, 40.3, 39.8, 25.1, 25.0, 24.7, 24.2, 19.3. HRMS (LSIMS, gly): Calcd. for C<sub>16</sub>H<sub>29</sub>O<sub>5</sub> (MH<sup>+</sup>): 301.2015, found: 301.2023. HPLC: 95.8 % purity.

#### 6.25 2,2,11,11-Tetramethyl-6-hydroxy-dodecanedioic acid

To a solution of 2,2,11,11-tetramethyl-6-oxo-dodecanedioic acid (0.51 g, 1.5 mmol) in methanol (20 mL) was added sodium borohydride (0.60 g, 15.5 mmol) in portions at 0 °C. The mixture was stirred for 20 h, the methanol was evaporated, and the residue was carefully dissolved in 2 N hydrochloric acid (20 mL). The solution was extracted with dichloromethane (4 x 15 mL) and the aqueous layer acidified with 6 N hydrochloric acid to pH 1. The product was extracted with diethyl ether (4 x 40 mL). The combined organic layers were washed with saturated sodium chloride solution (2 x 100 mL), dried over magnesium sulfate, and concentrated to give the crude product (0.52 g) as a white solid. The crude product (0.51 g) was subjected to column chromatography on silica gel eluting with hexanes / ethyl acetate (2 : 1) to give pure 2,2,11,11-tetramethyl-6-hydroxy-dodecanedioic acid (0.42 g, 91 %) as a colorless oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 9.07 (br, s, 3 H), 3.53 (m, 1 H), 1.47 - 1.44 (m, 4 H), 1.35 (m, 6 H), 1.23 - 1.22 (m, 4 H), 1.11 (s, 6 H), 1.10 (s, 6 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 184.4, 184.3, 71.9, 42.2, 40.6, 40.5, 37.6, 37.1, 26.1, 25.1, 21.2. HRMS (LSIMS, gly): Calcd. for C<sub>16</sub>H<sub>31</sub>O<sub>5</sub> (MH<sup>+</sup>): 303.2171, found: 303.2157. HPLC: 86.3 % purity.

#### 6.26 1-Ethyl 14-hydroxy-2,2,13,13-tetramethyl-7-oxo-tetradecanoate

Under nitrogen atmosphere, to a solution of crude 7-isocyano-2,2-dimethyl-7-(toluene-4-sulfonyl)-heptanoic acid ethyl ester (prepared as described above, but without chromatographic purification, 1.72 g, 4.71 mmol), tetra-n-butylammonium iodide (0.17 g, .47 mmol) and 2-(7-bromo-2,2-dimethylheptyl)-tetrahydropyran (1.45 g, 4.95 mmol) in anhydrous DMSO (20 mL) was added sodium hydride (0.20 g, 4.75 mmol, 60 % dispersion in mineral oil) at 5 - 10 °C. The reaction mixture was stirred at room temperature for 20 h and the cooled solution was carefully quenched by addition of ice-water (1000 mL). The product was extracted with dichloromethane (3 x 15 mL). The combined organic layers were washed with water (40 mL) and saturated NaCl solution (2 x 20 mL), dried over

MgSO<sub>4</sub>, and concentrated in vacuo to obtain the crude intermediate (3.50 g) as a brown oil. This intermediate was dissolved in 48 % aqueous sulfuric acid (6 mL) and methanol (12 mL). The mixture was stirred for 100 min and diluted with water (50 mL). The product was extracted with dichloromethane (3 x 15 mL). The combined organic layers were washed with water (100 mL) and saturated NaCl solution (100 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuo to obtain the crude product (2.70 g) as a yellow oil. The crude product (2.5 g) was subjected to column chromatography on silica gel eluting with hexanes/ethyl acetate (4 : 1, then 3 : 1) to give pure 1-ethyl 14-hydroxy-2,2,13,13-tetramethyl-7-oxo-tetradecanoate (0.82 g, 55 %) as a pale yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.10 (q, 2 H, J = 6.9 Hz), 3.30 (br. s, 2 H), 2.39 (t, 4 H, J = 6.9 Hz), 1.98 (br. s, 1 H), 1.56 - 1.48 (m, 6 H), 1.27 - 1.18 (m, 11 H), 1.14 (s, 6 H), 0.85 (s, 6 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 211.5, 178.0, 71.9, 60.3, 42.9, 42.7, 42.2, 40.5, 38.6, 35.1, 30.3, 25.2, 24.7, 24.3, 24.0, 23.8, 14.4. HRMS (LSIMS, gly): Calcd. for C<sub>20</sub>H<sub>39</sub>O<sub>4</sub> (MH<sup>+</sup>): 343.2848, found: 343.2846.

#### 15                                      **6.27    2,2,13,13-Tetramethyltetradecane-1,7,14-triol**

Under Ar atmosphere, to a stirred suspension of lithium borohydride (0.30 g, 95 %, 13 mmol) in dichloromethane (80 mL) was added dropwise methanol (0.42 g, 13 mmol), keeping a gentle reflux while hydrogen gas was formed. The mixture was stirred for 10 min at 45 °C and a solution of 2,2,13,13-tetramethyl-7-oxo-tetradecanedioic acid 1-ethyl ester (1.57 g, 4.36 mmol) in dichloromethane (10 mL) was added dropwise at such a rate as to maintain a gentle reflux. The reaction mixture was heated at reflux for 24 h, then cooled to room temperature and carefully hydrolyzed with 2 N hydrochloric acid (50 mL) and saturated ammonium chloride solution (120 mL). The aqueous layer was extracted with dichloromethane (4 x 50 mL). The combined organic layers were washed with water (100 mL) and dried over anhydrous magnesium sulfate. The reaction mixture was concentrated to yield the crude product as a yellow oil (1.28 g). Purification by column chromatography on silica gel eluting with hexanes/ethyl acetate (4 : 1, then 3 : 1) followed by recrystallization from dichloromethane gave pure 2,2,13,13-tetramethyltetradecane-1,7,14-triol (0.86 g, 65 %) as white needles. M.p.: 79 – 80 °C. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 3.57 (br. s, 1 H), 3.29 (s, 4 H), 2.17 (br. s, 3 H), 1.46 - 1.40 (m, 4 H), 1.33 - 1.24 (m, 12 H), 0.85 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 71.8, 71.7, 71.5, 38.7, 37.5, 37.3, 35.1, 30.7, 26.6, 25.7, 24.2, 24.0, 23.9, 23.8. HRMS (LSIMS, gly): Calcd. for C<sub>18</sub>H<sub>39</sub>O<sub>3</sub> (MH<sup>+</sup>): 303.2899, found: 303.2897. HPLC: 97 % purity.

## 6.28 2,2,13,13-Tetramethyl-1,14-bis(tetrahydropyran-2-yloxy)tetradecan-6,9-diol

A mixture of 2,5-dimethoxytetrahydrofuran (26.43 g, 0.2 mol) and 0.6 N hydrochloric acid (160 mL) was stirred at room temperature for 1.5 h. The pH was adjusted to 7 by addition of sodium hydrogen carbonate (8.4 g) and the solution was extracted with dichloromethane (3 × 50 mL). The aqueous phase was acidified with concentrated hydrochloric acid (10 mL) and stirred for another 1.5 h. Basification with sodium hydrogen carbonate (10.1 g) and extraction with dichloromethane was repeated. In total, the acidification - basification - extraction sequence was repeated four times. The combined organic extracts were dried over magnesium sulfate and the dichloromethane was distilled off under atmospheric pressure. The residue was distilled under reduced pressure (b.p.: 75 - 77 °C / 15 mm Hg) (House, H. O. *et al.*, J. Org. Chem. 1965, 30, 1061. B.p. = 55 - 60 °C / 12 mm Hg) to give succinaldehyde as a foul smelling, colorless liquid (5.71 g, 33 %), which was used immediately after distillation.

Under nitrogen atmosphere, to a stirred suspension of magnesium powder (3.65 g, 0.15 mol) in anhydrous THF (200 mL) was added 2-(5-bromo-2,2-dimethylpentyl)-tetrahydropyran (27.9 g, 0.1 mol) at such a rate as to maintain a gentle reflux. The reaction mixture was heated at reflux for additional 2 h, allowed to cool to room temperature, and then cooled in an ice-water bath. A solution of freshly distilled succinaldehyde (3.44 g, 0.04 mol) in THF (30 mL) was added dropwise. The reaction mixture was left to stir at room temperature overnight. The solution was decanted off the excess magnesium and poured into an aqueous saturated ammonium chloride solution (300 mL). The pH was carefully adjusted to 1 - 2 with 2 N hydrochloric acid. The reaction mixture was extracted with diethyl ether and the organic extracts were washed with brine and dried over MgSO<sub>4</sub>. After solvent removal, a light-yellow oil (23.88 g) was obtained which was purified by flash column chromatography (SiO<sub>2</sub>, ethyl acetate : hexanes = 1 : 3 to 1 : 1) to afford the pure product as an almost colorless, very viscous oil (18.04 g, 92 %). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.54 - 4.50 (m, 2 H), 3.89 - 3.82 (m, 2 H), 3.66 (br. s, 2 H), 3.48 (pseudo-t, 4 H, J = 9.6 Hz), 2.99 (dd, 2 H, J = 9.1, 3.5 Hz), 2.60 (br. s, 2 H), 1.90 - 1.20 (m, 28 H), 0.90 - 0.88 (m, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 99.4, 99.2, 76.4, 76.1, 72.1, 71.7, 71.3, 62.4, 62.0, 39.2, 38.8, 38.3, 38.2, 34.1, 33.4, 30.7, 30.6, 25.5, 24.9, 24.6, 24.5, 24.4, 20.0, 19.7, 19.5, 14.2. HRMS (LSIMS, nba): Calcd. for C<sub>28</sub>H<sub>55</sub>O<sub>6</sub> (MH<sup>+</sup>): 487.3998, found: 487.3995.

### 6.29 Ethyl 8-bromo-2,2-dimethyloctanoate.

Under N<sub>2</sub> atmosphere, a solution of LDA (2.0 M in heptane/tetrahydrofuran/ethylbenzene, 2.94 L, 5.9 mol) was added dropwise to a stirred solution of ethyl isobutyrate (720 g, 6.2 mol) in anhydrous THF (4.7 L) at -45 °C. After 1 h, 1,6-dibromohexane (2400 g, 9.8 mol) was added dropwise, followed by the addition of DMPU (320 mL). The reaction mixture was stirred for 1 h and then allowed to warm to room temperature overnight. Saturated NH<sub>4</sub>Cl solution (3 L) was added and the mixture was extracted with ethyl acetate (3 × 6 L). The combined organic layers were washed with brine (4.5 L), 1 M aqueous HCl (6 L), saturated NaHCO<sub>3</sub> solution (6 L), and brine (4.5 L). The solution was dried over MgSO<sub>4</sub> and concentrated in vacuo. The residue was distilled under high vacuo to furnish ethyl 8-bromo-2,2-dimethyloctanoate (856 g, 52 %) as a light yellowish oil. Bp 95 - 100 °C/0.2 mm. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.13 (q, *J* = 7.1, 2 H), 3.39 (t, *J* = 6.9, 2 H), 1.92 - 1.75 (m, 2 H), 1.58 - 1.25 (m, 8 H), 1.25 (t, *J* = 7.1, 3 H), 1.12 (s, 6 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub> = 77.52 ppm): δ (ppm): 177.62, 60.01, 42.08, 40.50, 33.63, 32.68, 29.13, 27.93, 25.00, 24.66, 14.22. HRMS (LSIMS, nba): Calcd for C<sub>12</sub>H<sub>24</sub>BrO<sub>2</sub> (MH<sup>+</sup>): 279.0960, found: 279.0957.

### 6.30 9-Isocyano-2,2,16,16-tetramethyl-9-(toluene-4-sulfonyl)-heptadecanedioic acid diethyl ester.

To a solution of ethyl 8-bromo-2,2-dimethyloctanoate (35.0 g, 125.4 mmol), tetrabutylammonium iodide (4.6 g, 12.5 mmol), and *p*-toluenesulphonylmethyl isocyanide (TosMIC, 12.2 g, 62.7 mmol) in anhydrous DMSO (450 mL) was added sodium hydride (60% dispersion in mineral oil, 6.3 g, 158 mmol) under cooling with an ice-water bath and under N<sub>2</sub> atmosphere. The reaction mixture was stirred for 23 h at room temperature, then carefully hydrolyzed with ice-water (500 mL) and extracted with MTBE (3 × 200 mL). The organic layers were washed with water (300 mL) and brine (150 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuo to give crude 9-isocyano-2,2,16,16-tetramethyl-9-(toluene-4-sulfonyl)-heptadecanedioic acid diethyl ester (37.0 g, 100 %). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.88 (d, *J* = 7.9 Hz, 2 H), 7.42 (d, *J* = 7.9 Hz, 2 H), 4.10 (q, *J* = 7.5 Hz, 4 H), 2.48 (s, 3H), 2.05-1.75 (m, 3H), 1.65-1.20 (m, 21H), 1.15 (t, *J* = 7.5 Hz, 6H), 1.10 (s, 12H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 177.89, 163.75, 146.23, 131.35, 130.28, 129.82, 81.79, 60.17, 42.09, 40.57, 33.09, 29.68, 25.17, 24.78, 23.66, 14.31. HRMS (LSIMS, gly): Calcd for C<sub>37</sub>H<sub>54</sub>NO<sub>6</sub>S (MH<sup>+</sup>): 592.3672, found: 592.3667.

### 6.31 2,2,16,16-Tetramethyl-9-oxoheptadecanedioic acid diethyl ester

To a solution of 9-isocyano-2,2,16,16-tetramethyl-9-(toluene-4-sulfonyl)-heptadecanedioic acid diethyl ester (12.0 g, 20.3 mmol) in methylene chloride (200 mL) was added concd HCl (47 mL). The reaction mixture was stirred for 80 min at room temperature. The mixture was diluted with water (200 mL), the layers were separated, and the aqueous layer was extracted with methylene chloride (3 × 70 mL). The combined organic layers were washed with saturated NaHCO<sub>3</sub> solution (3 × 40 mL) and brine (50 mL). The solution was dried over MgSO<sub>4</sub>, and concentrated in vacuo to yield the crude product (7.52 g). Purification by column chromatography (silica gel, ethyl acetate/hexanes = 1/9) gave 2,2,16,16-tetramethyl-9-oxoheptadecanedioic acid diethyl ester (3.5 g, 40 %) as a colorless oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.14 (q, *J* = 7.1 Hz, 4 H), 2.41 (t, *J* = 7.0 Hz, 4 H), 1.66-1.35 (m, 20 H), 1.25 (t, *J* = 7.1 Hz, 6 H), 1.17 (s, 12H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 211.24, 177.89, 60.01, 42.69, 42.07, 40.64, 29.86, 29.07, 25.13, 24.73, 23.74, 14.24. HRMS (LSIMS, gly): Calcd for C<sub>25</sub>H<sub>47</sub>O<sub>5</sub> (MH<sup>+</sup>): 427.3423, found: 427.3430.

### 6.32 2,2,16,16-Tetramethylheptadecane-1,9,17-triol

Under N<sub>2</sub>-atmosphere, methyl *tert*-butyl ether (MTBE, 80 mL) was added to lithium aluminum hydride (0.67 g, 17.60 mmol) and the suspension was stirred under cooling with an ice-water bath (0 °C). A solution of 2,2,16,16-tetramethyl-9-oxoheptadecanedioic acid diethyl ester (3.0 g, 7.04 mmol) in MTBE (20 mL) was added dropwise, followed by additional MTBE (40 mL). After 2 h at 0 °C, the reaction mixture was carefully quenched by addition of ethyl acetate (8 mL, 80 mmol) and allowed to warm to room temperature overnight. The mixture was cooled with an ice-water bath and carefully hydrolyzed by addition of crushed ice (15 g) and water (15 mL). The pH was adjusted to 1 by addition of 2 *N* sulfuric acid (28 mL) and the solution was stirred at room temperature for 15 min. The layers were separated and the aqueous layer was extracted with MTBE (40 mL). The combined organic layers were washed with deionized water (50 mL), saturated NaHCO<sub>3</sub> solution (40 mL), brine (40 mL), dried over MgSO<sub>4</sub>, concentrated in vacuo and dried in high vacuo to yield a crude product (2.65 g). The crude product was purified by recrystallization from hot CH<sub>2</sub>Cl<sub>2</sub> (20 mL), which was cooled to room temperature and then kept at -5 °C. The crystals were filtered, washed with ice-cold CH<sub>2</sub>Cl<sub>2</sub> (20 mL) and dried in high vacuo. This process was repeated to furnish 2,2,16,16-tetramethylheptadecane-1,9,17-triol (1.59 g, 65 %) as a white solid. Mp 75-77 °C. <sup>1</sup>H NMR

(300 MHz, CDCl<sub>3</sub>/TMS):  $\delta$  (ppm): 3.57 (m, 1 H), 3.30 (s, 4 H), 1.72 (br, 2 H), 1.50-1.16 (m, 25 H), 0.85 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS):  $\delta$  (ppm): 72.09, 38.79, 37.61, 35.21, 30.70, 29.85, 25.78, 24.06, 23.92. HRMS (LSIMS, gly): Calcd for C<sub>21</sub>H<sub>45</sub>O<sub>3</sub>(MH<sup>+</sup>): 345.3369, found: 345.3364. HPLC: 95 % pure.

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**6.33 8-Hydroxy-2,2,12,12-tetramethylpentadecanedioic acid diethyl ester.**

To a solution of 2,2,12,12-tetramethyl-8-oxopentadecanedioic acid diethyl ester (33.6 g, 84.3 mmol) in 60 % aqueous isopropanol (337 mL) was added sodium borohydride (1.6 g, 41 mmol). The reaction mixture was heated to 45 °C for 2 h, diluted with water (400 mL), and extracted with MTBE (2 × 200 mL). The combined organic layers were washed with water (200 mL), dried over sodium sulfate, and concentrated in vacuo to give the crude product (33.0 g, 98 %). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS):  $\delta$  (ppm): 4.11 (q, *J* = 7.2 Hz, 4 H), 3.55 - 3.45 (m, 1 H), 1.60-1.18 (m, 26 H), 1.15 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS):  $\delta$  (ppm): 178.1, 72.0, 60.3, 42.4, 40.9, 37.7, 30.4, 25.8, 25.4, 25.1, 14.5. HPLC: 87.5 % pure.

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**6.34 2,2,14,14-Tetramethyl-8-(tetrahydropyran-2-yloxy)-pentadecanedioic acid diethyl ester.**

Under nitrogen atmosphere, 3,4-dihydro-2*H*-pyran (10.2 g, 121 mmol) was added dropwise to a stirred solution of 8-hydroxy-2,2,14,14-tetramethylpentadecanedioic acid diethyl ester (16.1 g, 40 mmol) and *p*-toluenesulfonic acid monohydrate (catalytic amounts) in methylene chloride (100 mL) under cooling with an ice bath. The reaction mixture was allowed to warm to room temperature and stirred overnight. After the reaction was completed (TLC), the solution was filtered through basic aluminum oxide (50 g), which was washed with methylene chloride (4 × 30 mL). The filtrate was concentrated in vacuo to give crude product (19.5 g), which was purified by chromatography (silica gel, 200 g, heptanes/ethyl acetate = 20:1, 10:1) yielding 2,2,14,14-tetramethyl-8-(tetrahydropyran-2-yloxy)-pentadecanedioic acid diethyl ester as a colorless oil (12.1 g, 62 %). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS):  $\delta$  (ppm): 4.69 - 4.59 (m, 1 H), 4.11 (q, *J* = 7.3 Hz, 4 H), 3.98 - 3.82 (m, 1 H), 3.65 - 3.40 (m, 2 H), 2.00 - 1.18 (m, 26 H), 1.24 (t, *J* = 7.1 Hz, 6 H), 1.15 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS):  $\delta$  (ppm): 177.74, 97.36, 76.48, 62.61, 60.02, 42.05, 40.70, 40.63, 34.90, 33.38, 31.16, 30.32, 30.26, 25.48, 25.10, 24.86, 19.96, 14.23.

30

**6.35 2,2,14,14-Tetramethyl-8-(tetrahydropyran-2-yloxy)-pentadecane-1,15-diol.**

Under nitrogen atmosphere,  $\text{LiAlH}_4$  (2.2 g, 58 mmol) was suspended in anhydrous MTBE (250 mL) and cooled with an ice/water bath. 2,2,14,14-Tetramethyl-8-(tetrahydropyran-2-yloxy)-pentadecanedioic acid diethyl ester (12.0 g, 24.7 mmol) in anhydrous MTBE (100 mL) was added dropwise over 1.5 h. This mixture was left overnight at ambient temperature. After the reaction was completed, deionized water (4 mL) was added followed by 20% aqueous NaOH solution (5 mL) and water (14 mL). The ether solution was decanted from the formed white residue. The residue was washed with MTBE ( $4 \times 20$  mL) and the combined ether solutions were dried over  $\text{MgSO}_4$ . The solvent was removed under reduced pressure to give crude 2,2,14,14-tetramethyl-8-(tetrahydropyran-2-yloxy)-pentadecane-1,15-diol as a colorless oil (8.9 g, 90 %), which was used without further purification.  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3/\text{TMS}$ ):  $\delta$  (ppm): 4.72 - 4.59 (m, 1 H), 4.03 - 3.84 (m, 1 H), 3.69 - 3.38 (m, 2 H), 3.31 (s, 4 H), 2.00 - 1.15 (m, 28 H), 0.87 (s, 12 H).  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3/\text{TMS}$ ):  $\delta$  (ppm): 97.38, 76.57, 71.83, 62.65, 38.55, 34.96, 34.84, 33.33, 31.17, 30.75, 30.63, 26.92, 25.52, 24.95, 23.84, 23.70, 19.96. HRMS (EI, POS): Calcd for  $\text{C}_{24}\text{H}_{48}\text{O}_4$  ( $\text{M}^+$ ): 400.3553, found: 400.3564.

**6.36 Nicotinic acid 8-(tetrahydropyran-2-yloxy)-2,2,14,14-tetramethyl-15-nicotinoylpentadecyl ester.**

Anhydrous *tert*-butyl methyl ether (MTBE, 200 mL) and anhydrous pyridine (30 mL) were added to nicotinoyl chloride hydrochloride (12.3 g, 69 mmol). The mixture was stirred at room temperature under nitrogen atmosphere for 1 h, then cooled to  $0^\circ\text{C}$ . A solution of 2,2,14,14-tetramethyl-8-(tetrahydropyran-2-yloxy)-pentadecane-1,15-diol (8.8 g, 21.9 mmol) in anhydrous MTBE (50 mL) was added and the mixture was stirred overnight at room temperature. The mixture was washed with deionized water ( $3 \times 50$  mL), saturated  $\text{NaHCO}_3$  solution ( $2 \times 50$  mL) and brine (50 mL), and dried over magnesium sulfate. The solvent was removed under reduced pressure to give the crude product as a light yellow oil (11.1 g), which was purified by chromatography (silica gel, 75 g, heptanes:ethyl acetate = 10:1, 7:1, 5:1) to give nicotinic acid 8-(tetrahydropyran-2-yloxy)-2,2,14,14-tetramethyl-15-nicotinoylpentadecyl ester (9.2 g, 69 %) as a viscous, yellow oil.  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3/\text{TMS}$ ):  $\delta$  (ppm): 9.37 - 9.15 (m, 2 H), 8.79 (dd,  $J = 4.8, 1.7$  Hz, 2 H), 8.30 (dt,  $J = 7.9, 1.9$  Hz, 2 H), 7.41 (dd,  $J = 4.8, 7.9$  Hz, 2 H), 4.71 - 4.55 (m, 1 H), 4.07 (s, 4 H), 3.99 - 3.80 (m, 1 H), 3.69 - 3.52 (m, 1 H), 3.52 - 3.35 (m, 1 H), 1.92 - 1.08 (m, 26 H), 1.00 (s, 12 H).  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3/\text{TMS}$ ):  $\delta$  (ppm): 165.23, 153.34, 150.85, 136.99, 126.40, 123.36, 97.69, 76.77, 73.45, 62.91, 39.54, 39.49, 35.19, 34.23, 33.70, 31.42, 30.97, 30.88,



25.84, 25.74, 25.26, 24.61, 24.07, 20.25. HRMS (EI, POS): Calcd for  $C_{36}H_{54}N_2O_6$  ( $M^+$ ): 610.3982, found: 610.3977. Elemental analysis ( $C_{36}H_{54}N_2O_6$ ): Calcd for C, 70.79; H, 8.91; N, 4.59. Found: C, 70.71; H, 9.06; N, 4.48.

5      **6.37    Nicotinic acid 8-hydroxy-2,2,14,14-tetramethyl-15-nicotinoylpentadecyl ester**

Nicotinic acid 8-(tetrahydropyran-2-yloxy)-2,2,14,14-tetramethyl-15-nicotinoylpentadecyl ester (9.0 g, 14.7 mmol) was heated in a mixture of glacial acetic acid, THF, and water (160 mL / 80 mL / 40 mL) to 45 °C for 6 h, then stirred overnight at ambient temperature. After the reaction was completed (TLC), the reaction mixture was  
10    poured onto ice (220 g), stirred for 30 - 45 min and extracted with methylene chloride (4 × 100 mL). The combined organic layers were washed with saturated  $NaHCO_3$  solution (4 × 100 mL) and brine (100 mL), dried over  $MgSO_4$ , and concentrated to give a crude oil (7.9 g). Purification by chromatography (silica gel, 75 g, heptanes:ethyl acetate = 1:1) afforded nicotinic acid 8-hydroxy-2,2,14,14-tetramethyl-15-nicotinoylpentadecyl ester (4.5 g, 58 %)  
15    as a white solid. Mp 65 - 67 °C.  $^1H$  NMR (300 MHz,  $CDCl_3$ /TMS):  $\delta$  (ppm): 9.24 (s, 2 H), 8.78 (d,  $J$  = 3.8 Hz, 2 H), 8.31 (d,  $J$  = 8.0 Hz, 2 H), 7.42 (dd,  $J$  = 8.0, 4.9 Hz, 2 H), 4.08 (s, 4 H), 3.58 (br s, 1 H), 2.02 (br s, 1 H, OH), 1.62 - 1.08 (m, 20 H), 1.00 (s, 12 H).  $^{13}C$  NMR (75 MHz,  $CDCl_3$ /TMS):  $\delta$  (ppm): 164.96, 153.03, 150.53, 136.81, 126.17, 123.16, 73.18, 71.58, 39.17, 37.41, 33.96, 30.44, 25.61, 24.37, 23.78. HRMS (EI, nba): Calcd for  $C_{31}H_{47}N_2O_5$  ( $MH^+$ ): 527.3485, found: 527.3482. HPLC: 99.7 % pure. Elemental analysis ( $C_{31}H_{46}N_2O_5$ ): Calcd for C, 70.69; H, 8.80; N, 5.32. Found: C, 70.63; H, 8.83; N, 5.41.  
20

25      **6.38    Bis-(4-bromomethylphenyl)-methanone.**

Under irradiation with a 100-W white lamp, a mixture of 4,4'-dimethylbenzophenone (40.0 g, 190.2 mmol), NBS (71.10 g, 399.5 mmol), and dichloromethane (700 mL) was heated to reflux for 8 h and stirred at room temperature for 12 h. The white precipitate was removed by filtration and the filtrate was concentrated. The  
30    residue (70 g) was purified by column chromatography on silica using hexanes/ethyl acetate (8:1, 6:1, then 4:1) as eluent to afford bis-(4-bromomethylphenyl)-methanone (52.3 g, 75 %) as a colorless solid. Mp 118 - 119 °C.  $^1H$  NMR (300 MHz,  $CDCl_3$ /TMS):  $\delta$  (ppm): 7.83 (d, 4 H,  $J$  = 7.8 Hz), 7.53 (d, 4 H,  $J$  = 7.8 Hz), 4.56 (s, 4 H).  $^{13}C$  NMR (75 MHz,  $CDCl_3$  = 77.00 ppm):  $\delta$  (ppm): 195.3, 142.4, 137.3, 130.6, 129.2, 32.4.

**6.39    3-{4-[4-(2-Ethoxycarbonyl-2-methylpropyl)-benzoyl]-phenyl}-2,2-dimethylpropionic acid ethyl ester.**

Under Ar atmosphere, to a solution of ethyl isobutyrate (18.2 g, 156.6 mmol) and DMPU (1 mL) in THF (30 mL) was added LDA (80 mL, 2 M in heptanes, 160 mmol) at -78 °C. The mixture was stirred for 30 min. A solution of bis-(4-bromomethylphenyl)-methanone (21.1 g, 57.3 mmol) in THF (100 mL) was added dropwise. The reaction mixture was allowed to stir overnight, gradually warming to room temperature. Most of the THF (120 mL) was removed under reduced pressure. The mixture was hydrolyzed with 6 N aqueous HCl (30 mL), water (170 g), and saturated NH<sub>4</sub>Cl solution (200 mL). The solution was extracted with ethyl acetate (200 mL, 2 × 100 mL). The organic layers were washed with half-saturated NaCl solution (100 mL), dried over MgSO<sub>4</sub>, and concentrated under vacuum to give a crude oil (35.8 g). Purification by column chromatography on silica (800 g) using hexanes/ethyl acetate (10:1) as eluent afforded 3-{4-[4-(2-ethoxycarbonyl-2-methylpropyl)-benzoyl]-phenyl}-2,2-dimethylpropionic acid ethyl ester (8.50 g, 34 %) as a colorless oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.73 (d, 4 H, *J* = 8.1 Hz), 7.26 (d, 4 H, *J* = 8.1 Hz), 4.19 - 4.11 (m, 4 H), 2.97 (s, 4 H), 1.29 - 1.15 (m, 18 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub> = 77.00 ppm): δ (ppm): 195.8, 176.8, 142.9, 135.8, 129.9, 129.7, 60.4, 46.0, 43.4, 24.8, 14.1. HRMS (LSIMS, nba): Calcd for C<sub>27</sub>H<sub>35</sub>O<sub>5</sub> (M+H): 439.2484, found: 439.2487.

**6.40    3-(4-{Hydroxy-[4-(3-hydroxy-2,2-dimethylpropyl)-phenyl]-methyl}-phenyl)-2,2-dimethylpropan-1-ol.**

Under Ar atmosphere, to a suspension solution of LiBH<sub>4</sub> (1.55 g, 71.2 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (100 mL) was added methanol (2.28 g, 71.2 mmol) at room temperature. The mixture was stirred under reflux for 30 min. A solution of 3-{4-[4-(2-ethoxycarbonyl-2-methylpropyl)-benzoyl]-phenyl}-2,2-dimethylpropionic acid ethyl ester (4.0 g, 9.1 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (50 mL) was added dropwise. The reaction mixture was heated to reflux for 100 h. The mixture was hydrolyzed with 6 N HCl (10 mL), water (125 mL), and saturated NH<sub>4</sub>Cl solution (125 mL). The solution was extracted with CH<sub>2</sub>Cl<sub>2</sub> (2 × 50 mL). The combined organic layers were washed with saturated NaHCO<sub>3</sub> solution (150 mL), dried over MgSO<sub>4</sub>, and concentrated under vacuum to give a mixture of 3-(4-{hydroxy-[4-(3-hydroxy-2,2-dimethyl-propyl)phenyl]-methyl}-phenyl)-2,2-dimethylpropan-1-ol and 3-(4-{hydroxy-[4-(3-hydroxy-2,2-dimethyl-propyl)-phenyl]-methyl}-phenyl)-2,2-dimethyl-propionic acid

ethyl ester (2.7 g, ratio 40/60) as a colorless oil. Under Ar atmosphere, to a suspension solution of LiBH<sub>4</sub> (2.52 g, 116 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (80 mL) was added methanol (3.7 g, 116 mmol) at room temperature. The mixture was stirred at 45 °C for 30 min. A solution of the above mixture (2.70 g) in CH<sub>2</sub>Cl<sub>2</sub> (20 mL) was added dropwise. The reaction mixture was heated to reflux for 76 h. The mixture was hydrolyzed with 6 N HCl (10 mL), water (150 g), and saturated NH<sub>4</sub>Cl (150 mL), and the solution was extracted with CH<sub>2</sub>Cl<sub>2</sub> (2 × 80 mL). The organic layers were washed with saturated NaCl (140 mL), dried over MgSO<sub>4</sub>, and concentrated under vacuum. The residue was subjected to column chromatography on silica gel using hexanes/ethyl acetate (2:1, 1:1 ) as eluent to afford 3-(4-{hydroxy-[4-(3-hydroxy-2,2-dimethylpropyl)-phenyl]-methyl}-phenyl)-2,2-dimethylpropan-1-ol (0.45 g, 14 %) as a white solid. Mp 169 - 170 °C. <sup>1</sup>H NMR (300 MHz, CD<sub>3</sub>OD/TMS): δ (ppm): 7.15 (d, 4 H, *J* = 7.8 Hz), 7.01 (d, 4 H, *J* = 7.8 Hz), 5.62 (s, 1 H), 3.11 (s, 4 H), 2.42 (s, 4 H), 0.71 (s, 12 H). <sup>13</sup>C NMR ( 75 MHz, CD<sub>3</sub>OD = 49.15 ppm): δ (ppm): 143.6, 139.2, 131.6, 127.3, 76.8, 71.4, 45.2, 37.3, 24.5. HRMS (LSIMS, gly): Calcd for C<sub>23</sub>H<sub>31</sub>O<sub>2</sub> (M+H-H<sub>2</sub>O): 339.2324, found: 339.2323. HPLC: 98.1 % pure.

**6.41    3-(4-{[4-(2-Ethoxycarbonyl-2-methylpropyl)-phenyl]-hydroxymethyl}-phenyl)-2,2-dimethylpropionic acid ethyl ester.**

A solution of 3-{4-[4-(2-ethoxycarbonyl-2-methylpropyl)-benzoyl]-phenyl}-2,2-dimethylpropionic acid ethyl ester (4.40 g, 10.0 mmol) in methanol (80 mL) was cooled in an ice-water bath. Sodium borohydride (0.45 g, 13.7 mmol) was added and the mixture was stirred for 5 h. Water (150 mL) and dichloromethane (65 mL) were added and the layers were separated. The aqueous layer was washed with dichloromethane (2 × 65 mL). The combined organic layers were washed with saturated NaCl solution (100 mL), dried over MgSO<sub>4</sub>, and concentrated under vacuum. The residue was subjected to column chromatography on silica using hexanes/ethyl acetate (9:1 and 6:1 ) as eluent to afford 3-(4-{[4-(2-ethoxycarbonyl-2-methylpropyl)-phenyl]-hydroxymethyl}-phenyl)-2,2-dimethylpropionic acid ethyl ester (3.63 g, 82 %) as a colorless oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.24 (d, 4 H, *J* = 8.0 Hz), 7.07 (d, 4 H, *J* = 8.0 Hz), 5.77 (s, 1 H), 4.08 (q, 4 H, *J* = 7.1 Hz), 2.83 (s, 4 H), 2.62 (s, 1 H), 1.21 (t, 6 H, *J* = 7.1 Hz), 1.16 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub> = 77.23 ppm): δ (ppm): 177.6, 142.2, 137.3, 130.3, 126.3, 75.9, 60.5, 46.0, 43.4, 25.1, 14.3. HRMS (LSIMS, nba): Calcd for C<sub>27</sub>H<sub>35</sub>O<sub>4</sub> (M+H-H<sub>2</sub>O): 423.2535, found: 423.2520.

**6.42    3-{4-[4-(2-Carboxy-2-methylpropyl)-phenyl]-hydroxymethyl}-phenyl}-2,2-dimethylpropionic acid.**

A solution of 3-(4-{[4-(2-ethoxycarbonyl-2-methylpropyl)-phenyl]-hydroxymethyl}-phenyl)-2,2-dimethylpropionic acid ethyl ester (3.6 g, 8.2 mmol) and potassium hydroxide (85 %, 2.16 g, 33.0 mmol) in ethanol (9 mL) and water (2.5 mL) was heated to reflux for 5 h. Diethyl ether (20 mL) was added and the mixture was stirred for 1 h, then diluted with water (50 mL). The mixture was extracted with diethyl ether (2 × 20 mL). The aqueous solution was acidified with 6 N HCl (ca. 8 mL) to pH 1 and extracted with dichloromethane (4 × 35 mL). The organic extracts were washed with saturated NaCl solution (50 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuum to give 3-{4-[4-(2-carboxy-2-methylpropyl)-phenyl]-hydroxymethyl}-phenyl}-2,2-dimethylpropionic acid (3.18 g, 100 %) as colorless needles. Mp 114 - 116 °C. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 10.0 - 8.0 (br, 2 H), 7.18 (d, 4 H, *J* = 8.0 Hz), 7.17 (d, 4 H, *J* = 8.0 Hz), 5.67 (s, 1 H), 2.81 (s, 4 H), 1.15 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub> = 77.23 ppm): δ (ppm): 184.3, 142.0, 136.9, 130.3, 126.5, 75.8, 45.8, 43.6, 24.9, 24.8. HRMS (LSIMS, nba): Calcd for C<sub>23</sub>H<sub>27</sub>O<sub>4</sub> (M+H): 367.1909, found: 367.1906. HPLC: 99.3 % pure.

**6.43    Di-*m*-tolyl-methanone.**

An oven-dried, three-necked 1-L flask equipped with magnetic stirring bar, gas inlet, dropping funnel, and condenser was flushed with nitrogen and loaded with *m*-tolunitrile (49.1 g, 419 mmol) and THF (30 mL). A solution of *m*-tolyl magnesium chloride in THF (1 M, 440 mL) was added dropwise at such a rate that the internal temperature was kept below 50 °C. The mixture was heated to reflux for 18 h, then cooled to -15 °C, and hydrolyzed with ice-water (210 mL) and aqueous HCl (36.5 %, 300 mL). The mixture was stirred at room temperature for 30 min and heated to 80 °C for 18 h. Most of the THF (400 mL) was removed by distillation. The solution was extracted with MTBE (250 mL, 3 × 200 mL). The combined organic layers were washed with saturated NaHCO<sub>3</sub> solution (200 mL) and saturated NaCl solution (200 mL), dried over MgSO<sub>4</sub>, and concentrated under vacuum to give di-*m*-tolyl-methanone (99.5 g, quantitative) as a red oil, which was used without further purification for the next step. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.62 (s, 2 H), 7.54 (d, 2 H, *J* = 7.0 Hz), 7.36 - 7.31 (m, 4 H), 2.37 (s, 6 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub> = 77.23 ppm): δ (ppm): 197.1, 138.1, 133.2, 130.5, 128.3, 127.4, 21.4 [lit. ref.: Coops, J.; Nauta, W. Th.; Ernsting, M. J. E.; Faber, M. A. C. *Recueil* **1940**, 57, 1109].

#### 6.44 Bis-(3-bromomethylphenyl)-methanone.

Under irradiation with a 100-W white lamp, a mixture of di-*m*-tolyl-methanone (99.5 g, 473 mmol), NBS (195 g, 1096 mmol), and dichloromethane (1.4 L) was heated to reflux for 20 h. The precipitate was removed by filtration. The filtrate was washed  
5 with aqueous sodium hydroxide solution (8 %, 3 × 550 mL) and concentrated in vacuo to give the crude product as a pale yellow solid (130 g), which was recrystallized from methylene chloride/hexanes (800 mL/200 mL) affording bis-(3-bromomethylphenyl)-methanone (66.20 g, 38 %) as white crystals. Mp 147 - 148 °C (lit. mp 149 - 151 °C; Atzmuller, M.; Vogtle, F. *Chem. Ber.* **1978**, *111*, 2547-2556). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.84 (s, 2 H), 7.75 - 7.64 (m, 4 H), 7.49 (t, 2 H, *J* = 7.7 Hz), 4.54 (s,  
10 4 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub> = 77.00 ppm): δ (ppm): 195.6, 138.5, 138.0, 133.3, 130.6, 130.2, 129.1, 32.4.

#### 6.45 3-{3-[3-(2-Ethoxycarbonyl-2-methylpropyl)-benzoyl]-phenyl}-2,2-dimethylpropionic acid ethyl ester.

Under Ar atmosphere, to a solution of ethyl isobutyrate (59 g, 513 mmol) in THF (100 mL) was added LDA (256 mL, 2 M in heptanes, 512 mmol) at -78 °C. The mixture was stirred for 30 min and a solution of bis-(3-bromomethylphenyl)-methanone (66.0 g, 179 mmol) in THF (100 mL) was added dropwise. The reaction mixture was  
20 allowed to stir overnight, gradually warming to room temperature. The mixture was hydrolyzed with ice (500 g) and water (800 g). The solution was extracted with MTBE (5 × 200 mL). The organic layers were washed with saturated NaHCO<sub>3</sub> solution (100 mL) and saturated NaCl solution (100 mL), dried over MgSO<sub>4</sub>, and concentrated under vacuum. The residual oil (95 g) was subjected to column chromatography on silica (800 g) using  
25 hexanes/ethyl acetate (10:1) as eluent to afford 3-{3-[3-(2-ethoxycarbonyl-2-methylpropyl)-benzoyl]-phenyl}-2,2-dimethylpropionic acid ethyl ester (49.4 g, 63 %) as a pale yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.67 (m, 2 H), 7.59 (s, 2 H), 7.40 - 7.38 (m, 4 H), 4.11 (q, 4 H, *J* = 7.2 Hz), 2.96 (s, 4 H), 1.23 (s, 12 H), 1.22 (t, 6 H, *J* = 7.2 Hz). <sup>13</sup>C  
NMR (75 MHz, CDCl<sub>3</sub> = 77.00 ppm): δ (ppm): 196.6, 176.9, 138.2, 137.4, 134.1, 131.5,  
30 128.3, 127.9, 60.4, 45.9, 43.5, 25.0, 14.1. HRMS (LSIMS, gly): Calcd for C<sub>27</sub>H<sub>35</sub>O<sub>5</sub> (M+H): 439.2484, found: 439.2484.

**6.46    3-(3-{Hydroxy-[3-(3-hydroxy-2,2-dimethylpropyl)-phenyl]-methyl}-phenyl)-2,2-dimethylpropan-1-ol.**

Under Ar atmosphere, to a suspension of LiAlH<sub>4</sub> (7.90 g, 208 mmol) in MTBE (200 mL) was added dropwise a solution of 3-{3-[3-(2-ethoxycarbonyl-2-methylpropyl)-benzoyl]-phenyl}-2,2-dimethylpropionic acid ethyl ester (25.9 g, 59 mmol) in MTBE (150 mL). The reaction mixture was stirred at room temperature for 16 h and heated to reflux for 3 h. Ethyl acetate (100 mL) was added and the reaction mixture was heated to reflux for 1 h and cooled to room temperature. The reaction mixture was poured into ice (500 g) and acidified with hydrochloric acid solution (2 N, 800 mL). The aqueous solution was extracted with MTBE (4 × 200 mL). The combined organic layers were washed with saturated NaHCO<sub>3</sub> solution (200 mL) and saturated NaCl solution (200 mL), dried over MgSO<sub>4</sub>, and concentrated under vacuum. The residue (22.6 g) was subjected to column chromatography on silica gel using hexanes/ethyl acetate (3:2) as eluent to afford 3-(3-{hydroxy-[3-(3-hydroxy-2,2-dimethylpropyl)-phenyl]-methyl}-phenyl)-2,2-dimethylpropan-1-ol (19.0 g, 90 %) as a white solid. Mp 98 - 99 °C. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.26 - 7.19 (m, 6 H), 7.06 - 7.03 (m, 2 H), 5.80 (d, 1 H, *J* = 3.4 Hz), 3.23 (s, 4 H), 3.05 (d, 1 H, *J* = 3.4 Hz), 2.56 (s, 4 H), 2.07 (br d, 2 H, *J* = 4.4 Hz), 0.85 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CD<sub>3</sub>OD = 49.15 ppm): δ (ppm): 145.5, 140.2, 130.5, 130.1, 128.7, 125.4, 77.1, 71.5, 45.6, 37.4, 24.6, 24.5. HRMS (FAB, gly): Calcd for C<sub>23</sub>H<sub>33</sub>O<sub>3</sub> (M+H): 357.2430, found: 357.2388. HPLC: 99.8 % pure.

**6.47    3-(3-{[3-(2-Ethoxycarbonyl-2-methylpropyl)-phenyl]-hydroxymethyl}-phenyl)-2,2-dimethylpropionic acid ethyl ester.**

Under Ar atmosphere, to a solution of 3-{3-[3-(2-ethoxycarbonyl-2-methylpropyl)-benzoyl]-phenyl}-2,2-dimethylpropionic acid ethyl ester (12.37 g, 28.2 mmol) in methanol (240 mL) was added sodium borohydride (0.45 g, 13.7 mmol) under cooling with an ice water bath. The mixture was stirred for 5 h and water (480 mL) and dichloromethane (200 mL) were added. The aqueous layer was extracted with dichloromethane (2 × 200 mL). The combined organic layers were washed with saturated NaHCO<sub>3</sub> solution (150 mL) and saturated NaCl solution (150 mL), dried over MgSO<sub>4</sub>, and concentrated under vacuum to give 3-(3-{[3-(2-ethoxycarbonyl-2-methylpropyl)-phenyl]-hydroxymethyl}-phenyl)-2,2-dimethylpropionic acid ethyl ester (12.4 g, 100 %) as a colorless oil, which was used for the next step without further purification. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.20 - 7.18 (m, 4 H), 7.10 (s, 2 H), 7.00 - 6.98 (m, 2 H), 5.69 (d, 1 H, *J* = 3.2 Hz), 4.02 (q, 4 H, *J* = 7.1 Hz), 2.97 (d, 1 H, *J* = 3.2 Hz), 2.81 (s, 4 H), 1.19 (t, 6 H, *J* = 7.1 Hz), 1.13 (s, 12 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub> = 77.23 ppm): δ (ppm): 177.6, 143.8, 138.2, 129.3, 128.5, 128.1,

124.8, 76.1, 60.5, 46.3, 43.6, 25.1, 25.0, 14.3. HRMS (LSIMS, gly): Calcd for C<sub>27</sub>H<sub>35</sub>O<sub>4</sub> (M+H-H<sub>2</sub>O): 423.2535, found: 423.2542.

5      **6.48    3-{3-[3-(2-Carboxy-2-methylpropyl)-phenyl]-hydroxymethyl}-phenyl}-2,2-dimethylpropionic acid.**

A solution of 3-(3-{[3-(2-Ethoxycarbonyl-2-methylpropyl)-phenyl]-hydroxymethyl}-phenyl)-2,2-dimethylpropionic acid ethyl ester (12.8 g, 29.1 mmol) and potassium hydroxide (85 %, 7.4 g, 112.0 mmol) in ethanol (21 mL) and water (9 mL) was heated to reflux for 4 h. MTBE (100 mL) was added and the mixture was stirred for 72 h, then diluted with water (50 mL). The aqueous layer was extracted with MTBE (2 × 50 mL). The aqueous solution was acidified with 6 N HCl (ca. 20 mL) to pH 1 and extracted with dichloromethane (4 × 100 mL). The organic extracts were washed with saturated NaCl solution (50 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuum to give a colorless solid (10.5 g, 94 %). Recrystallization from dichloromethane (50 mL) and ethanol (10 mL) yielded 3-{3-[3-(2-carboxy-2-methylpropyl)-phenyl]-hydroxymethyl}-phenyl}-2,2-dimethylpropionic acid (6.7 g, 60 %) in form of colorless crystals. Mp 116 - 117 °C. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 7.44 - 7.41 (m, 2 H), 7.26 - 7.22 (m, 4 H) 7.06 - 7.03 (m, 2 H), 5.73 (s, 1 H), 2.83 (m, 4 H), 1.27 (s, 6 H), 1.25 (s, 6 H). <sup>13</sup>C NMR (75 MHz, DMSO-d<sub>6</sub>/TMS): δ (ppm): 178.9, 145.6, 138.2, 128.8, 128.5, 128.1, 124.7, 74.8, 45.9, 43.0, 25.2, 25.1. HRMS (LSIMS, EI): Calcd for C<sub>23</sub>H<sub>26</sub>O<sub>4</sub> [M-H<sub>2</sub>O]<sup>+</sup>: 366.1831, found: 366.1821. HLPC: 99.3 % pure.

**6.49    2,2-Dimethyl-8-oxododecanoic acid ethyl ester**

An aqueous solution of NaOH (30 %, 240 mL) was added dropwise to a stirred solution of 4-iodobutane (110.5 g, 0.6 mol), *p*-toluenesulfonyl methyl isocyanide (58.6 g, 0.3 mol), and tetrabutylammonium iodide (8.0 g, 21.6 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (300 mL) at room temperature. The reaction mixture was stirred overnight and diluted with water (200 mL). The organic layer was separated and the aqueous layer was extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 × 100 mL). The organic layers were combined, washed with saturated NaCl solution (100 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuo. The residue was taken up in diethyl ether (3 × 200 mL) and filtered. The filtrate was concentrated and purified by column chromatography (silica gel, ethyl acetate/hexanes = 1:3) to give 1-(1-isocyanopentane-1-sulfonyl)-4-methylbenzene (65.7 g, 87 %) as an oil. Under N<sub>2</sub>-atmosphere, sodium hydride (60 % dispersion in mineral oil, 11.0 g, 0.275 mol) was added in portions to a solution of ethyl 7-bromo-2,2-dimethylheptanoate (72.8 g, 0.27 mol) and 1-(1-isocyanopentane-1-

sulfonyl)-4-methylbenzene (69.0 g, 0.27 mol) in DMSO (500 mL) and diethyl ether (500 mL) at room temperature. After 30 min, tetrabutylammonium iodide (8.0 g, 21.7 mmol) was added and the mixture was stirred for 5 h. A precipitate formed and additional DMSO (500 mL) was added. After stirring overnight at room temperature, the mixture was heated to reflux for 3 h. Water (500 mL) and diethyl ether (500 mL) were added and the layers were separated. The aqueous layer was extracted with diethyl ether (4 × 200 mL). The combined organic layers were washed with water (500 mL) and saturated NaCl solution (300 mL), dried over MgSO<sub>4</sub>, and concentrated in vacuo to give crude 8-isocyano-2,2-dimethyl-8-(toluene-4-sulfonyl)-dodecanoic acid ethyl ester (126.2 g) as a dark oil, which was used without further purification. Concentrated, hydrochloric acid (200 mL) was added slowly to a solution of crude 8-isocyano-2,2-dimethyl-8-(toluene-4-sulfonyl)-dodecanoic acid ethyl ester (126.2 g, 0.29 mol) in methylene chloride (300 mL). The reaction mixture was stirred at room temperature for 4 h. Water (500 mL) was added. The aqueous layer was separated and extracted with methylene chloride (3 × 100 mL). The organic solutions were combined, washed with water (300 mL) and saturated, aqueous NaHCO<sub>3</sub> solution (200 mL) and dried over MgSO<sub>4</sub>. The solvent was evaporated and the residue was purified by column chromatography (silica gel, ethyl acetate/hexanes = 1:10) to yield 2,2-dimethyl-8-oxododecanoic acid ethyl ester (69.6 g, 89 %) as an oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 4.1 (q, *J* = 7.3 Hz, 2 H), 2.40 - 2.31 (m, 4 H), 1.58 - 1.45 (m, 6 H), 1.31 - 1.19 (m, 6 H), 1.22 (t, 3 H, *J* = 7.3 Hz), 1.12 (s, 6 H), 0.88 (t, *J* = 7.3 Hz, 3 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 210.5, 178.0, 60.5, 42.5, 42.0, 30.0, 27.0, 26.0, 25.5, 24.0, 23.0, 14.5, 14.0. HRMS (LSIMS, gly): Calcd for C<sub>16</sub>H<sub>31</sub>O<sub>3</sub> (MH<sup>+</sup>): 271.2273, found: 271.2275. HPLC: 84 % pure.

#### 6.50 2,2-Dimethyldodecane-1,8-diol

A solution of 2,2-dimethyl-8-oxododecanoic acid ethyl ester (14.33 g, 5.3 mmol) in Et<sub>2</sub>O (30 mL) was added to a suspension of LiAlH<sub>4</sub> (4.6 g, 12 mmol) in Et<sub>2</sub>O (200 mL). The reaction mixture was heated to reflux for 2 h. Water (100 mL) and aqueous HCl (10 %, 200 mL) were added. The aqueous solution was separated and extracted with Et<sub>2</sub>O (2 × 100 mL). The combined organic solutions were washed with saturated, aqueous NaHCO<sub>3</sub> solution (100 mL) and brine (50 mL) and dried over MgSO<sub>4</sub>. The solvent was evaporated and the residue was purified by column chromatography (silica gel, ethyl acetate/hexanes = 1:10, 200 mL, then 1:3, 150 mL) to yield 2,2-dimethyldodecan-1,8-diol (9.9 g, 81 %) as a colorless oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS): δ (ppm): 3.55 (br s, 1 H), 3.29 (s, 2 H), 1.7 (br. s, 2 H), 1.42 - 1.20 (m, 16 H), 0.89 (t, *J* = 7.2 Hz, 3 H), 0.84 (s, 6 H). <sup>13</sup>C NMR (75



MHz, CDCl<sub>3</sub>/TMS):  $\delta$  (ppm): 72.1, 72.0, 38.7, 37.6, 37.3, 35.2, 30.8, 28.0, 25.8, 24.0, 23.9, 22.9, 14.3. HRMS (LSIMS, gly): Calcd for C<sub>14</sub>H<sub>31</sub>O<sub>2</sub> (MH<sup>+</sup>): 231.2324, found: 231.2324. HPLC: 99.8 % pure. Elemental analysis (C<sub>14</sub>H<sub>30</sub>O<sub>2</sub>): Calcd for C, 72.99; H, 13.12. Found: C, 72.75; H, 13.23.

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#### **6.51 8-Hydroxy-2,2-dimethyldodecanoic acid**

Sodium borohydride (8.0 g, 0.21 mol) was added in portions to 2,2-dimethyl-8-oxododecanoic acid (27.02 g, 0.11 mol) in ethanol (200 mL), followed by addition of Na<sub>2</sub>CO<sub>3</sub> (5 g) while the reaction mixture was gently refluxed. The reaction mixture was stirred at 40 - 50 °C for 3.5 h and at 60 °C for 1 h. Water (100 mL) and aqueous HCl (10 %, 100 mL) were added. The mixture was extracted with ethyl acetate (3 × 80 mL). The organic solutions were combined, washed with water (100 mL) and brine (2 × 50 mL), and dried over MgSO<sub>4</sub>. The solvent was evaporated and the residue was purified twice by column chromatography (silica gel, ethyl acetate/heptane = 1:3). Coevaporation with toluene and drying in high vacuo at 70 °C for 1 h gave 8-hydroxy-2,2-dimethyldodecanoic acid (9.6 g, 35 %) as an oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>/TMS):  $\delta$  (ppm): 7.5 - 6.5 (br, 1 H), 3.60 (m, 1 H), 1.53 - 1.29 (m, 17 H), 1.21 (s, 6 H), 0.91 (t, *J* = 6.6 Hz, 3 H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>/TMS):  $\delta$  (ppm): 185.0, 73.0, 43.1, 41.5, 38.2, 38.0, 31.2, 28.8, 26.5, 26.0, 25.9, 23.8, 15.1. HRMS (LSIMS, gly): Calcd for C<sub>14</sub>H<sub>29</sub>O<sub>3</sub> (MH<sup>+</sup>): 245.2116, found: 245.2107. HPLC: 97.1 % pure. Elemental analysis (C<sub>14</sub>H<sub>28</sub>O<sub>3</sub>): Calcd for C, 68.81; H, 68.67. Found: C, 68.67; H, 11.64.

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### **7. BIOLOGICAL ASSAYS**

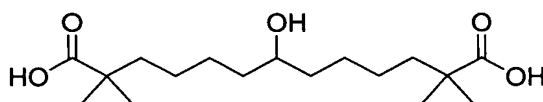
#### **7.1 Effects of Illustrative Compounds of the Invention on NonHDL Cholesterol, HDL Cholesterol, Triglyceride Levels, Glycemic Control indicators and Body Weight Control in Obese Female Zucker Rats**

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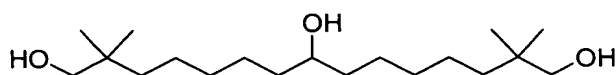
In a number of different experiments, illustrative compounds of the invention are administered daily at a dose of up to 100 mg/kg to chow fed obese female Zucker rats for fourteen days in the morning by oral gavage in 1.5% carboxymethylcellulose/0.2% Tween 20 or 20% ethanol/80% polyethylene glycol (dosing vehicles). Animals are weighed daily. Animals are allowed free access to rodent chow and water throughout the study except on days of blood sampling where food is restricted for six hours prior to blood sampling. Blood glucose is determined after the 6 hour fast in the afternoon without anesthesia from a tail vein. Serum is also prepared from pretreatment blood samples subsequently obtained from the orbital venous plexus (with O<sub>2</sub>/CO<sub>2</sub> anesthesia) and

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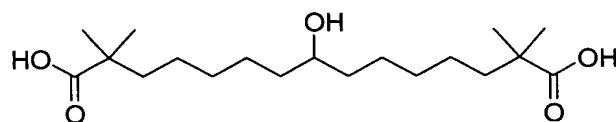
following the fourteenth dose at sacrifice from the heart following O<sub>2</sub>/CO<sub>2</sub> anesthesia. Serums are assayed for lipoprotein cholesterol profiles, triglycerides, total cholesterol, Non-HDL cholesterol, HDL cholesterol, the ratio of HDL cholesterol to that of Non-HDL cholesterol, insulin, non-esterified fatty acids, and beta-hydroxy butyric acid. The percent body weight gain and the ratio of liver to body weight is also determined. These are shown as absolute values or as a percent change of the pretreatment values in Table 1 for compounds A-K and in Table 2 for compounds L-M.



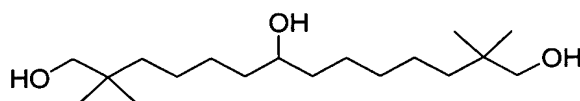
**Compound A**



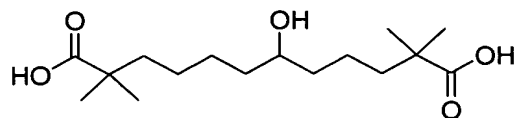
**Compound B**



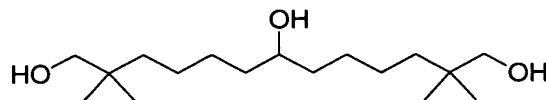
**Compound C**



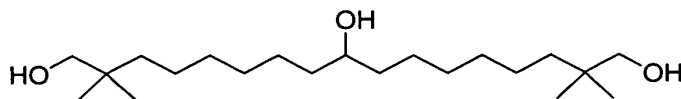
**Compound D**



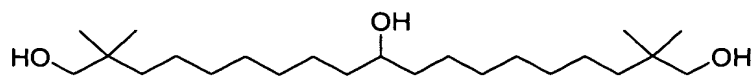
**Compound E**



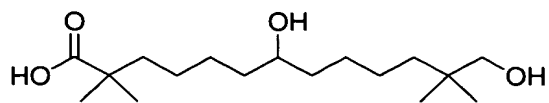
**Compound F**



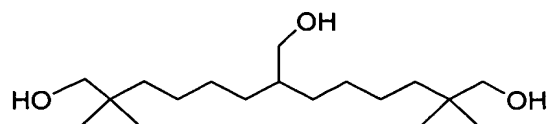
**Compound G**



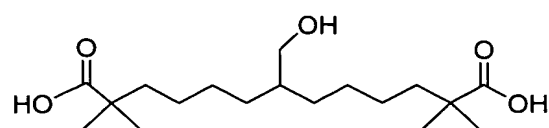
**Compound H**



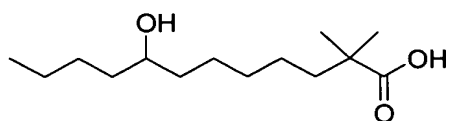
**Compound I**



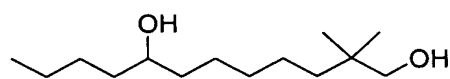
**Compound J**



**Compound K**



**Compound L**



**Compound M**

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## 7.2 Effects of Illustrative Compounds of the Invention on the *in Vitro* Lipid Synthesis in Isolated Hepatocytes

Compounds were tested for inhibition of lipid synthesis in primary cultures of rat hepatocytes. Male Sprague-Dawley rats were anesthetized with intraperitoneal injection of sodium pentobarbital (80mg/kg). Rat hepatocytes were isolated essentially as described by the method of Seglen (Seglen, P. O. Hepatocyte suspensions and cultures as tools in experimental carcinogenesis. *J. Toxicol. Environ. Health* **1979**, *5*, 551-560). Hepatocytes were suspended in Dulbecco's Modified Eagles Medium containing 25 mM D-glucose, 14 mM HEPES, 5 mM L-glutamine, 5 mM leucine, 5 mM alanine, 10 mM lactate, 1 mM pyruvate, 0.2 % bovine serum albumin, 17.4 mM non-essential amino acids, 20 % fetal bovine serum, 100 nM insulin and 20µg/mL gentamycin) and plated at a density of  $1.5 \times 10^5$  cells/cm<sup>2</sup> on collagen-coated 96-well plates. Four hours after plating, media was replaced with the same media without serum. Cells were grown overnight to allow formation of monolayer cultures. Lipid synthesis incubation conditions were initially assessed to ensure the linearity of [1-<sup>14</sup>C]-acetate incorporation into hepatocyte lipids for up to 4 hours. Hepatocyte lipid synthesis inhibitory activity was assessed during incubations in the presence of 0.25 µCi [1-<sup>14</sup>C]-acetate/well (final radiospecific activity in assay is 1 Ci/mol) and 0, 1, 3, 10, 30, 100 or 300 µM of compounds for 4 hours. At the end of the 4-hour incubation period, medium was discarded and cells were washed twice with ice-cold phosphate buffered saline and stored frozen prior to analysis. To determine total lipid synthesis, 170 µl of MicroScint-E® and 50 µl water was added to each well to extract and partition the lipid soluble products to the upper organic phase containing the scintillant. Lipid radioactivity was assessed by scintillation spectroscopy in a Packard TopCount NXT. Lipid synthesis rates were used to determine the IC<sub>50</sub>s of the compounds that are presented in Table 3.

**Table 1. Examples of effects of oral daily treatment of obese female Zucker rats with compounds A-K of the invention for fourteen days**

Percent of Pre-treatment													
Compound	Expt. #	n	Dose (mg/kg/day)	% wt. gain	HDL-C/non-HDL-C	TG	TC	Non-HDL-C	HDL-C	Glucose	Insulin	NEFA	BHA
Vehicle	LR63	5		13	2	6	-17	7	-22	2	-1	50	211
A		4	100	12	5	-59	14	-41	50	-2	43	-11	231
Vehicle	LR92	4		7	2	1	-3	24	-10	-5	-9	11	62
B		4	100	1	35	-87	105	-81	237	-3	-52	-28	199
Vehicle	LR107	4		8	8	3	-4	3	-3	-14	-11	-13	139
C		4	100	3	40	-90	105	-80	169	-11	-57	-42	171
Vehicle	LR28	5		1	1	-41	-14	-39	58	-16	-43	-37	236
F		2	100	3	2	-46	53	-15	222	10	-4	-43	1056
Vehicle	LR98	5		9	2	23	1	116	-26	8	19	6	29
G		2	100	9	12	-80	21	-68	68	14	-38	-62	163
Vehicle	LR98	5		9	2	23	1	116	-26	8	19	6	29
H		3	100	9	3	-36	61	-5	115	19	-30	-30	97
Vehicle	LR52	4		8	2	-6	-14	-16	-7	3	-36	-7	31
J		3	100	12	2	-23	-6	-12	-2	21	-11	-32	183
Vehicle	LR119	5		11	4	9	20	-6	28	6	3	-2	65
K		3	100	10	9	-45	35	-38	62	3	41	-32	253

**Table 2. Examples of effects of oral daily treatment of obese female Zucker rats with compounds L and M of the invention for fourteen days**

Percent of Pre-treatment													5
Compound	Expt. #	n	Dose (mg/kg/day)	% wt. gain	HDL-C/ non-HDL-C	TG	TC	Non-HDL-C	HDL-C	Glucose	Insulin	NEFA	BHA
Vehicle	LR118	5		10	4	8	1	43	-8	-2	-24	14	81
<b>L</b>		3	100	12	4	-37	-3	-11	-2	13	-37	-27	88
Vehicle	LR118	5		10	4	8	1	43	-8	-2	-24	14	81
<b>M</b>		3	100	10	3	-11	15	7	19	5	-5	-23	63

n is number of animals per experiment

**Table 3: Effect of Illustrative Compounds of the Invention on the Lipid Synthesis in Primary Rat Hepatocytes.**

Compound	IC <sub>50</sub> (μM)	95% Confidence Interval		r <sup>2</sup>
		Lower	Upper	
<b>A</b>	3.4	2.5	4.5	0.99
<b>B</b>	5.1	3.6	7.3	0.99
<b>C</b>	1.0	0.5	2.0	0.99
<b>D</b>	1.6	1.2	2.0	0.99
<b>E</b>	8.3	4.6	15.1	0.98
<b>F</b>	6.4	3.7	11.1	0.99
<b>G</b>	7.8	6.7	8.9	0.99
<b>H</b>	2.6	1.5	4.4	0.98
<b>I</b>	2.3	1.4	3.7	0.99
<b>J</b>	17	8.7	34.4	0.98
<b>K</b>	14	12.2	15.8	0.99

5      **7.3      Effects of Compound B of the Invention on VLDL Cholesterol, LDL Cholesterol, HDL Cholesterol, Triglyceride Levels, Glycemic Control Indicators, Body Weight and Bile Acids in Female Syrian Hamsters**

Ten week old female Syrian hamsters were acclimated for 21 days to a shortened light and dark cycle (10 hours of light/14 hours of darkness). During the acclimation and drug intervention period animals were allowed free access to rodent chow  
 10      (Purina 5001) and water except for a 6 hour period prior to blood sampling. Following the

21 day acclimation period ESP 55015 was administered daily for three weeks, between 8 10 AM, at a dose of 100mg/Kg by oral gavage in a dosing vehicle consisting of 20% Ethanol/80% Polyethylene glycol 200 [v/v]. Prior to and in the afternoon following the 13th and 21st doses blood samples were collected, between 2PM and 4PM, by  
5 administering O<sub>2</sub>/CO<sub>2</sub> anesthesia and bleeding from the orbital venous plexus. All blood samples were processed for separation of serum. Serum samples were subsequently assayed for total cholesterol, total cholesterol lipoprotein profiles (HDL cholesterol, LDL cholesterol and VLDL cholesterol), the ratio of HDL cholesterol, LDL cholesterol and VLDL cholesterol, the ratio of HDL cholesterol to non HDL cholesterol (LDL C, VLDL  
10 C) and triglycerides (Table 4). Percent body weight gain and ratio of liver weight to body weight were also determined.



**Table 4: Effect of Compound B in chow-fed hamster after 3 weeks of dosing**

Compound	Expt. #	n	Dose (mg/kg/day)	Body wt. (gm)	VLDL-C (mg/dl)	LDL-C (mg/dl)	HDL-C (mg/dl)	TG (mg/dl)	Glucose	Insulin	Bile Acids (ng/ml)
Vehicle	LR100	5	0	148 $\pm$ 4	9 $\pm$ 1	41 $\pm$ 2	83 $\pm$ 4	325 $\pm$	122 $\pm$ 4		30,600 $\pm$
<b>B</b>	LR100	5	100	146 $\pm$ 2	4 $\pm$ 2	44 $\pm$ 4	63 $\pm$ 4	128 $\pm$ 37	122 $\pm$ 3	2.4 $\pm$ 0.7	67,108 $\pm$ 17,529

The present invention is not to be limited in scope by the specific embodiments disclosed in the examples which are intended as illustrations of a few aspects of the invention and any embodiments which are functionally equivalent are within the scope of this invention. Indeed, various modifications of the invention in addition to those  
5 shown and described herein will become apparent to those skilled in the art and are intended to fall within the appended claims.